

# The ABC of AGT

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C. Kozcaz, S. P. and N. Wyllard [arXiv:1004.2025](#)

[arXiv:0906.3219](#), [arXiv:0909.0945](#)

# Outline

- 1 Introduction
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- 3 The topological recursion
- 4 Toric engineering
- 5 B model approach
- 6 A model approach

# Prologue

[Seiberg–Witten'94] taught us how the low energy dynamics of  $\mathcal{N} = 2$  theories is encoded in the **SW curve**.

[Witten'97] proposed an **M theory construction** for linear quivers: the  $4d$  gauge theory comes from a compactification of the  $6d, (2, 0)$  theory of  $A_{N-1}$  type. The SW curve is realized as an  $N$  sheeted branched covering of a punctured complex sphere or torus, with a canonical choice of SW differential  $\Rightarrow$  **Full characterisation of the gauge theory in particular under S–duality transformations!**

Example: compactify the  $SU(N), 6d, (2, 0)$  theory on a **torus** and understand **S–duality** in  $SU(N)$   $\mathcal{N} = 4$  gauge theory as  $\tau \rightarrow \frac{1}{\tau}$ .

... building on this ideas [Gaiotto'09]: compactify on more general surfaces to construct  $\mathcal{N} = 2$  super-conformal gauge theories  $\rightarrow$  **Generalized S-duality transformations!**

Are Lagrangians out of fashion?

# $\mathcal{N} = 2$ theories

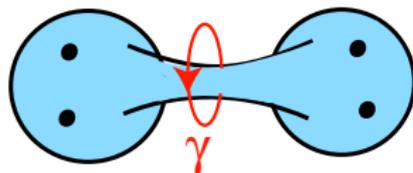
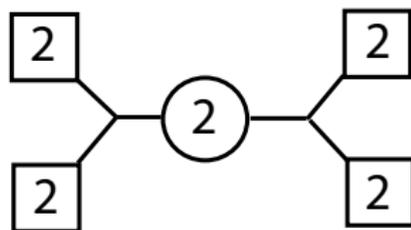
[Gaiotto'09] constructs superconformal  $\mathcal{N} = 2$  theories denoted by  $\mathcal{T}_{g,n}$ , by compactifying the 6d  $(2,0)$  theory of  $A_{N-1}$  type on  $C_{g,n} \times \mathbb{R}^4$  (world volume of the  $M_5$  branes).

Space of inequivalent superconformal gauge theories is:

$$\mathcal{M}_{g,n} = \mathbb{T}^{g,n} / \Gamma_{g,n},$$

where  $\mathbb{T}^{g,n}$  is the space of coupling constants and the  $\Gamma_{g,n}$  action  $\rightarrow$  **S-duality group**.

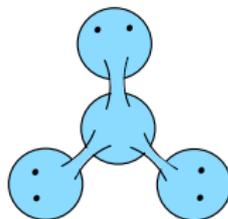
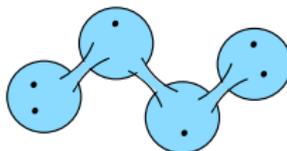
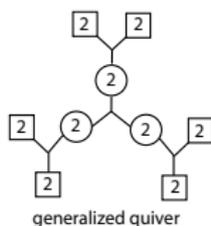
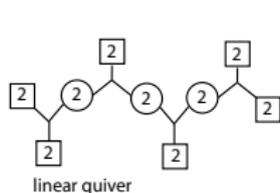
The prototypical example is:  $SU(2), N_f=4$



The Seiberg-Witten curve  $\Sigma_{SW} : x^2 = \phi_2(z)$  is the double cover of  $C_{g,n}$ .  $\phi_2(z)$  has double poles at the punctures with coeff  $m_i$  and  $\lambda_{SW} = x(z)dz$ .

## More complicated quivers:

$$SU(2)^3, N_f = 6$$

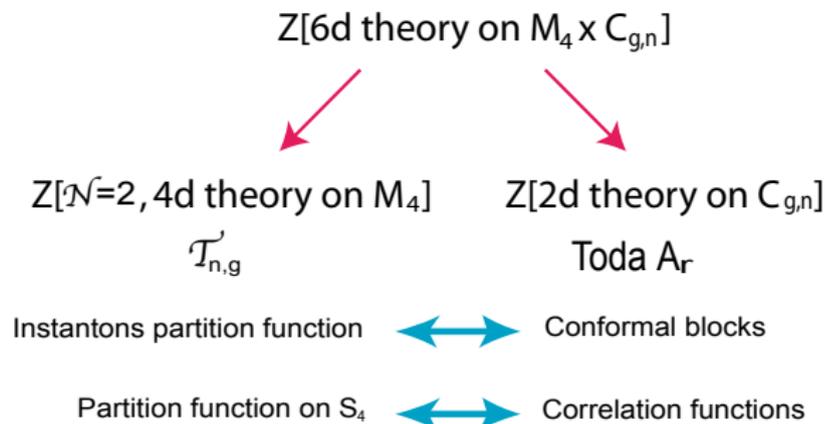


building block

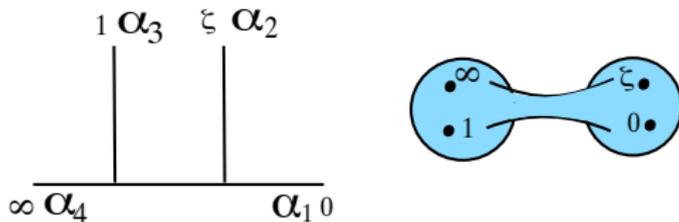
- Different "pairs of pants" decompositions correspond to different weakly coupled Lagrangian descriptions of the same theory.
- The sphere with 3-punctures, denoted by  $\mathcal{T}_{0,3} = \mathcal{T}_2$  is the building block.
- For higher rank groups punctures carry generic representations of  $SU(N)$ .
- The sphere with 3 full punctures, the  $\mathcal{T}_N$  theory has no Lagrangian description.

# The AGT conjecture

[AGT'09, Wyllard'09] is a statement about the equivalence of two distinct KK reductions:



More precisely the  $SU(2)$ ,  $N_f = 4$  theory is related to the Liouville 4-point correlator of chiral primaries  $V_\alpha(z_i) = e^{2\alpha\phi(z_i)}$  with  $\Delta = \alpha(Q - \alpha)$ ,  $Q = b + \frac{1}{b}$ :



$$\langle \alpha_4 | V_{\alpha_3}(1) V_{\alpha_2}(\zeta) | \alpha_1 \rangle = \int d\sigma C(\alpha_4^*, \alpha_3, \sigma) C(\sigma^*, \alpha_2, \alpha_1) |\zeta^{\Delta_\sigma - \Delta_{\alpha_2} - \Delta_{\alpha_1}} \mathcal{F}_{\alpha_4 \alpha_3 \sigma \alpha_2 \alpha_1}(\zeta)|^2$$

2dCFT	4d gauge theory
chiral conformal block : $\mathcal{F}_{\alpha_4 \alpha_3 \sigma \alpha_2 \alpha_1}(\zeta)$	$Z_{\text{inst}}(\sigma, \zeta) \sim e^{-\frac{1}{\hbar^2} F_0 + \dots}$
3point function : $C(\alpha_1, \alpha_2, \alpha_3)$	$Z_{1\text{-loop}}$
cross ratio $\zeta$	$e^{2\pi i \tau_{UV}}$
level $k$	instanton number
external momenta $\alpha_j$	masses $m_j$
internal momentum $\sigma$	coulomb branch $a$
$b$	$\epsilon_1$
$1/b$	$\epsilon_2$

# Surface operators

An  $M_2$  brane can attach to  $M_5$  by an open boundary surface  $S$  sourcing the 2-form  $B$ . Different ways of embedding  $S$  in  $C_{g,n} \times \mathbb{R}^4$  give rise to different operators in the 4d gauge theory.

- Surface operator:  $S$  is embedded in  $\mathbb{R}^4$  and localized at a point  $z$  on  $C_{g,n}$ .
- Loop operator:  $M_2$  wraps a one-cycle  $\gamma$  in  $C_{g,n}$ .
- Vertex operator:  $M_2$  wraps a two-cycle junction between loops operators.

[AGGTV'09] conjecture that surface operators correspond in the Liouville theory to the insertion of primary fields  $V_{-b/2}$  satisfying the null state condition  $(L_{-1}^2 + b^2 L_{-2})V_{-b/2} = 0$ .

# Surface operators

In [AGGTV'09] they conjecture that, in the **semiclassical limit**:

$$Z_{\text{null}} = \frac{\langle \alpha_1 | V_{\alpha_2}(1) V_{\alpha_3}(\zeta) V_{-b/2}(z) | \alpha_4 + \frac{b}{2} \rangle}{\langle V_{\alpha_1} | V_{\alpha_2}(1) V_{\alpha_3}(\zeta) | \alpha_4 \rangle} = e^{-\frac{b}{\hbar} G_0(z) + \dots}.$$

A WKB-type argument and the fact that  $V_{-b/2}$  is degenerate implies that:

$$(\partial_z G_0)^2 + \phi_2(z) = 0$$

and thus:

$$G_0(z) = \int^z \lambda_{\text{SW}}(z) = \int^z x(z') dz'$$

so **in the semiclassical limit the effect of the surface operator is encoded in the SW curve.**

# How to go beyond this?

On the CFT side we can:

- go beyond the semiclassical limit
- insert more degenerate fields

we will see that **the SW curve encodes all this as well.**

# The $T_2$ theory

Let's consider a surface operator in the  $T_2 = \mathcal{T}_{0,3}$  theory aka the 3-point function with a degenerate insertion in Liouville:

$$Z_{\text{null}}(z) = \frac{\langle \alpha_1 | V_{\alpha_2}(1) V_{-b/2}(z) | \alpha_3 + \frac{b}{2} \rangle}{\langle \alpha_1 | V_{\alpha_2}(1) | \alpha_3 \rangle}.$$

A famous result in Liouville [BPZ'83] is that:

$$Z_{\text{null}}(z) = z^{b\alpha_3} (1-z)^{b\alpha_2} {}_2F_1(A_1, A_2; B_1; z),$$

with:

$$A_1 = b(\alpha_1 + \alpha_2 - \alpha_3), \quad A_2 = b(\alpha_1 + \alpha_2 + \alpha_3 - Q), \quad B_1 = 2b\alpha_1.$$

The **semiclassical expansion** is obtained by rescaling  $\alpha_i \rightarrow \alpha_i/\hbar$  and Taylor expanding in  $\hbar$  and  $Q = b + 1/b$ :

$$Z_{\text{null}}(z) = \exp \left\{ \frac{b}{\hbar} G_0(z) + b^2 G_1(z) + b^3 \hbar G_2(z) + \mathcal{O}(\hbar^2) \right\},$$

with

$$G_i(z) = \sum_{n \geq 0} G_i^n(z) Q^n.$$

# The $T_2$ theory

For  $k > 1$  insertions of degenerate fields  $V_{-b/2}$  we find:

$$\begin{aligned} Z_{\text{null}}(z_1, \dots, z_k) &= \frac{\langle \alpha_1 | V_{\alpha_2}(1) V_{-b/2}(z_1) \cdots V_{-b/2}(z_k) | \alpha_3 + k \frac{b}{2} \rangle}{\langle \alpha_1 | V_{\alpha_2}(1) | \alpha_3 \rangle} = \\ &= \left( \prod_{i=1}^k z_i^{b\alpha_1} (1 - z_i)^{b\alpha_2} \right) {}_2F_1^\beta(A_1, A_2; B_1; z_1, \dots, z_k), \end{aligned}$$

so in this case

$$Z_{\text{null}}(z_1, z_2) = \exp \left\{ \frac{b(G_0(z_1) + G_0(z_2))}{\hbar} + b^2 G_1(z_1, z_2) + \mathcal{O}(\hbar) \right\}$$

with

$$G_i(z_1, \dots, z_k) = \sum_{n \geq 0} Q^n G_i^n(z_1, \dots, z_k).$$

# The topological recursion

Since from [AGGTV'09]

$$G_0(z) = \int^z \lambda_{SW}(p)$$

we expect that the  $G_i(z_1, \dots, z_k)$  are related to higher differentials  $\mathcal{W}_k^{(g)}(p_1, \dots, p_k)$  on the SW curve  $\Sigma_{SW}$ ; Generate higher differentials with the Matrix Models Topological Recursion [EO'07].

Ingredients:

- The branch points  $x(q_i)$  on  $\Sigma : H(x, y) = 0$ , satisfying  $\frac{dH(q_i)}{dy} = 0$
- The meromorphic differential  $\lambda(x)$  on  $\Sigma$  and the Bergmann kernel  $B(p, q)$  on  $\Sigma$ .

The topological recursion generates higher differentials:

$$\mathcal{W}_{h+1}^{(g)}(p, p_1, \dots, p_h) = \sum_{q_i} \text{Res}_{q=q_i} F[\mathcal{W}_{h'}^{g'}, \lambda],$$

with  $F[\mathcal{W}_{h'}^{g'}, \lambda]$  a complicated function of  $\mathcal{W}_{h'}^{(g')}$  with  $g' \leq g$ ,  $h' \leq h$  and of  $\mathcal{W}_{h+1}^{g-1}$ .

# The topological recursion:

We **conjecture** that

$$\begin{aligned} Z_{\text{null}}(z)|_{\mathcal{Q}=0} &= \exp \left[ \sum_{g,k} \hbar^{2g-2+k} \frac{1}{k!} A_k^{(g)}(z, \dots, z) \right] \\ &= \exp \left[ \frac{1}{\hbar} A_1^{(0)}(z) + \frac{1}{2!} A_2^{(0)}(z, z) + \hbar \left( A_1^{(1)}(z) + \frac{1}{3!} A_3^{(0)}(z, z, z) \right) + \dots \right]. \end{aligned}$$

with

$$A_k^{(g)}(z_1, \dots, z_k) = \int^{z_1} \dots \int^{z_k} dp_1 \dots dp_k \mathcal{W}_k^{(g)}(p_1, \dots, p_k).$$

By comparing to the CFT expressions we **checked** that

$$G_0(z)|_{\mathcal{Q}=0} = A_1^{(0)}(z), \quad G_1(z)|_{\mathcal{Q}=0} = \frac{1}{2!} A_2^{(0)}(z, z), \quad G_2(z)|_{\mathcal{Q}=0} = A_1^{(1)}(z) + \frac{1}{3!} A_3^{(0)}(z, z, z).$$

For multiple insertions for instance, we have

$$G_1(z_1, z_2)|_{\mathcal{Q}=0} = A_2^{(0)}(z_1, z_2) + \frac{1}{2} (A_2^{(0)}(z_1, z_1) + A_2^{(0)}(z_2, z_2)).$$

# The topological recursion approach:

yet another way of solving Liouville theory. . . new viewpoints can be useful (Toda?)

- $Z_{\text{null}}(z)$  has the expansion of a determinant. [DV'09] provided a matrix model realization of  $T_2$  and we consistently find that a degenerate field corresponds to a  $\text{Tr} \frac{1}{x-M}$  insertion.
- $Z_{\text{null}}(z)$  is related to a Baker-Akhiezer function and satisfies a Hirota equation (for the multi-component KP hierarchy) [EO'07]
- we studied also the  $\mathcal{T}_{0,4}$  theory; more interesting. Quasi-modularity of the  $\mathcal{W}_h^{(g)}$
- use the  $\beta$  ensemble for generic  $Q$

For sure useful to better understand surface operators in the gauge theory  $\rightarrow$

# Topological strings

Topological strings come in two types *A&B* models, from the two ways of twisting the  $(2, 2)$  theory to get a BRST-like operator.

*A* model describes *Kähler* structure deformations while the *B* model *complex* structure deformations.

Topological strings are highly solvable theories, major role of dualities, *A&B* models are related by *mirror symmetry*.

Topological strings come naturally into the game because, for *toric* Calabi–Yau targets:

- *A* they can be used to engineer  $\mathcal{N} = 2$  gauge theories and to obtain the instantons partition function  $\rightarrow 4d$
- *B* all the non trivial content of the theory is encoded in the *mirror curve* (SW curve)  $\rightarrow 2d$

# Topological A–Model

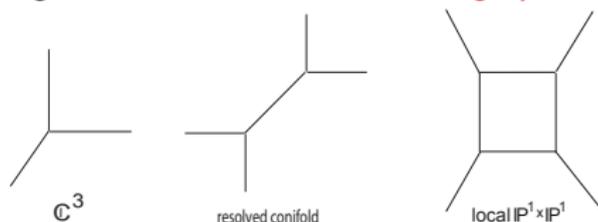
Topological type A string theory is a theory of maps from a Riemann surface to a CY target  $X$ . The free energy, enumerating maps  $f : \Sigma_g \mapsto X$ , is defined as:

$$\log Z^{\text{top}}(X) = F(X) = \sum_{g,d} g_s^{2g-2} N_{g,d} Q^d$$

- $Q = e^{-T}$  is the closed modulus,  $T$  is the Kähler parameter measuring sizes in the target in units of  $\alpha'$ .
- $N_{g,d}$  are Gromov–Witten invariants, counting maps in the class  $g, d$ .

# Toric Calabi–Yau's

- Toric CY 3folds are  $\mathbb{R}_+ \times T^2$  fibration over  $\mathbb{R}^3$  and can be obtained by gluing  $\mathbb{C}^3$  patches.
- For each  $\mathbb{C}^3$  the degeneration locus of the  $T^2$  fiber is encoded in a trivalent planar diagram. Gluing vertices we obtain the toric graph:



- To each  $\mathbb{C}^3$  we associated the refined vertex [IKV'07]:

$$C_{\lambda\mu\nu}(q, t) = \left(\frac{q}{t}\right)^{\frac{\|\mu\|^2 + \|\nu\|^2}{2}} t^{\frac{\kappa(\mu)}{2}} P_{\nu^t}(t^{-\rho}; q, t) \sum_{\eta} \left(\frac{q}{t}\right)^{\frac{|\eta| + |\lambda| - |\mu|}{2}} s_{\lambda^t/\eta}(t^{-\rho} q^{-\nu}) s_{\mu/\eta}(t^{-\nu^t} q^{-\rho})$$

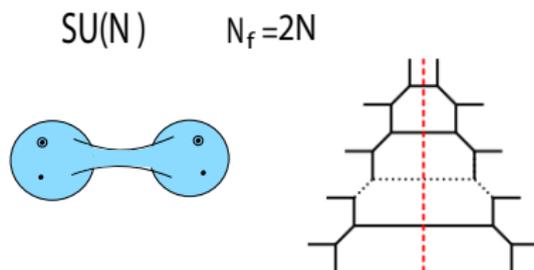
where  $q = e^{\epsilon_1}$ ,  $t = e^{-\epsilon_2}$  and  $P_{\nu}(\mathbf{x}; q, t)$  is the Macdonald function.

- By gluing with appropriate rules one obtains the refined topological string partition function. For instance for the resolved conifold we get:

$$Z_{\text{top}}^{\text{ref}}(X_{\text{res}}) = \sum_{\mu} C_{00\mu^t}(q, t) C_{00\mu}(t, q) (-1)^{|\mu|} Q^{|\mu|}$$

# Toric engineering

$\mathcal{N} = 2$  gauge theories can be engineered by IIA compactification on toric CY's. For instance:



In particular

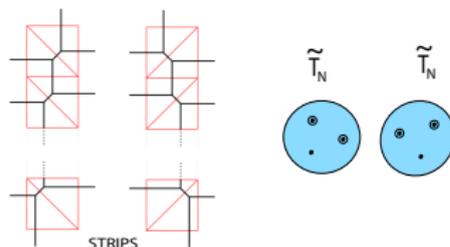
$$Z_{\text{ref}}^{\text{top}} = Z_5^{\text{inst}}$$

where  $Z_5^{\text{inst}}$  is the LMNS partition function formulated on  $\mathbb{R}^4 \times S^1$ . In the limit  $R \rightarrow 0$  one recovers the 4d partition function:

$$\lim_{R \rightarrow 0} Z_{\text{ref}}^{\text{top}} = Z^{\text{inst}}$$

# Toric approach to Toda 3points functions?

Now consider the following degeneration:



The  $\tilde{T}_N$  theory is related via AGT to the Toda 3-point function of  $V_\alpha = e^{\langle \alpha, \phi \rangle}$  with  $\phi = \phi_i \mathbf{e}_i$ ,  $\mathcal{Q} = (b + 1/b)\rho$  for  $\alpha_3 = \kappa = \chi \Lambda_{1,N}$ , that reads:

$$\langle V_{\alpha_1}(z_1 \bar{z}_1) V_{\alpha_2}(z_2 \bar{z}_2) V_\kappa(z_3 \bar{z}_3) \rangle = \frac{C_{\alpha_1 \alpha_2 \kappa}}{|z_{12}|^{2(\Delta_1 + \Delta_2 - \Delta_3)} |z_{13}|^{2(\Delta_1 + \Delta_3 - \Delta_2)} |z_{23}|^{2(\Delta_2 + \Delta_3 - \Delta_1)}}$$

Recently [FL05-'07] computed:

$$C_{\alpha_1 \alpha_2 \kappa} = \prod_{ij} |\Gamma_b(\frac{\chi}{N+1} + \langle \alpha_1 - \mathcal{Q}, h_i \rangle - \langle \alpha_2 - \mathcal{Q}, h_j \rangle)|^2$$

where  $\Gamma_b(z) = \Gamma_2(z|b, 1/b)$  is the log of the double Barnes function and we used the  $V_\alpha \rightarrow f(\alpha) V_\alpha$  freedom. We checked that:

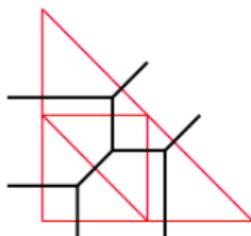
$$\lim_{R \rightarrow 0} Z_{\text{top}}^{\text{ref}}(\tilde{T}_N) = \prod_{ij} \Gamma_b(\frac{\chi}{N+1} + \langle \alpha_1 - \mathcal{Q}, h_i \rangle - \langle \alpha_2 - \mathcal{Q}, h_j \rangle).$$

# Toric approach to 3points functions?

A long standing problem in Toda theories is the computation of  $\mathcal{C}_{\alpha_1\alpha_2\alpha_3}$ , which via AGT, is related to the  $T_N$  theory.

[BBT'09] proposed that the toric geometry engineering the 5d lift of  $T_N$  is the blow up of the  $\mathbb{C}^3/[\mathbb{Z}_N \times \mathbb{Z}_N]$  orbifold.

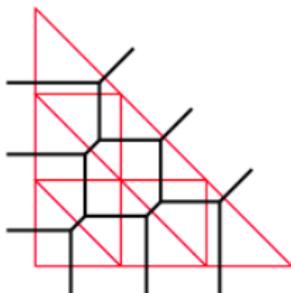
For  $T_2$  the relevant geometry is:



we checked that:

$$\begin{aligned} \lim_{R \rightarrow 0} Z_{\text{top}}^{\text{ref}}(\tilde{T}_2) &= \lim_{R \rightarrow 0} Z_{\text{top}}^{\text{ref}}(T_2) = \Gamma_b(\alpha_1 + \alpha_2 + \alpha_3 - \mathcal{Q}) \Gamma_b(\alpha_2 + \alpha_3 - \alpha_1) \\ &\quad \times \Gamma_b(\alpha_3 + \alpha_1 - \alpha_2) \Gamma_b(\alpha_1 + \alpha_2 - \alpha_3) = \\ &= \mathcal{C}_{\alpha_1\alpha_2\alpha_3} = \text{Liouville 3point function} \end{aligned}$$

...so one may hope that the  $T_3$  geometry:



is related to the Toda  $A_2$  3-point function and so on.

But there are many issues:

- how to define the 4d limit?
- There is a non-trivial Kähler moduli space, need to choose a duality frame, the vertex picks the large radius patch. Is it the right one?

At least the number of parameters is matching:

$$\#\text{Toda 3point function} = \frac{(N+4)(N-1)}{2} = \#T_N$$

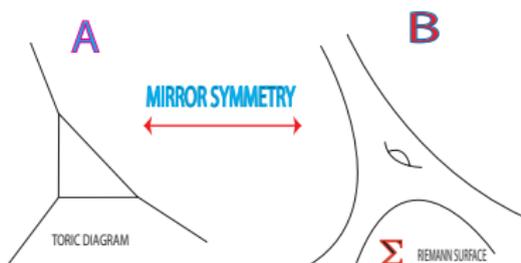
# B model interpretation

The **mirror** of a toric CY is given by a cone over a Riemann surface in  $\mathbb{C}^* \times \mathbb{C}^*$ :

$$Y_t : w^+ w^- = H(x, y; t)$$

with  $w^+, w^- \in \mathbb{C}$  and  $x = \exp(u), y = \exp(v) \in \mathbb{C}^*$  [Hori–Vafa].

The fiber degenerates to two lines over the **mirror curve**  $H(x, y; t) = 0$ :



Open B-model amplitudes ends on B-branes that wrap holomorphic curves in  $Y_t$  with trivial bundles, defined by:

$$w' = 0 = H(x_0, y_0; t).$$

The open moduli space corresponds to deformations of the B-brane in  $Y_t$  which are parameterized by the points  $(x_0, y_0) \in H(x, y, t)$ . The open moduli space coincides with the mirror curve.

- The B model disk amplitude [AV'01,AKV'01] reads:

$$A_1^{(0)}(z) = \int^z \lambda(p) = \int^z \log(y(p; t)) \frac{dp}{p}.$$

This is precisely the **surface operator in the semiclassical limit** [AGGTV'09]!

- Higher open B model amplitudes are given by the **Remodeling approach** [BKMP'07]:

$$A_h^{(g)}(z_1, \dots, z_h) = \int^{z_1} \dots \int^{z_h} \mathcal{W}_h^{(g)}(p_1 \dots p_h)$$

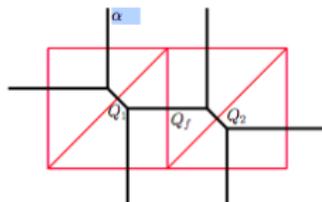
By simply taking the  $R \rightarrow 0$  limit we obtain the  $G_i(z_1 \dots z_k)$  from the  $A_h^{(g)}(z_1, \dots, z_h)$ .  
This is an explicit **MIRROR** B model proof that:

**SURFACE OPERATORS ARE REALISED AS TORIC BRANES**

# A model approach

Open topological A model amplitudes ends on  $L$  which is a Lagrangian submanifold ( $\omega|_L = 0$ ). In the Toric case  $L$  has  $\mathbb{C} \times S^1$  topology [AV'01].

For the  $\tilde{T}_2$  geometry:



the strip ( $Q = 0$ ) computation leads to the following open amplitude:

$$Z_\alpha = s_\alpha(q^\rho) \prod_k \frac{(1 - q^k Q_1)^{C_k(\alpha, \bullet)} (1 - q^k Q_1 Q_2 Q_f)^{C_k(\alpha, \bullet)}}{(1 - q^k Q_1 Q_f)^{C_k(\alpha, \bullet)}}.$$

for a single toric brane  $\alpha$  is a column of length  $n$  and:

$$C_k(\alpha, \bullet) = \begin{cases} 1 & \text{for } k \leq n \\ 0 & \text{otherwise} \end{cases}$$

and we obtain:

$$Z_{(n)}(Q_1, Q_2, Q_f, q) = \prod_{k=1}^n \frac{(1 - q^k Q_1) (1 - q^k Q_1 Q_f Q_2)}{(1 - q^k) (1 - q^k Q_1 Q_f)}.$$

# A model approach

We can now package the contributions from columns of length  $n$  into the generating function:

$$Z_{\text{open}}(z) = \sum_{n=0}^{\infty} z^n Z_{(n)}(Q_1, Q_2, Q_f, q).$$

With the AGT ( $Q_i \rightarrow \alpha_i$ , etc) dictionary we checked that:

$$\lim_{R \rightarrow 0} Z_{\text{open}}(z) = Z_{\text{null}}(z) \Big|_{Q=0}$$

- the insertion of 2 surface operators correspond to reps with 2 columns and so on. Describes also other types of degenerate fields.
- can be refined ( $qt$ -hypergeometric [Kaneko'96])
- can be extended to  $\tilde{T}_N$  geometries and to  $SU(N)$  theories.

# Summary & Outlook

- we checked explicitly that **surface operators are realized by toric branes** (proposed also by Gukov).
- the **A** model is **non-perturbative in  $\hbar, Q$**  but perturbative in the instanton number just like the CFT perturbative result.
- the **B** model is **perturbative in  $\hbar, Q$  but exact in the complex structure parameters**, this fact allows the study of the modularity property of the amplitudes. **Mirror Symmetry allows to move in the moduli space of complex structure.**
- **toric approach** maybe useful also for the computation of **Toda 3-point functions** and to better understand punctured surfaces.
- computation & classifications of surface operators
- surface operators related to  $V_{mb+n/b}$  and more general..
- relation to WZW