



# Entanglement entropy at quantum critical points: Can you hear the shape of Schrödinger's cat?

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# Outline

- Motivation
- Entanglement entropy
- Entanglement entropy and quantum criticality in  $1 + 1$  Dimensions
- Topological Phases, Topological Field Theories and Quantum Phase Transitions
- Conformal Quantum Critical Points in  $2 + 1$  dimensions
- Entanglement Entropy of Scale Invariant Wave Functions

## Motivation

- Entanglement entropy is a clear measure of quantum mechanical correlations in many body systems and field theories.
- It is however a very non-local quantity which very difficult to measure in macroscopic quantum systems.
- Its behavior near quantum critical points is largely not understood except in one dimension.
- Recent results show it is a quantity of interest in topological phases.

# Entanglement Entropy in Quantum Mechanics

- Consider a quantum mechanical system with two sets of degrees of freedom,  $\{\varphi_A\}$  and  $\{\varphi_B\}$ . Given the pure state  $\Psi[\varphi_A, \varphi_B]$  and the trivial density matrix for the combined system  $A \cup B$ ,

$$\langle \varphi_A, \varphi_B | \rho_{A \cup B} | \varphi'_A, \varphi'_B \rangle = \Psi[\varphi_A, \varphi_B] \Psi^*[\varphi'_A, \varphi'_B]$$

- The reduced density matrix for  $A$  is constructed by tracing over the degrees of freedom in  $B$ :

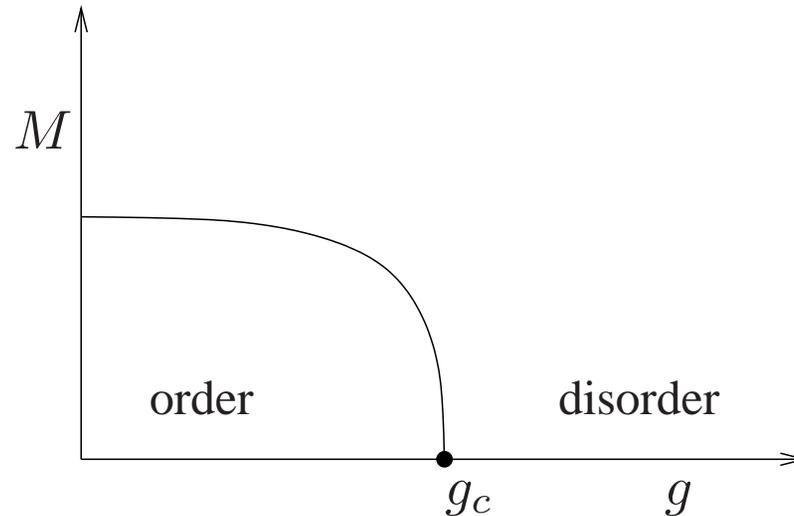
$$\langle \varphi_A | \rho_A | \varphi'_A \rangle = \text{tr}_B \rho_{A \cup B}$$

- The von Neumann entanglement entropy is

$$S_A = -\text{tr}_A (\rho_A \ln \rho_A) = -\text{tr}_B (\rho_B \ln \rho_B) = S_B$$

- The von Neumann entropy gives a measurement of how entangled (or correlated) the degrees of freedom of  $A$  are with those of  $B$ .

# Quantum Phase Transitions and Quantum Criticality



- Phase transitions at zero temperature tuned by a parameter  $g$  of a quantum Hamiltonian
- Scale Invariance at Quantum Critical Points: Correlation functions of local operators exhibit scaling.
- As the transition there is a **diverging correlation length**  $\xi \sim |g - g_c|^{-\nu}$
- The energy gap  $\Delta$  closes with a power law  $\Delta \sim |g - g_c|^{\nu z}$ , where  $z$  is the **dynamic critical exponent**
- For systems which exhibit an effective **Lorentz invariance** at criticality  $z = 1$ ; in general  $z \neq 1$ .

# $D = 1$ Quantum Criticality: Conformal Invariance

- Quantum critical systems with  $z = 1$  in one dimension are special
- In their  $1 + 1$ -dimensional space-time they exhibit an infinite conformal invariance:  
**Conformal Field Theory**
- The critical exponents are **quantum numbers** that label the representations of the (infinite-dimensional) group of conformal transformations
- (Almost) Complete **classification of quantum phase transitions of unitary relativistic ( $z = 1$ ) systems in  $1 + 1$  dimensions** in terms of **Conformal Field Theory (CFT)**
- The **central charge  $c$**  (or conformal anomaly) and the coefficients of the **operator product expansion (OPE)** of the **primary fields** define the universal properties of the CFT.
- **Universal form of the low temperature specific heat  $C/L$  and of the ground state energy density  $E/L$**

$$\frac{C}{L} = \frac{\pi}{6} \frac{cT}{v}, \quad \frac{E}{L} = \varepsilon_0 + \frac{\pi}{6} \frac{cv}{L}$$

# Entanglement and Conformal Invariance

- Calculations on a number of **spin chains** led to the suggestion that the **von Neumann entropy in  $D = 1$  quantum critical systems** has a **universal term** which scales as  $\log L$  (Rico, Latorre, Vidal, and Kitaev)
- Calbrese and Cardy (2004) (and Holzhey, Larson and Wilczek (1994)) used **conformal invariance** to show that, for a **large region of linear size  $L$ , embedded in an infinitely long  $1 + 1$ -dimensional conformally invariant system**, the **von Neumann entanglement entropy** has the asymptotic behavior

$$S = \frac{\pi}{3}c \log \left( \frac{L}{a} \right) + \text{finite terms}$$

where  $s_0$  is **non-universal**,  $a$  is a short-distance cutoff, and  $c$  is the **central charge**

- **Away from criticality**: there is a finite correlation length  $\xi$ .

$$S = \frac{\pi}{3}c \log \left( \frac{\xi}{a} \right) + \text{finite terms}, \quad \text{for } \xi \gg a$$

- **$1 + 1$  dimensional disordered quantum systems** at **random fixed points** also obey a **logarithmic scaling law** (even though they are scale but not conformal invariant!) (Refael and Moore, 2004).

# Issues on Scaling Behavior of Quantum Entanglement

- Behavior of quantum entanglement near quantum critical points in general and in higher dimensions.
- Dependence on the dynamic quantum critical exponent  $z$  which determines the nature of quantum criticality for local operators.
- Massive relativistic ( $z = 1$ ) (free) field theories obey an “area law”  $S = AL^{d-1}$  (Srednicki, 1993) (where  $A$  is non-universal).
- Calabrese and Cardy (2004) have used a heuristic scaling argument to argue that the area law is generic to quantum critical points in all dimensions.
- We will see however that, for conformal quantum critical points in  $2 + 1$ -dimensions (with  $z = 2$ ) there is in fact a universal logarithmic term.
- Universal *finite* terms appear in *topological phases* and depend on the quantum dimensions.

# Spin Liquids and Topological States of Matter

- **Liquid** phases of electron fluids and spin systems **without long range order**, with or without time reversal symmetry breaking
- **Quasiparticles**: vortices with **fractional charge** and **fractional statistics** (Abelian and non-Abelian)
- Hidden **Topological Order** and **Topological Vacuum Degeneracy**
- **Finite-dimensional quasiparticle Hilbert spaces**  $\Rightarrow$  **universal topological quantum computer**

# “Known” Topological Quantum Liquids

- 2DEG Fractional Quantum Hall Liquids
  - Abelian FQH states (Laughlin and Jain): fractional charge and Abelian fractional statistics
  - Non-Abelian FQH states: Is  $\nu = 5/2$  a Pfaffian (Moore-Read) FQH state? (firm candidate) Is the plateau at  $\nu = 12/5$  a parafermion state? (good possibility)
- Rapidly rotating Bose gases: possible non-Abelian (Pfaffian) FQH state of bosons at  $\nu = 1$
- Time-Reversal Breaking Superconductors:  $\text{Sr}_2\text{RuO}_4$  is a  $p_x + ip_y$  superconductor

# Challenges

- To develop a **consistent theory** of topological phases (i.e. beyond FQH states) and to understand the underlying mechanisms
- What are the **generic phases** of models of topological liquids
- Is the gap necessary? Can a topological liquid be gapless?
- Concrete examples of **lattice models** with local interactions with topological phases
- **Fractional Statistics: Abelian and non-Abelian**
- There has been **some progress** in constructing **models** with Abelian statistics
- To find experimentally realizable models (looks promising, not quite there yet)

# Time Reversal Invariant Spin Liquids: Quantum Dimer Models

- Simple local models describing **strongly frustrated and ring exchange quantum spin systems** with a **large spin gap and no long range spin order**
- They typically exhibit spin gap phases with different types of **valence bond crystal orders**
- QDM have special solvable points, the Rokhsar-Kivelson (RK) point, where the **exact ground state wave function** has the short range RVB form

$$|\Psi_{\text{RVB}}\rangle = \sum_{\{C\}} |C\rangle, \quad \{C\} = \text{all dimer coverings of the lattice}$$

- – **Bipartite lattices**: the RK points are **quantum (multi) critical points**, described by an effective field theory with  $z = 2$  and massless deconfined spinons, or first order transitions
- – **Non-bipartite lattices**: QDMs have **topological  $\mathbb{Z}_2$  deconfined phases** with massive spinons and a topological 4-fold ground state degeneracy on a torus (Moessner and Sondhi, 1998)

# The Quantum Dimer Model

$$H_{\text{RK}} = \sum_i (vV_i - tF_i), \quad \text{Rokhsar and Kivelson (1988)}$$

$$V_i = \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right\rangle \left\langle \begin{array}{c} \text{---} \\ \text{---} \end{array} \right| + \left| \begin{array}{c} | | \\ | | \end{array} \right\rangle \left\langle \begin{array}{c} | | \\ | | \end{array} \right| \quad F_i = \left| \begin{array}{c} \text{---} \\ \text{---} \end{array} \right\rangle \left\langle \begin{array}{c} | | \\ | | \end{array} \right| + \left| \begin{array}{c} | | \\ | | \end{array} \right\rangle \left\langle \begin{array}{c} \text{---} \\ \text{---} \end{array} \right|$$

Here each bar represents a **spin singlet bond**.

For  $t = v \Rightarrow H_{\text{RK}} = \sum_i Q_i^\dagger Q_i$ , with  $Q_i = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$ .

- The ground state wave function  $|\Psi_0\rangle$  has  $E = 0$

$$|\Psi_0\rangle = \frac{1}{\sqrt{Z_{\text{cl}}}} \sum_C |C\rangle,$$

where  $Z_{\text{cl}}$  is the sum over all dimer configurations

- Equal-time correlators in the **quantum dimer model** at the RK point are given by correlators of the **classical dimer model**.
- This is actually a **loop model**: loops are the dimer moves from a reference state. This is the simplest loop model: the  $SU(2)_1$  fully packed loop model.

# Dimers, heights and effective field theory

Moessner, Sondhi and Fradkin; Ardonne, Fendley and Fradkin

- The QDM can be mapped to a **height model**
- **Plaquette flip** changes the height of that plaquette by  $\pm 4$ , and the average height of the surrounding sites by  $\pm 1$ .
- **Equivalent configurations**:  $h \cong h + 4$ .
- **Continuum limit**:  $h \cong 4\varphi(x)$   
**Compactification Radius**:  $\varphi(x) \cong \varphi(x) + 1$ .
- **The Quantum Lifshitz model**

Hamiltonian:

$$H = \int d^2x \left[ \frac{1}{2} \Pi^2 + \frac{\kappa^2}{2} (\nabla^2 \varphi)^2 \right]$$

This is the **Quantum Lifshitz Model**. (Henley; Moessner, Sondhi and Fradkin)

- Action in imaginary time  $\tau \Leftrightarrow$  **smectic layers** in 3D classical statistical mechanics at the Lifshitz transition.

$$S = \int d^2x \int d\tau \left[ \frac{1}{2} (\partial_\tau \varphi)^2 + \frac{\kappa^2}{2} (\nabla^2 \varphi)^2 \right]$$

# Scale Invariant Ground State Wave Functions and 2D Classical Critical Phenomena

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$$\int d^2 \vec{x} \left[ -\frac{1}{2} \left( \frac{\delta}{\delta \varphi} \right)^2 + \frac{\kappa^2}{2} (\nabla^2 \varphi)^2 \right] \Psi[\varphi] = E \Psi[\varphi]$$

$$Q(\mathbf{x}) \equiv \frac{1}{\sqrt{2}} \left( \frac{\delta}{\delta \varphi} + \kappa \nabla^2 \varphi \right) \quad Q^\dagger(\mathbf{x}) \equiv \frac{1}{\sqrt{2}} \left( -\frac{\delta}{\delta \varphi} + \kappa \nabla^2 \varphi \right)$$

- **Ground state wave-function**,  $\Psi_0[\varphi]$

$$Q(\vec{x}) \Psi_0[\varphi] = 0 \quad \Rightarrow \quad \Psi_0[\varphi] \propto e^{-\frac{\kappa}{2} \int d^2 x (\nabla \varphi(\mathbf{x}))^2}$$

$$\|\Psi_0\|^2 = \int \mathcal{D}\varphi e^{-\kappa \int d^2 x (\nabla \varphi(\mathbf{x}))^2}$$

- The **ground state wave function** is **conformally invariant**

# Mapping to a 2D $c = 1$ Euclidean CFT

- The probability for a configuration  $|\varphi\rangle$  is the **Gibbs weight** of a 2D classical Gaussian model, a Euclidean 2D free massless scalar field.
- At these quantum critical points the **ground state wave function is scale invariant**
- The equal-time expectation values of the observables are correlators in this  $c = 1$  conformal field theory.
- The **equal-time expectation value** for operators in the quantum Lifshitz model are given by **correlators of the massless free boson conformal field theory** with central charge  $c = 1$ . **Time-dependent correlators** exhibit power-law behavior with **dynamical exponent  $z = 2$** .
- Matching the correlation functions of the RK and Lifshitz models, one finds  $\kappa = 1/2\pi$ .
- This is a multicritical point with many relevant perturbations: e.g diagonal dimers drive the system into a  $\mathbb{Z}_2$  topological phase



# Strategy for a Generalization

with Paul Fendley

- Each basis state in the Hilbert space is a **loop configuration** in 2D
- Start with the statistics we wish to have, and work backward
- Algebraic characterization of braiding for  $SU(2)_k$  and  $SO(3)_k$  Chern-Simons
- **Braid matrix of a 2+1-dimensional theory as a limit of the  $S$ -matrix of an associated relativistic 1+1 dimensional model**
- We construct quantum 2D models with these braid relations by utilizing the structure of the factorizable  $S$ -matrices of integrable 1D models.
- We embed the 1D model in 2D Euclidean space, and find an RK Hamiltonian
- **Loop gases:**
  - $SU(2)_k$  case:  $O(n)$  **loop lattice model** with  $n = 2 \cos(\pi/(k + 2))$   
(self-avoiding and mutually-avoiding loops)
  - $SO(3)_k$  case: **domain walls of a  $Q$ -state Potts model with**  
 $Q = 4 \cos^2(\pi/(k + 2))$  (loops intersect and branch: nets)  
 $SO(3)_3$ : **Chromatic Polynomial “Loop” gas wave function and Fibonacci anyons.**

# Entanglement Entropy of 2D Quantum Critical States

with Joel Moore

- Kitaev and Preskill (2006), and Levin and Wen (2006) showed that the **entanglement (von Neumann) entropy**  $S$  of a region of linear size  $L$  in **2D topological phases** has the behavior

$$S = \alpha L - \gamma + O(1/L)$$

$\alpha$  is a **non-universal** coefficient and  $\gamma = \ln \mathcal{D}$  is a finite **universal** constant,

$\mathcal{D} = \sqrt{\sum_i d_i^2}$ , determined by the quantum dimensions  $d_i$  of the excitations of the topological phase.

- This topological entropy plays a crucial role in **single point contacts in non-Abelian FQH states** (Fendley, Fisher and Nayak, 2006) and gives new meaning to the **boundary entropy of quantum impurity problems and 1D boundary CFTs** (Affleck and Ludwig)
- The proximity of the **2D conformal quantum critical points** we discussed here to **2D topological phases** suggest that they may hold clues on this behavior.
- Is there a **universal signature** in the von Neumann entropy of quantum critical systems?

# Can you hear the shape of Schrödinger's Cat?

- For **conformal quantum critical points**, the Hilbert space has an orthonormal basis of states  $|\{\phi\}\rangle$  indexed by classical configurations  $\{\phi\}$ , and the ground state  $|\Psi_0\rangle$  of the bipartite system is determined by a CFT action  $S$ :

$$|\Psi_0\rangle = \frac{1}{\sqrt{Z_c}} \int (d\phi) e^{-S(\{\phi\})/2} |\{\phi\}\rangle.$$

$Z_c = \int (d\phi) e^{-S(\{\phi\})}$ , and **expectation values are CFT correlators**.

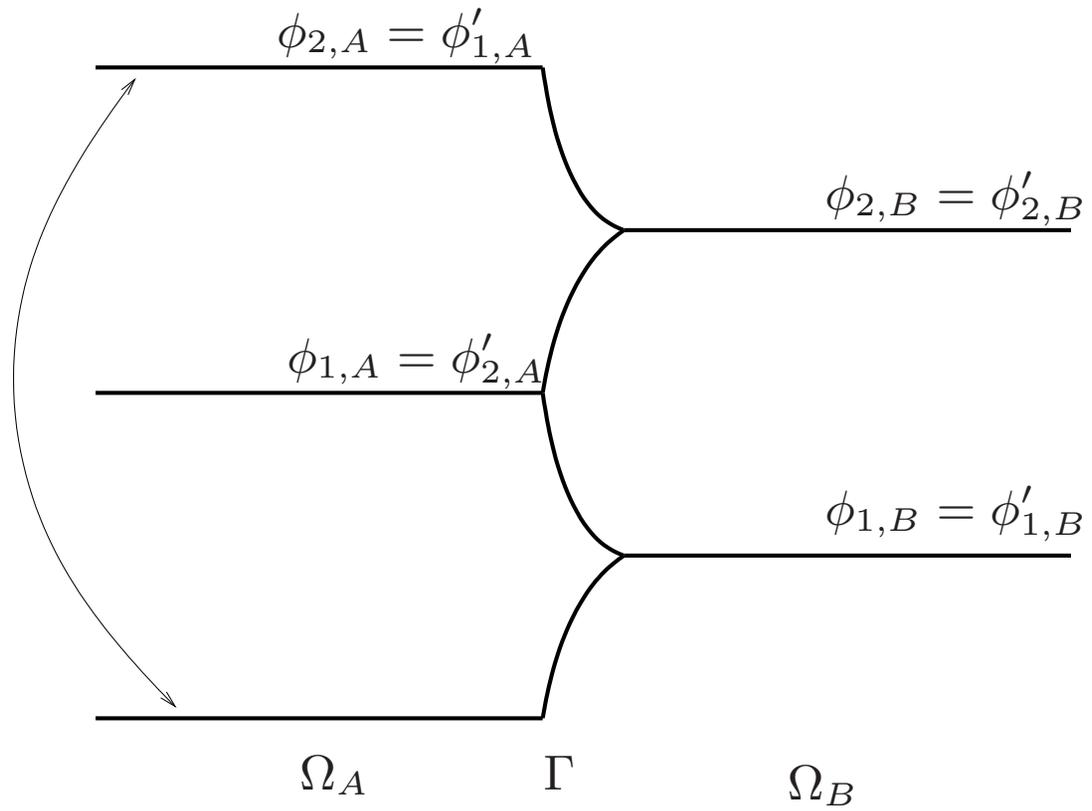
- **Entanglement Entropy**

$$S = -\text{Tr} \rho_A \log \rho_A = -\left. \frac{\partial \text{Tr} \rho_A^n}{\partial n} \right|_{n=1}.$$

- $$\begin{aligned} \langle \{\phi_1^A\} | \rho_A | \{\phi_2^A\} \rangle &= \text{Tr}_{\phi^B} (\langle \{\phi_1^A\} | \otimes \langle \{\phi^B\} | \psi_0 \rangle \langle \psi_0 | \otimes | \{\phi_2^A\} \rangle \otimes | \{\phi^B\} \rangle) \\ &= \frac{1}{Z_c} \int (d\phi^B) e^{-(S^A(\phi_1^A)/2 + S^A(\phi_2^A)/2 + S^\partial(\phi_1^A, \phi^B)/2 + S^\partial(\phi_2^A, \phi^B)/2 + S^B(\phi^B))}. \end{aligned}$$

Here the action has been divided into regions  $A$ ,  $B$ , and the boundary  $\partial$ , where the last takes into account contributions mixing the  $A$  and  $B$  degrees of freedom

- Higher powers of the density matrix need not trace to unity:  $\text{Tr} \rho_A^n$  is now a sum over  $n$  configurations defined in  $A$  and  $n$  configurations defined in  $B$ . The key is to keep track of how these different configurations are stitched together at the boundary by the terms  $S^\partial$  that link  $A$  and  $B$ :  $\{\phi_i^A\}$  is linked to  $\{\phi_i^B\}$  as well as  $\{\phi_{i+1}^B\}$  for  $i = 1, \dots, n-1$ , and  $\{\phi_n^A\}$  is linked to  $\{\phi_n^B\}$  and  $\{\phi_1^B\}$ . This is normalized through division by  $(Z_c)^n$ , which can be thought of again as  $n$  copies of  $A$  and  $B$  configurations, but with  $\{\phi_i^A\}$  linked only to  $\{\phi_i^B\}$ .



- The boundary terms impose continuity of the fields in the CFT because strong local fluctuations are penalized in the action. A key feature of the replicas in the numerator is that each replica on  $A$  is connected symmetrically to two different replicas on  $B$  and vice versa. The symmetry, which for  $N$  replicas is the Schoenflies group  $C_{Nv}$  (the cyclic group plus vertical mirror plane), prevents a unique identification of replicas on  $A$  with replicas on  $B$  except for  $N = 1$ , so the numerator cannot be equivalent to the denominator ( $N$  free fields on  $A \cup B$ ). Instead the fields from different replicas are forced to agree on the boundary: schematically

$$\text{Tr } \rho_A^n = \frac{Z(n \text{ configurations agreeing on the boundary})}{Z(n \text{ independent configurations})} = \text{Tr } \rho_B^n$$

- $\text{Tr } \rho_A^n$  can be put in a form that simplifies taking the derivative as  $n \rightarrow 1$ : for an explicit realization, consider the case of a free scalar field. Then the condition that  $n$  scalar fields  $\phi_i$  agree with each other on the boundary can be satisfied by forming  $n - 1$  linear combinations  $\frac{1}{\sqrt{2}}(\phi_i - \phi_{i+1})$ , which vanish at the boundary i.e., satisfy **Dirichlet boundary conditions**, plus one linear combination  $\frac{1}{\sqrt{n}} \sum_{i=1, \dots, n} \phi_i$  that has no boundary condition (i.e., is a free field on  $A \cup B$ ).

- For any CFT there exists a conformal boundary condition that generalizes the notion of the Dirichlet boundary condition in the free case. In terms of the partition functions  $Z_D$ , for a field in the whole system  $A \cup B$  that vanishes at the boundary, and  $Z_F$ , for a field that is free at the boundary,

$$\text{Tr } \rho_A^n = \frac{Z_D^{n-1} Z_F}{Z_F^n} = \left( \frac{Z_D}{Z_F} \right)^{n-1}$$

$$S = -\log \frac{Z_D}{Z_F} = -\log \frac{Z_D^A Z_D^B}{Z_F}$$

- In the last equality, the Dirichlet boundary condition at the boundary was used to split the partition function into contributions from  $A$  and  $B$ , each including the boundary with Dirichlet boundary conditions.
- The entanglement entropy for a general conformal quantum critical point is just the dimensionless free energy difference induced by the partition in the associated CFT:

$$S = F_A + F_B - F_{A \cup B}.$$

- For the QCPs we are discussing here  $Z$  is the partition function of a CFT with Dirichlet BCs. For a bounded region of linear size  $L$  and smooth boundary, it obeys the ‘Mark Kac law’ (‘Can you hear the shape of a drum?’)

$$F = aL^2 + bL - \frac{c}{6}\chi \log L + O(1) \quad (\text{Cardy and Peschel})$$

where  $a$  and  $b$  are non-universal, and  $\chi$  is the **Euler characteristic of the region (manifold)**:

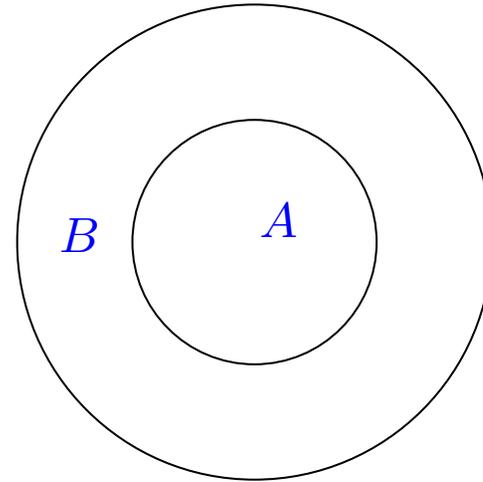
$$\chi = 2 - 2h - b, \quad h = \# \text{ handles}, \quad b = \# \text{ boundaries}$$

- This result suggests the existence of a  $\log L$  dependence with an **universal coefficient associated with the central charge  $c$  of the associated CFT**
- This result implies that for a QCP described by a scale (and conformally) invariant ground state wave function, **the entanglement entropy of regions  $A$  and  $B$  with a smooth common boundary has a universal logarithmic term** of the form

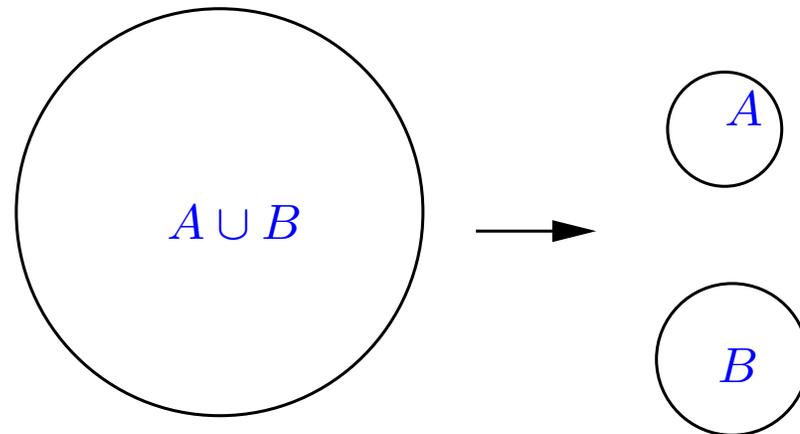
$$\Delta S = -\frac{c}{6} (\chi_A + \chi_B - \chi_{A \cup B}) \log L$$

For regions  $A \subseteq B$  the coefficient of the  $\log L$  is **zero** since in this case

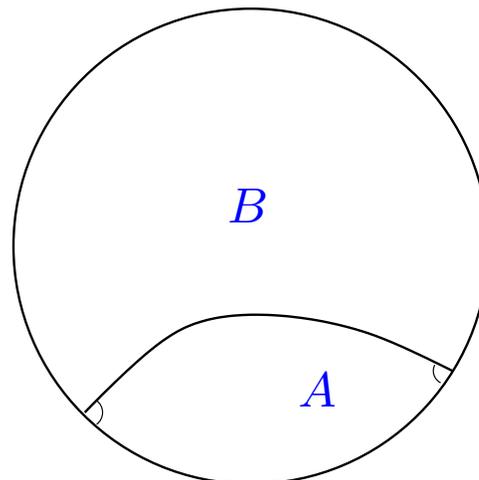
$$\chi_A + \chi_B = \chi_{A \cup B} \Rightarrow \Delta S = 0$$



If the regions  $A$  and  $B$  are physically separate and have no common intersection,  $\chi_A + \chi_B - \chi_{A \cup B} \neq 0$ . In this case, which corresponds to a process in which **the system physically splits in two disjoint parts**, there is a universal  $\log L$  term in the entanglement entropy at quantum criticality, proportional to the central charge  $c$  of the associated CFT!

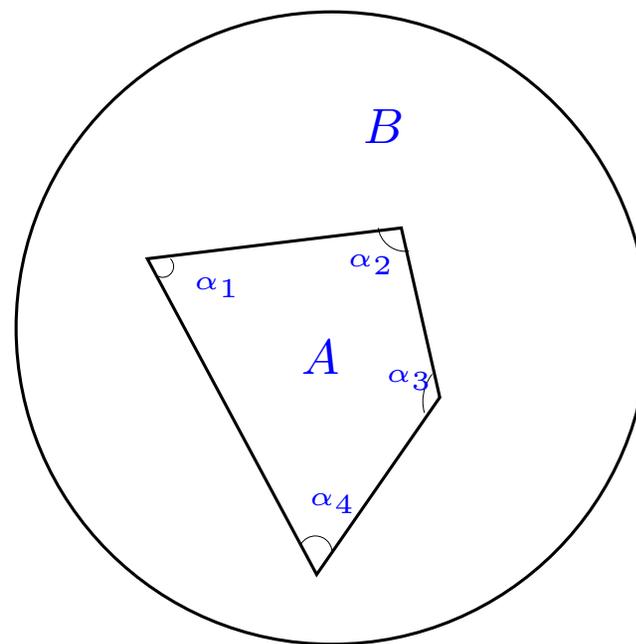


If the  $A$  and  $B$  share a common boundary, there is a  $\log L$  term whose coefficient is determined by the angles at the intersections



Or if the boundary of  $A$  is not smooth, in which case the coefficient depends on the angles  $\alpha_i$  for both regions

$$(\Delta S)_i = \frac{c \alpha_i}{24\pi} \left( 1 - \left( \frac{\pi}{\alpha_i} \right)^2 \right) \log L$$



Finite terms in the entanglement entropy depend on scale-invariant aspect ratios

# Away from Quantum Criticality: Topological Phases

- Topological Phases are proximate to conformal quantum critical points and can be accessed by relevant perturbations
- Topological Phases have a finite correlation length  $\xi$ .

$$S = \frac{c'}{3} \log \frac{\xi}{a} + \dots \quad \text{for } \xi \gg a$$

- For  $a < \xi < \infty$ , the entropy is defined by a crossover scaling function whose behavior is controlled by the correlation length  $\xi$
- At the stable fixed point,  $\xi \rightarrow a$

$$S = s_0 \frac{L}{a} - \gamma$$

$\gamma$  is universal (Kitaev and Preskill (2006); Levin and Wen (2006).)

# Conclusions

- We examined the behavior of the **Von Neumann entanglement entropy** for a class of **quantum critical points in  $2 + 1$  dimensions** with **conformally invariant *wave functions***
- These quantum critical points are *proximate to topological phases* and can be used to access them
- **The entanglement entropy at these conformal quantum critical points** also has **universal logarithmic terms** which become manifest in *topology changing processes*
- This result suggests that the **entanglement entropy is sensitive to global properties not only in topological phases but also at quantum criticality.**