# A Decoupling Limit of AdS/CFT

## Marta Orselli

Niels Bohr Institute



Based on:

hep-th/0605234 , hep-th/0608115 hep-th/0611242 , arXiv:0707.1621 arXiv:0806.3370 , arXiv:0912.5522 + work in progress... IDEA: obtain an understanding of the quantum mechanical nature of black holes

- Important for: solving Hawking's information paradox
  - understanding the origin and evolution of our Universe
  - knowing the microstates of black holes

Black holes are classical solutions of Einstein's eq. of GR
→region of space from which nothing can escape



To obtain a quantum mechanical description of BH one needs a quantum theory of gravity

→ String theory is the leading candidate for a quantum theory of gravity

GOAL: obtain an understanding of the quantum mechanical nature of BH in the context of string theory using the AdS/CFT correspondence

AdS/CFT correspondence: duality relating a CFT and a string theory

 $\rightarrow$  Any physical phenomena in the CFT side can be described as a physical phenomena in the string theory side and viceversa

Why AdS/CFT useful:

• understand how BH emerge from gauge theories

 $\rightarrow$  Gauge theories are quantum mechanical  $\clubsuit$  we obtain a quantum mechanical description of BH

• study physics of BH using connection to the physics of gauge theory

 $\rightarrow\,$  Experiments on quark-gluon plasma at RHIC and LHC might represent a window into BH physics

- AdS/CFT could provide the first opportunity for an experimental foundation of a quantum mechanical description of BH
- understanding the physics of BH can be used to predict strong coupling behavior of gauge theories

The power of the AdS/CFT correspondence is that it gives a tool to describe the non perturbative physics of gauge theory and string theory

Understanding how BH can be described by QFT requires understanding how strings can be described by QFT

A lot of progress lately in the context of the

#### AdS<sub>5</sub>/CFT<sub>4</sub> correspondence

 $\rightarrow \mathcal{N}\text{=}$  4 SU(N) SYM on R  $\times$  S^3 dual to type IIB string theory on AdS $_5 \times$  S^5

Dictionary relating  $\lambda$ , N to g<sub>s</sub>, I<sub>s</sub> and R (the AdS<sub>5</sub>, S<sup>5</sup> radius):

string tension 
$$T_{str} = \frac{1}{2}\sqrt{\lambda}$$
 with  $T_{str} = \frac{R^2}{4\pi l_s^2}$   
string coupling  $g_s = \frac{\lambda}{N}$  't Hooft coupling  $\lambda = g_{YM}^2 N$ 

This is in accordance with 't Hooft's expectations

- $\bullet~g_{s}$  is inversely proportional with N
- Large  $\lambda$  corresponds to semi-classical limit for world-sheet theory

AdS/CFT:  $\Rightarrow$ A strong/weak coupling duality



A quantitative matching of gauge and string theory needs to interpolate between weak and strong coupling

Many impressive results achieved in the planar limit of N = 4 SYM using the connection with certain **spin chains : Integrability** 

Alday, Astolfi, Arutyunov, Bak, Beccaria, Beisert, Bianchi, Bombardelli, Cicuta, Dorey, Ferretti, Fiamberti, Fioravanti, Forcella, Forini, Freyhult, Frolov, Gaiotto, Giangreco Marotta Puletti, Giombi, Grignani, Gromov, Harmark, Hernandez, Janik, Kazakov, Klose, Korchemsky, Kristjansen, Kruczenski, Lopez, Lukowski, Maldacena, Mc Loughlin, Minahan, MO, Nishioka, Penati, Plefka, Rey, Ricci, Roiban, Sant'Ambrogio, Semenoff, Serban, Sieg, Staudacher, Stephanski, Takayanagi, Tateo, Tirziu, Torrielli, Tseytlin, Vieira, Zanon, Zarembo, Zoubos.....

Limitation: Works only for planar limit N =  $\infty$ 

To go beyond the planar limit we should find another approach

## Our approach:

We study both gauge theory and string theory in the regime:

 $E - J \ll \lambda \ll 1, \ J \gg 1$  with  $J = J_1 + J_2$   $\lambda = g_{YM}^2 N$ 

E: Energy of a state (=dimension of operator)

J<sub>i</sub>: The three R-charges for the SU(4) R-symmetry (gauge theory) The three angular momenta on S<sup>5</sup> (string theory)

We claim that both string theory and gauge theory are reliable in this regime

If true  $\Rightarrow$  Provides a new way to quantitatively match gauge and string theory

To implement this, we take the *decoupling limit*  $\lambda \to 0, \ \frac{E-J}{\lambda}$  fixed,  $J_i$  fixed, N fixed

We take the same limit on the gauge and string theory sides

The approach does not rely on taking the planar limit

 $\Rightarrow$ We hope to make quantitative matches beyond the correspondence between planar N = 4 SYM and tree-level string theory on AdS<sub>5</sub>  $\times$  S<sup>5</sup>

## Plan of talk:

Decoupling limit on the gauge theory side

Decoupling limit on the string theory side

Conclusions & Future directions

## Decoupling limit on the gauge theory side:

We consider  $\mathcal{N}$  = 4 super Yang-Mills (SYM) on R  $\times$  S<sup>3</sup>

State/operator correspondence:

State, CFT on  $R \times S^3$ Energy E Gauge singlet Operator, CFT on R<sup>4</sup>

Scaling dimension D

Gauge invariant operator

Gauge singlets: Because flux lines on S<sup>3</sup> cannot escape We put R(S<sup>3</sup>) = 1, hence E=D

The set of gauge invariant operators is given by linear combinations of all possible multi-trace operators: Tr(...)Tr(...)Tr(...)

Multitrace operators are made from single-trace operators:

 $\operatorname{Tr}(A_1A_2\cdots A_L)$ ,  $A_i \in \mathcal{A}$ 

 $\mathcal{A}$ : The set of letters of  $\mathcal{N}$  = 4 SYM

3 complex scalars1 gauge boson8 fermionsplus descendants using the

covariant derivative

We get the spectrum of operators by diagonalizing the Dilatation operator D

$$D = D_0 + \lambda D_2 + \lambda^{3/2} D_3 + \lambda^2 D_4 + \cdots$$

Here  $D_0$  is the bare scaling dimension,  $D_2$  is the one-loop dilatation operator, etc.

Take now the decoupling limit

$$\lambda \rightarrow 0, \ H \equiv rac{D-J}{\lambda}$$
 fixed,  $J_i$  fixed,  $N$  fixed

$$\begin{array}{ll} J \equiv J_1 + J_2 \\ N: \mbox{ We are considering } \mathcal{N} = 4 \ \mbox{SYM with gauge group SU(N)} \end{array}$$

We see that 
$$\frac{D-J}{\lambda} = \frac{D_0 - J}{\lambda} + D_2 + \mathcal{O}(\lambda)$$

Since D<sub>0</sub> - J is a integer or half-integer, we get that only states with

$$D_0 = J$$

can survive the  $\lambda \to 0$  limit

What are the operators with  $D_0 = J = J_1 + J_2$ ?

 $\Rightarrow$ All the operators made out of the two complex scalars Z, X

Z:  $(J_1, J_2, J_3) = (1, 0, 0)$ X:  $(J_1, J_2, J_3) = (0, 1, 0)$ 

E.g. a single-trace operator could be Tr(XZZXXZZZX)

This is known as the SU(2) sector of  $\mathcal{N} = 4$  SYM

We see now that for operators in the SU(2) sector, we have  $H = D_2$  for  $\lambda \to 0$ 

Conclusion: In the limit 
$$\lambda \to 0, \ H \equiv \frac{D-J}{\lambda}$$
 fixed,  $J_i$  fixed, N fixed

we decouple all states/operators except for the ones in the SU(2) sector, and the effective Hamiltonian for the surviving states are

 $H = D_2$ 

i.e. just the one-loop dilatation operator.

Note that this is true for any value of N and  $J_1$ ,  $J_2$ 

#### **Decoupling limit of planar** $\mathcal{N}$ = 4 SYM:

Planar limit N =  $\infty$   $\rightarrow$  we can focus on the single-trace sector  $Tr(A_1A_2\cdots A_L), A_i \in \{Z, X\}$ 

 $\rightarrow$ like a spin chain  $Z:\uparrow, X:\downarrow$ 

 $\mathsf{Tr}(XZZX\cdots Z)$   $\downarrow \uparrow \uparrow \downarrow \cdots \uparrow \rangle$ 

Which spin chain?

$$H = D_2 = \frac{1}{2} \sum_{i=1}^{J} (I_{i,i+1} - P_{i,i+1})$$

 $J = J_1 + J_2$ : Length of single-trace operator / spin chain

H: Hamiltonian of ferromagnetic XXX<sub>1/2</sub> Heisenberg spin chain

(Minahan and Zarembo)

 $\begin{array}{l} \underline{\text{Conclusion}:} \text{ In the limit} \\ \lambda \rightarrow 0, \ H \equiv \frac{D-J}{\lambda} \text{ fixed}, \ J_i \text{ fixed} \\ \\ \text{planar } \mathcal{N} \text{= 4 SYM on R} \times \text{S}^3 \text{ reduces to the} \\ \\ \text{ferromagnetic XXX}_{1/2} \text{ Heisenberg spin chain} \end{array}$ 

What is the low energy spectrum, i.e. the spectrum for  $H\ll 1\ ?$ 

#### Spectrum: Vacua ( $D_2 = 0$ ) plus excitations (magnons)

Vacua are given by:  $D_2 = 0$ 

The vacua are precisely the chiral primaries of N = 4 SYM obeying D<sub>0</sub> = J  $\rightarrow$ The low energy excitations are 'close' to BPS

The spectrum of the magnons is:

$$H = \frac{2\pi^2}{J^2} \sum_{n \neq 0} n^2 M_n , \quad \sum_{n \neq 0} n M_n = 0$$

A string-like spectrum in weakly coupled gauge theory

This is the leading order spectrum for planar N = 4 SYM on R  $\times$  S<sup>3</sup> in the regime

$$E-J \ll \lambda \ll 1, \ J \gg 1$$

Using Bethe ansatz + integrability of Heisenberg chain one gets the first correction:

$$H = \frac{2\pi^2}{J^2} \sum_{n \neq 0} \left( 1 + \frac{2}{J} \right) n^2 M_n , \quad \sum_{n \neq 0} n M_n = 0$$

Low energy expansion of  $H \Rightarrow$  Expansion in 1/J

### **Decoupling limit of string theory:**

Consider now the dual string theory, i.e. type IIB string theory on  $\text{AdS}_5\times\text{S}^5$ 

We want to take the decoupling limit

$$\lambda 
ightarrow 0, \; rac{E-J}{\lambda} \; {
m fixed}, \; J_i \; {
m fixed}, \; N \; {
m fixed}$$

In string theory variables:

$$R \rightarrow 0, \ \frac{E-J}{R^4}$$
 fixed,  $J_i$  fixed,  $\frac{g_s}{R^4}$  fixed



R: Radius of  $AdS_5$  and  $S^5$ 

We see that  $T_{str} \rightarrow 0$  $\Rightarrow$ We are seemingly entering the quantum string regime We argue that this is not the case for all string modes We can write the metric for  $AdS_5 \times S^5$  as:

 $ds^{2} = R^{2} \cos^{2} \xi \left[-dt^{2} + (d\Omega_{3})^{2}\right] - R^{2} (\sinh^{2} \rho + \sin^{2} \xi) dt^{2} + R^{2} A_{ij} dx^{i} dx^{j}$  $\Omega_{3}$ : The 3-sphere in S<sup>5</sup> related to J<sub>1</sub> and J<sub>2</sub>

x<sup>i</sup>: The 6 directions transverse to t and  $\Omega_3$ 

Consider first  $\xi = \rho = 0$ :  $ds^2 = R^2 [-dt^2 + (d\Omega_3)^2]$  $(d\Omega_3)^2 = \frac{1}{4} (d\theta^2 + \cos^2\theta d\phi^2) + \left(d\alpha + \frac{1}{2}\sin\theta d\phi\right)^2$ 

This gives a certain bosonic sigma-model Lagrangian, along with some constraints

Take now  $\lambda \rightarrow 0$  limit

We show that it gives the reduced action:

$$I_{\text{red}} = \frac{J}{4\pi} \int d\tilde{t} \int_{0}^{2\pi} d\sigma \left[ \sin \theta \dot{\phi} - \frac{1}{4} \left( (\theta')^{2} + \cos^{2} \theta (\phi')^{2} \right) \right]$$
  
with  $(E - J) \frac{J^{2}}{\lambda} = i \partial_{\tilde{t}}$  and  $S_{z} = \frac{J_{1} - J_{2}}{2} = -i \partial_{\phi}$ 

$$I_{\text{red}} = \frac{J}{4\pi} \int d\tilde{t} \int_0^{2\pi} d\sigma \left[ \sin \theta \dot{\phi} - \frac{1}{4} \left( (\theta')^2 + \cos^2 \theta (\phi')^2 \right) \right]$$

We see now that if  $J \gg 1$ , the action is valid semi-classically

What does this mean?

It means that for certain modes, lying in the regime

$$E - J \ll \lambda \ll 1$$
 ,  $J \gg 1$ 

the full action is large and is well-approximated by  $\mathbf{I}_{\rm red}$ 

Seems surprising since  $\lambda \ll 1$ .

However, on the gauge theory side we saw that the coupling is rather

$$\frac{\lambda}{E-J}$$

 $\Rightarrow$  Going to small  $\lambda$  is compensated by having E-J very small

$$\lambda = g_{YM}^2 N$$

What about  $\alpha$ ' corrections to the sigma-model?

Sigma-model on AdS<sub>5</sub>  $\times$  S<sup>5</sup> is exact  $\rightarrow$  No  $\alpha$ ' corrections

(Could have been very problematic since our limit goes into the quantum string regime)

What about the modes not coming from the bosonic sigma-model on  $R \times S^3$ ?

$$ds^{2} = R^{2} \cos^{2} \xi [-dt^{2} + (d\Omega_{3})^{2}] - R^{2} (\sinh^{2} \rho + \sin^{2} \xi) dt^{2} + R^{2} A_{ij} dx^{i} dx^{j}$$

I.e. what about fluctuations away from  $\xi = \rho = 0$  ?

These modes have the potential  $\frac{\alpha' J^3}{2\lambda} (\sinh^2 \rho + \sin^2 \xi)$ A confining potential  $\rightarrow$  Forces the modes to have  $\xi = \rho = 0$  as  $\lambda \rightarrow 0$  $\Rightarrow$  The modes decouple

(Similar story should work for the fermions)

 $\Rightarrow$ The extra modes can still give a contribution, even though they decouple

We need to consider also quantum effects

The extra modes can contribute when we go off-shell
 To take this into account one should integrate out the extra modes
 This can give corrections to the reduced action

$$I_{\text{red}} = \frac{J}{4\pi} \int d\tilde{t} \int_0^{2\pi} d\sigma \left[ \sin \theta \dot{\phi} - \frac{1}{4} \left( (\theta')^2 + \cos^2 \theta (\phi')^2 \right) \right]$$

However, these corrections enter as 1/J<sup>n</sup> contributions, with higher derivative terms

2) What about the zero-point energy?

Here we are saved by the fact that E = J corresponds to a half-BPS state  $\Rightarrow$ There are no quantum corrections to the zero-point energy

On the gauge theory side we have:

$$H \equiv \frac{E - J}{\lambda} = D_2$$

for small  $\lambda$ , where  $D_2$  in the planar limit is the Heisenberg spin chain Hamiltonian

For large J, it is well-known that the Heisenberg spin chain is equivalent to the following sigma-model

$$I_{\text{gauge}} = \frac{J}{4\pi} \int d\tilde{t} \int_0^{2\pi} d\sigma \left[ \sin \theta \dot{\phi} - \frac{1}{4} (\vec{n}')^2 + \frac{\pi^2}{12J^2} (\vec{n}'')^2 + \cdots \right]$$

with  $\vec{n} = (\cos\theta\cos\phi, \cos\theta\sin\phi, \sin\theta)$ 

Notice now:

 $I_{gauge} = I_{red} + higher derivative terms$ 

Thus, we see that we have matched the actions for the leading order part for large J

Moreover, we expect that the quantum fluctuations for the extra modes precisely become responsible for the higher-derivative terms that one has on the gauge theory side What about the spectrum?

We set 
$$\sin \theta = \frac{p}{\sqrt{J}}, \ \phi = \frac{x}{\sqrt{J}}$$

I.e. we are zooming in at a point on the equator of the two-sphere

We can then expand  $I_{red}$  in 1/J:

$$I_{\text{red}} = \frac{1}{4\pi} \int d\tilde{t} \int_0^{2\pi} d\sigma \left[ p\dot{x} - H(x, p) \right]$$
$$H(x, p) = \frac{(x')^2 + (p')^2}{4} + \frac{p^2}{4J} \left( (p')^2 - (x')^2 \right) + \mathcal{O}(J^{-2})$$

This gives the following spectrum (up to order 1/J):

$$H = \frac{2\pi^2}{J^2} \sum_{n \neq 0} \left( 1 + \frac{2}{J} \right) n^2 M_n , \quad \sum_{n \neq 0} n M_n = 0$$

 $\Rightarrow$ This matches the result on the gauge theory side

We have matched the spectrum of gauge and string theory to leading order.

#### **Further comments on the string side:**

Decoupling limit of strings on  $AdS_5 \times S^5$  is a non-relativistic limit where some of the modes decouple

J large 
$$\Rightarrow I_{\text{red}} \simeq \frac{1}{4\pi} \int d\tilde{t} \int_0^{2\pi} d\sigma \left[ p\dot{x} - \frac{(x')^2 + (p')^2}{4} \right] \Rightarrow A \text{ Galilean theory} \quad H = \frac{2\pi^2}{J^2} \sum_{n \neq 0} n^2 M_n$$



In this case the right pp-wave is:

$$ds^{2} = -4dx^{+}dx^{-} - \mu^{2} \sum_{I=3}^{8} x^{I}x^{I}(dx^{+})^{2} + \sum_{i=1}^{8} dx^{i}dx^{i} + 4\mu x^{2}dx^{1}dx^{+}$$

(Michelson. Bertolini, de Boer, Imeroni, Harmark and Obers)

See Grignani, Harmark, Marini and MO (2009) for a complete study of all possible pp-wave backgrounds which can be obtained as Penrose limits of the  $AdS_5 \times S^5$  geometry.

The decoupling limit can also be expressed as a limit of the thermal partition function for N = 4 SYM on R  $\times$  S<sup>3</sup> in the grand canonical ensemble

$$Z(\beta, \Omega_i) = \mathsf{Tr}(e^{-\beta E - \beta \Omega_i J_i})$$

 $\beta$  = T<sup>-1</sup> : Inverse temperature

 $\Omega_i$ : Chemical potentials corresponding to R-charges  $J_i$  of SU(4) R-symmetry

In terms of this the decoupling limit is

$$T \to 0, \ \Omega \to 1, \ \lambda \to 0, \ \tilde{T} \equiv \frac{T}{1 - \Omega}, \ \tilde{\lambda} \equiv \frac{\lambda}{1 - \Omega}, \ N \text{ fixed}$$

with  $\Omega_1 = \Omega_2 = \Omega$  and  $\Omega_3 = 0$ .

#### The limit corresponds to going near the critical point T=0, $\Omega_1 = \Omega_2 = 1$

We employ this limit to interpolate the Hagedorn temperature from weak to strong coupling

### Hagedorn temperature :

Consider the partition function

$$\log Z(\tilde{\beta}) = \sum_{n=1}^{\infty} \sum_{J=1}^{\infty} \frac{1}{n} e^{-nJ\tilde{\beta}} \operatorname{Tr}_{J}\left(e^{-n\tilde{\beta}\tilde{\lambda}D_{2}}\right) \qquad \qquad \tilde{\beta} = \frac{1}{\tilde{T}}$$

## Large $\widetilde{\lambda}$ /low temperatures:

Using the low-energy spectrum 
$$H=rac{2\pi^2}{J^2}\sum\limits_{n
eq 0}n^2M_n~,~~\sum\limits_{n
eq 0}nM_n=0$$

we find

$$ilde{T}_{H} = (2\pi)^{1/3} \left[ \zeta \left( rac{3}{2} 
ight) 
ight]^{-2/3} ilde{\lambda}^{1/3} \ \ \mbox{for} \ \ \ ilde{\lambda} \gg 1$$

Hagedorn temperature of weakly coupled planar  $\mathcal{N}$  = 4 SYM on R × S<sup>3</sup> in the limit

$$T \to 0, \ \Omega \to 1, \ \lambda \to 0, \ \tilde{T} \equiv \frac{T}{1 - \Omega} \text{ fixed}, \ \tilde{\lambda} \equiv \frac{\lambda}{1 - \Omega} \text{ fixed}$$

We have already shown that the leading part of the spectrum of planar N = 4 SYM and tree-level string theory on AdS<sub>5</sub> × S<sup>5</sup> both become

$$H = \frac{2\pi^2}{J^2} \sum_{n \neq 0} n^2 M_n , \quad \sum_{n \neq 0} n M_n = 0$$

In the regime

$$E - J \ll \lambda \ll 1$$
 ,  $J \gg 1$ 

This regime corresponds to  $\,\widetilde{\lambda} \gg 1\,\,$  for the limit of the partition function

I.e. small energies H  $\ll$  1,  $_H\equiv rac{E-J}{\lambda}$  , corresponds to large effective coupling  $~~{\tilde{\lambda}}\gg 1$ 

In conclusion, we can get the following result from our consideration on the string side

$$ilde{T}_H = (2\pi)^{1/3} \left[ \zeta \left( rac{3}{2} 
ight) \right]^{-2/3} ilde{\lambda}^{1/3} \ \ \mbox{for} \ \ \ ilde{\lambda} \gg 1$$

which matches the gauge theory result, due to the matching of the spectra.

The Hagedorn temperature is the first example of a quantity not protected by susy that has been succesfully interpolated from the gauge theory to the string theory regime *(Harmark, MO)* 

#### **Generalization to other decoupled sectors**

The previous discussion can be applied to other decoupled sectors for which the gauge/string theory spectrum can be written as

$$E = \frac{2\pi^2}{J^2} \sum_n n^2 \left( \sum_{i=1}^a M_n^{(i)} + \sum_{j=1}^b N_n^{(j)} + \sum_{\alpha=1}^c F_n^{(\alpha)} \right)$$

SU()	(2)	(1,1) <sub>bos</sub>	(1 1)	(1 2)	(2 3)	(1,1 1)	(1,1 2)	(1,2 2)	(1,2 3)
а	1	0	0	1	2	0	1	0	2
b	0	1	0	0	0	1	1	2	2
С	0	0	1	1	2	1	2	2	4

From the spectrum we find the Hagedorn temperature for large  $\,\widetilde{\lambda}\,$ 

$$\tilde{T}_H = \left(\frac{2\sqrt{2\pi}}{(2a+2b+(2-\sqrt{2})c)\zeta(3/2)}\right)^{2/3} \tilde{\lambda}^{1/3}$$



Decoupled theory for N =  $\infty/g_s$  = 0: Ferromagnetic XXX<sub>1/2</sub> Heisenberg spin chain

• Explicit matching of spectrum and Hagedorn temperature for large J

$$E = \frac{2\pi^2}{J^2} \sum_{n \neq 0} n^2 M_n \qquad \qquad \tilde{T}_H = (2\pi)^{1/3} \left[ \zeta \left(\frac{3}{2}\right) \right]^{-2/3} \tilde{\lambda}^{1/3}$$

Other limits of AdS/CFT:

- We have found 14 limits giving non-trivial decoupled theories
- We derived a new pp-wave background where type IIB string theory can be quantized (*rotated pp-wave background*).

 $\Rightarrow$ Important for approaching non-perturbative physics of type IIB string theory in AdS<sub>5</sub> × S<sup>5</sup>, such as D-branes and black holes.

## **Ongoing research & Future directions:**

1/N: Move away from planar limit/tree-level string theory



BH condense from strings when the coupling is increased

 $\Rightarrow$  at low energies the gravitons condense and form BH

**Our idea**: establish a new connecting link between gauge and string theory when the string coupling is turned on

At low coupling, the linking theory should be a theory of splitting and joining of a gas of spin chains of various lengths

Increasing the coupling the spin chain gas condenses

The condensed phase of the spin chain gas should correspond to BH physics on the string side

Goal: find a quantitative description of the condensed phase

 $\Rightarrow$  having a quantum mechanical description of BH

- Understand the Hagedorn phase transition using gauge theory
- 't Hooft: we can approach QCD (N=3) by first understanding the theory for N= $\infty$  and then making a perturbative series in 1/N