

# Experimental Progress in Kaon Physics

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# Outline of the lecture

- ❖ Introduction: landmark results, physics issues, present impact and context of Kaon Physics
- ❖ Same formalism and review of experiments and measurements of symmetry violation effects with Kaons
- ❖ Prospects in Kaon physics: quantitative test of theoretical techniques and review of high precision rare decays measurements and experiments

# Kaon Physics: landmark results

- ❖ Concept of strangeness → quark model  
→ basis of QCD
- ❖ First hint of Parity Violation → chiral nature of weak gauge forces
- ❖ Absence of FCNC → charm quark and GIM structure of flavour dynamics
- ❖ Discovery of CP Violation → matter-antimatter asymmetry → 3-generation structure of nature and KM description

# Kaon Physics Issues

## Motivations for Kaon Experiments:

- ❖ Symmetry violation measurements
- ❖ Overconstrain flavour structure of Standard Model
- ❖ Sharpen theoretical tools

CP Violation: charge asymmetry, T violating observables

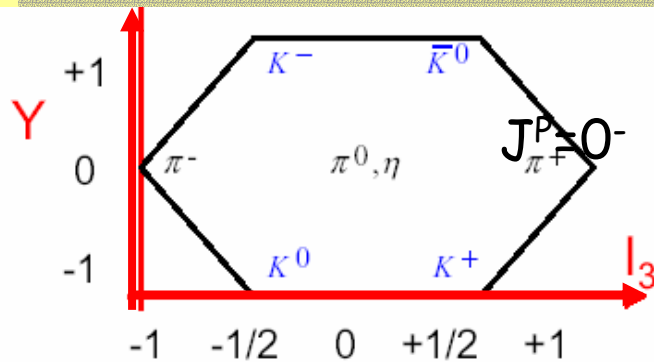
CPT test: tighter constraints from Bell-Steinberger rule,  $K_S/K_L$  semileptonic decays

Rare decays suppressed (due to 2<sup>nd</sup> order weak interactions, GIM, CP Violation) or not allowed by SM  
→ fundamental SM parameters  
→ sensitivity to new physics BSM

Low energy hadron dynamics:  $\chi$ PT tests and parameter determination  
→ framework for interpretation of more fundamental processes

# Some properties of Kaons

Kaons: pseudoscalar mesons  
Production: S.I. - Decay: W.I.



$$\tau_L = (5.17 \pm 0.04) \times 10^{-8} \text{ s}$$

$$\tau_S = (8.927 \pm 0.009) \times 10^{-10} \text{ s}$$

$$\tau_L \approx \tau_S \times 579$$

$$m_{K^0} = 497.672 \pm 0.031 \text{ MeV}$$

$$\Delta m = m_L - m_S = (5.304 \pm 0.014) \times 10^9 \text{ s}^{-1}$$

$$\tau_{K^+} = (1.2385 \pm 0.0024) \times 10^{-8} \text{ s}$$

$$m_{K^+} = 493.677 \pm 0.016 \text{ MeV}$$

## NEUTRAL KAON SYSTEM

### Strangeness eigenstates

$$K^0 (\bar{s}d) \quad (S = +1)$$

$$\bar{K}^0 (s\bar{d}) \quad (S = -1)$$

### CP eigenstates

$$K_1 = (K^0 + \bar{K}^0) / \sqrt{2} \quad (CP = +1)$$

$$K_2 = (K^0 - \bar{K}^0) / \sqrt{2} \quad (CP = -1)$$

### Mass eigenstates

$$K_S \cong K_1 + \varepsilon K_2 \quad (c\tau = 2.67 \text{ cm})$$

$$K_L \cong K_2 + \varepsilon K_1 \quad (c\tau = 15.51 \text{ m})$$

$$\varepsilon \sim 2.28 \times 10^{-3}$$

$$\text{Arg}(\varepsilon) \sim \tan^{-1}(2\Delta m / \Delta \Gamma) \sim 43.5^\circ$$

# The physics context: CKM matrix

**CKM: Unitarity matrix connecting weak with mass quark eigenstates**

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

**Wolfenstein Parametrization:**

- expansion in power of  $\lambda = |V_{us}|$
- 4 parameters:  $\lambda, A=V_{cb}/\lambda^2, \rho, i\eta$
- 3 real parameters, 1 phase

$$\begin{pmatrix} 1-\lambda^2/2 & \lambda^2 & A\lambda^3(\rho-i\eta) \\ -\lambda^2 & 1-\lambda^2/2 & A\lambda^2 \\ A\lambda^3(1-\rho-i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

where  $\lambda = \sin(\theta_{\text{Cabibbo}}) \sim 0.220$   $A \sim \rho \sim \eta \sim 1$   
and the imaginary part  $\eta$  violates CP

Unitarity implies

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = V_{\text{CKM}}^\dagger V_{\text{CKM}} = \mathbf{1}$$

i.e. rows and columns verify:

$$\sum_{i=1,3} V_{ij}^2 = \sum_{j=1,3} V_{ji}^2 = 1$$

$$\sum_{i=1,3} V_{ji} V_{ki}^\dagger = \sum_{j=1,3} V_{ij} V_{ik}^\dagger = 0$$

**6 triangles in the complex plane ( $\rho, \eta$ )**  
with same area  $A^2 \lambda^6 \eta$ , length of sides  
obtained by measuring decay rates, angles  
obtained by measuring CP asymmetries.

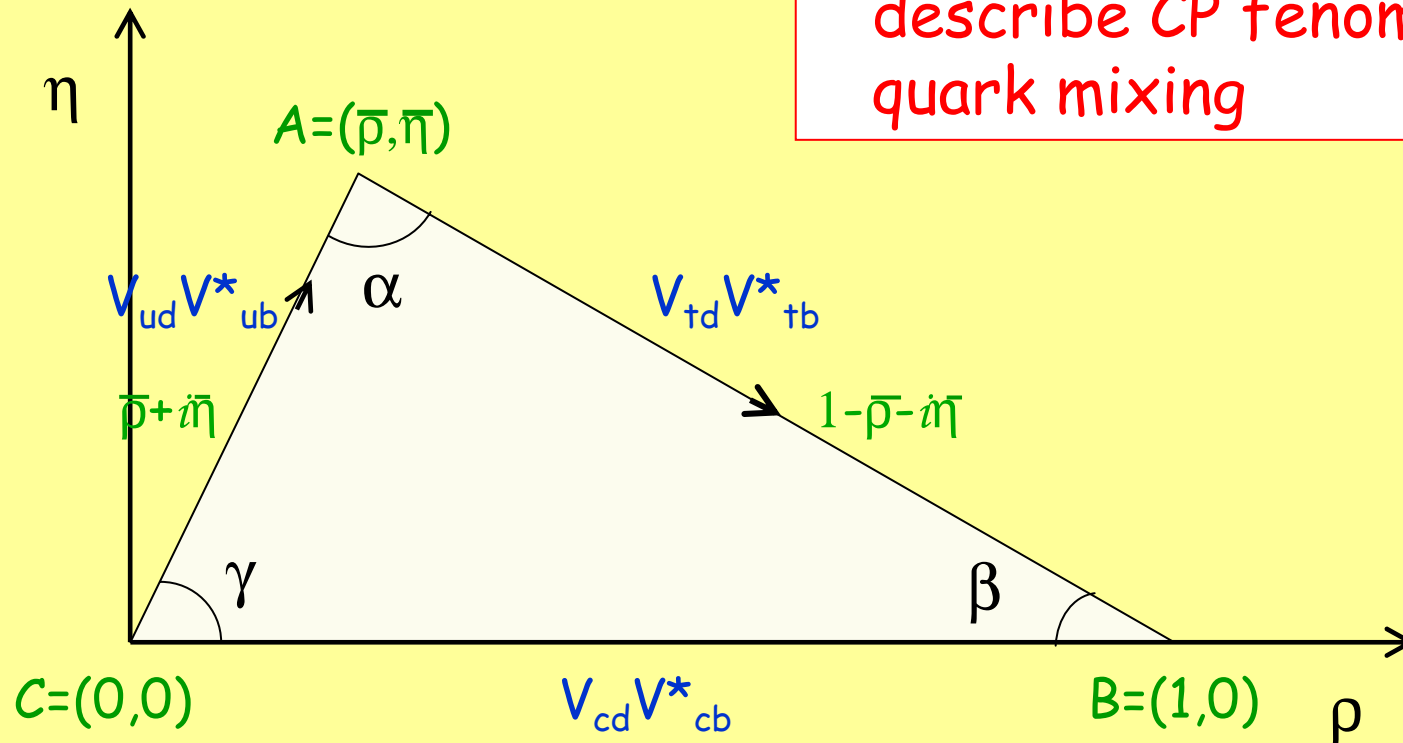
# Unitarity Triangle

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

$$\bar{\rho} = \rho (1 - \lambda^2/2)$$

$$\bar{\eta} = \eta (1 - \lambda^2/2)$$

➤  $\rho$  and  $\eta$  precise measurements are very important to confirm the CKM formalism used to describe CP phenomena and quark mixing



# Test CP structure of CKM matrix

**K** and **B** provide 4 super-clean mode measurements to test **CP** violation and quark mixing formalism with sufficient theoretical robustness

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

$$|V_{ts}^* V_{td}|$$

E787/E949 (BNL)  
CKM (FNAL)

$$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$$

$$\text{Im}(V_{ts}^* V_{td}) \propto \eta$$

KOPIO (BNL)  
E391a/JHF (KEK)

$$B_d \rightarrow \Psi K_s$$

$$\sin 2\beta$$

BABAR, BELLE, CDF  
DO, LHCb, BTeV

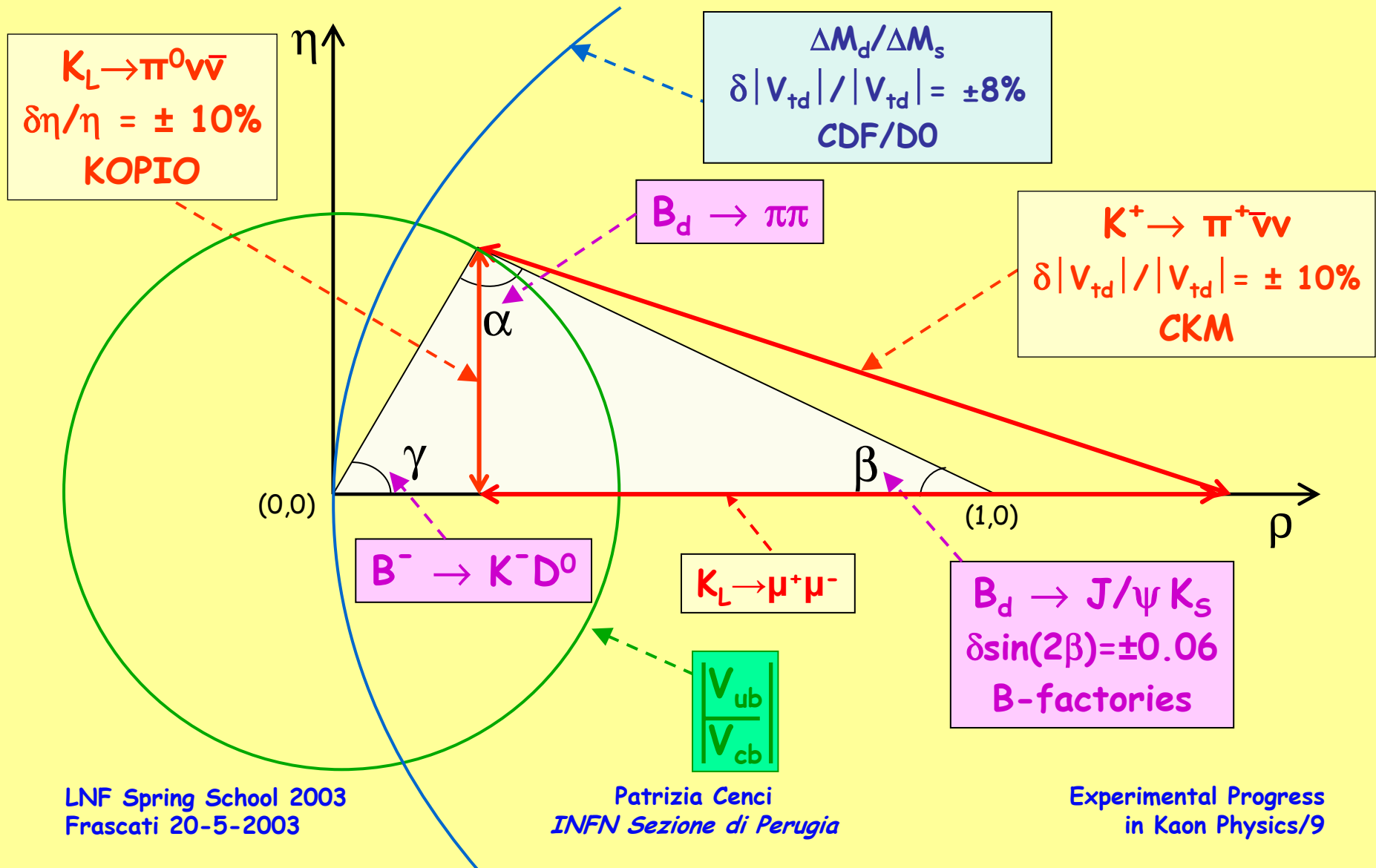
$$\frac{x_s}{x_d} = \frac{B_s - \bar{B}_s}{B_d - \bar{B}_d}$$

$$|V_{ts} / V_{td}|$$

CDF, DO  
LHCb, BTeV



# Constraints from K and B contributions



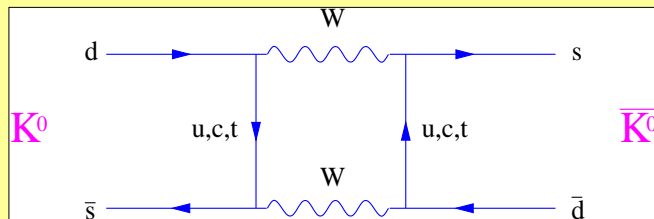
# Simmetry Violation Tests with Kaons

- Brief review of  $\text{Re}(\epsilon'/\epsilon)$  measurement results and experiments
- T violation in neutral Kaon Mixing
- CPV in  $K_L \rightarrow \pi^+\pi^-e^+e^-$  (T-odd asymmetry)
- CPV in semileptonic  $K^0$  decays CPV in  $K_S \rightarrow 3\pi^0$  (CPT test)
- CPV in charged kaon decays

# CP Violation in $K^0 \rightarrow \pi\pi$

CP Violation: naturally included in the SM due to an irreducible complex phase in the quark mixing matrix with three families

Indirect CPV:  $\epsilon$   
 $K^0$ - $\bar{K}^0$  mixing  
 Box diagram  $\Delta S=2$

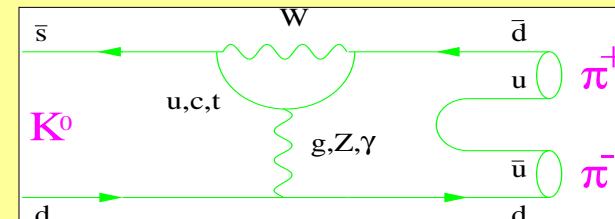


$$|A(K^0 \rightarrow \pi\pi)| \neq |A(\bar{K}^0 \rightarrow \pi\pi)|$$

$$|\epsilon| = (2.282 \pm 0.017) \times 10^{-3}$$

(PDG2002)

Direct CPV:  $\epsilon'$   
 Interference of I=0,2 amplitudes  
 Penguin diagram  $\Delta S=1$



$$A(K_L \rightarrow \pi^+ \pi^-) / A(K_S \rightarrow \pi^+ \pi^-) = \eta^{+-} = \epsilon + \epsilon'$$

$$A(K_L \rightarrow \pi^0 \pi^0) / A(K_S \rightarrow \pi^0 \pi^0) = \eta^{00} = \epsilon - 2\epsilon'$$

$$\epsilon' = i e^{i(d_2 - d_0)} \text{Im}(A_2/A_0) / \sqrt{2}$$

# The $\text{Re}(\varepsilon'/\varepsilon)$ measurement

$\text{Re}(\varepsilon'/\varepsilon)$  is connected to the "Double Ratio"  $R$  of the four observable decay rates  $K^0 \rightarrow \pi\pi$ :

$$R = \frac{|\eta^{00}|^2}{|\eta^{+-}|^2} = \frac{\Gamma(K_L \rightarrow \pi^0\pi^0) \times \Gamma(K_S \rightarrow \pi^+\pi^-)}{\Gamma(K_S \rightarrow \pi^0\pi^0) \times \Gamma(K_L \rightarrow \pi^+\pi^-)} \cong 1 - 6 \text{Re}(\varepsilon'/\varepsilon)$$

The measurement of Double Ratio: count number of events

$$R = \frac{N(K_L \rightarrow \pi^0\pi^0) \times N(K_S \rightarrow \pi^+\pi^-)}{N(K_S \rightarrow \pi^0\pi^0) \times N(K_L \rightarrow \pi^+\pi^-)}$$

If the 4 modes are taken:

⇒ Simultaneously

⇒ In the same decay region

Theoretical predictions:  $\text{Re}(\varepsilon'/\varepsilon)$  in the range  $5-40 \times 10^{-4}$

# Review of $\text{Re}(\epsilon'/\epsilon)$ experiments

- ❖ Pioneer experiment: (1972, BNL) Princeton experiment:  
 $\text{Re}(\epsilon'/\epsilon) = -0.008 \pm 0.02$
- ❖ First generation experiments:  
CERN NA31 (1981) and FNAL E731 (1983)
- ❖ Last generation experiments:  
CERN NA48, FNAL KTeV, KLOE at DAΦNE (LNF)

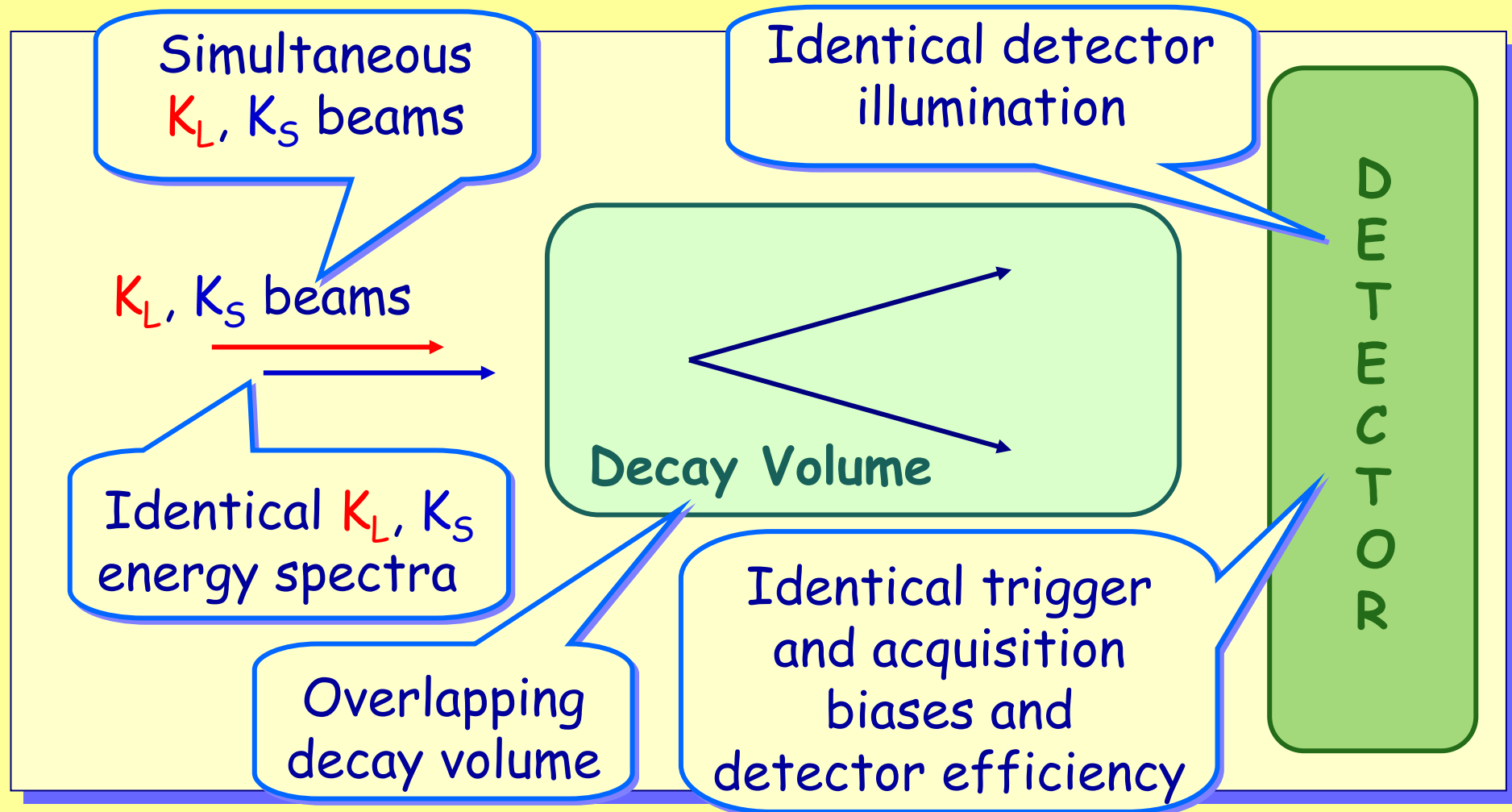
$K_L$  + regenerated  $K_S$  beam, the 4  $\pi\pi$  modes collected in different periods, decay ratios corrected for beam fluxes:

$$\frac{|\eta^{00}|^2}{|\eta^{+-}|^2} = 1.05 \pm 0.14$$

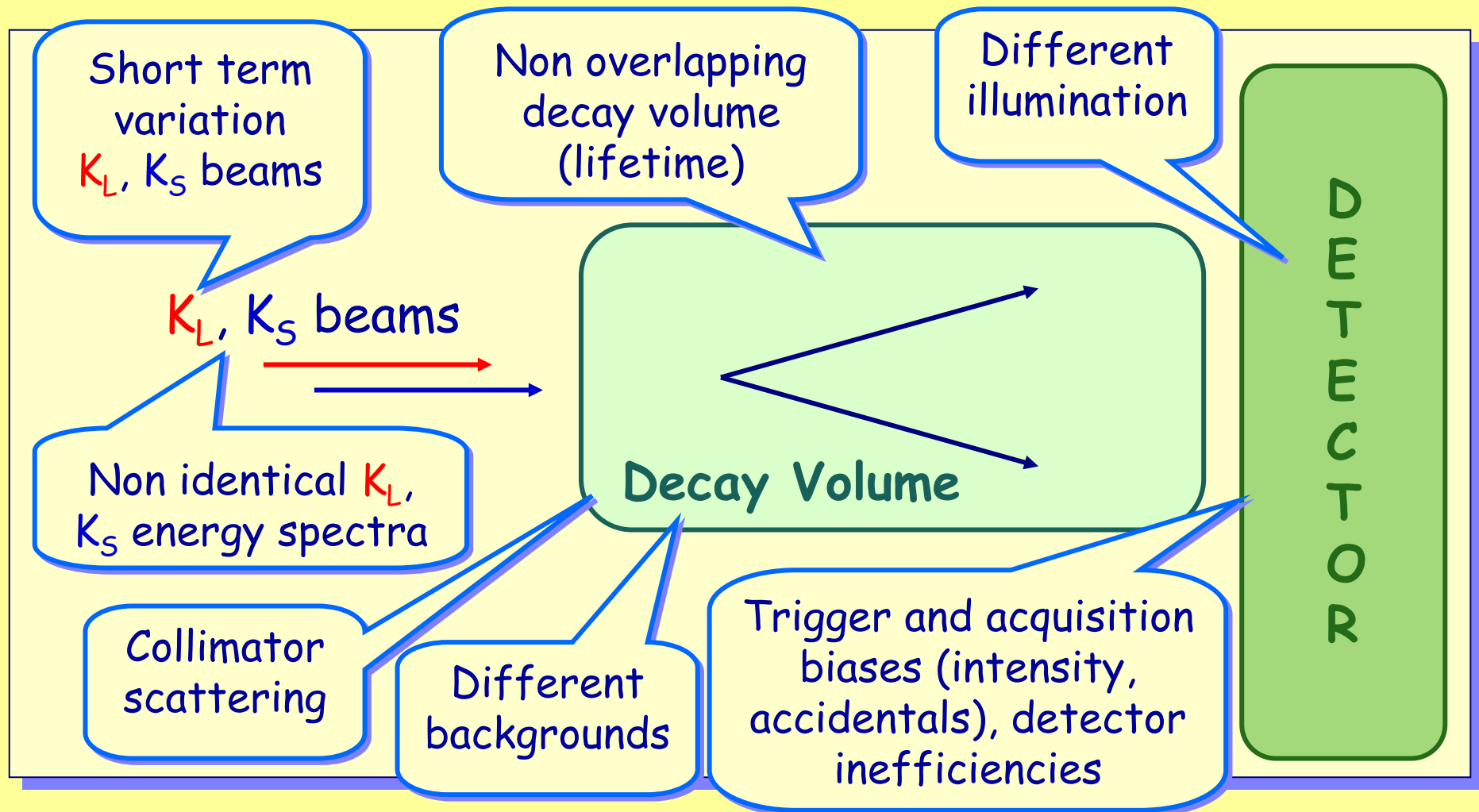
Error down to  $10^{-4}$ : high intensity simultaneous  $K_L$  and  $K_S$  beams and concurrent modes collection

New precision experiment design, error down to  $10^{-3}$ : intense beams, Double Ratio technique, systematics reduced with simultaneous mode collection (NA31) or simultaneous beams (E831)

# The ideal $\text{Re}(\epsilon'/\epsilon)$ experiment



# ...and the real case



# Re( $\epsilon'/\epsilon$ ) in KTeV and NA48

- Last generation experiments for Re( $\epsilon'/\epsilon$ ) measurement
- High intensity simultaneous  $K_L$  and  $K_S$  beams
- Simultaneous collection of the four decay modes  
→ detector inefficiencies drop out
- Precise magnetic spectrometer and EM calorimeter  
→ small backgrounds from other K decays and good control of the decay volume

	KTEV-FNAL	NA48-CERN
Proton beam	Tevatron (800 GeV)	SPS (450 GeV)
$K_S$ Production	Regenerator	2 <sup>nd</sup> target
$K_S$ Identification	Center of Gravity of K	Proton tagging
$K_{S,L}$ decay vertex dist.	MC (KL weighting as check)	$K_L$ weighting



# Re( $\epsilon'/\epsilon$ ) measurement results

NA31:  $(23.0 \pm 6.5) \times 10^{-4}$

E731:  $(7.4 \pm 5.9) \times 10^{-4}$

NA48:  $(14.7 \pm 2.2) \times 10^{-4}$

PL B544 97 (2002) (final result)

NA48 Total Event Statistics:

$K_L \rightarrow \pi^0\pi^0$  :  $4.8 \times 10^6$      $K_S \rightarrow \pi^0\pi^0$  :  $7.4 \times 10^6$

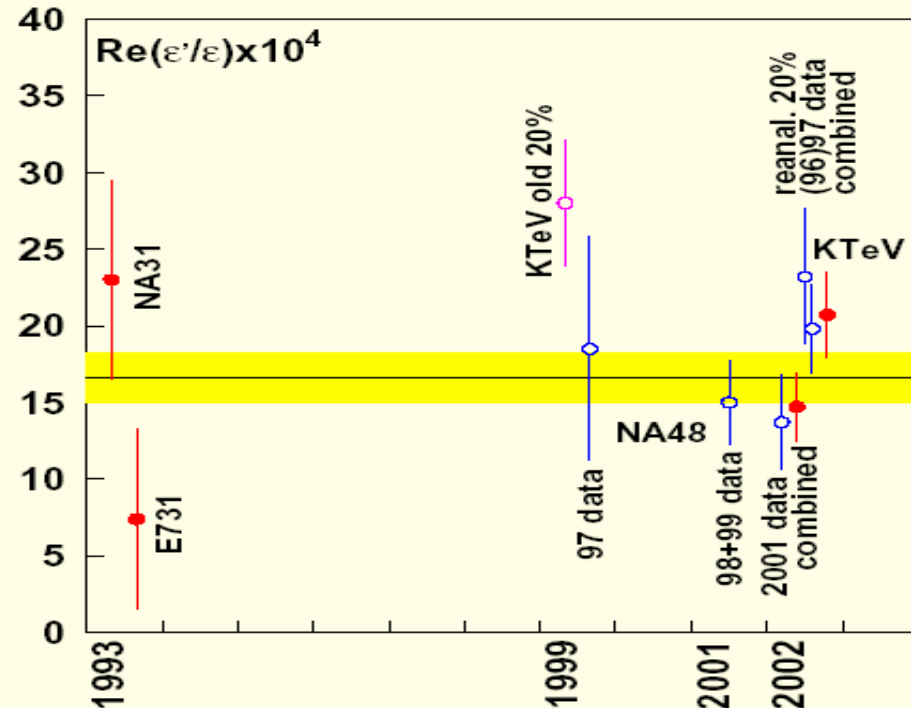
$K_L \rightarrow \pi^+\pi^-$  :  $21.6 \times 10^6$      $K_S \rightarrow \pi^+\pi^-$  :  $31.8 \times 10^6$

KTeV:  $(20.7 \pm 2.8) \times 10^{-4}$

PRD 67 012005 (2003) ('96-'97 data)

In progress analysis of 1999 data

	Vacuum beam ( $K_L$ )		Reg. Beam (" $K_S$ ")		$\sigma(\epsilon'/\epsilon)_{\text{stat}}$ ( $\times 10^{-4}$ )
	$\pi^+\pi^-$ ( $\times 10^6$ )	$\pi^0\pi^0$ ( $\times 10^6$ )	$\pi^+\pi^-$ ( $\times 10^6$ )	$\pi^0\pi^0$ ( $\times 10^6$ )	
96+97	11.2	3.4	19.4	5.6	1.5
1999	14.9	3.7	25.8	6.1	1.4
96-99	26.1	7.1	45.2	11.7	1.0



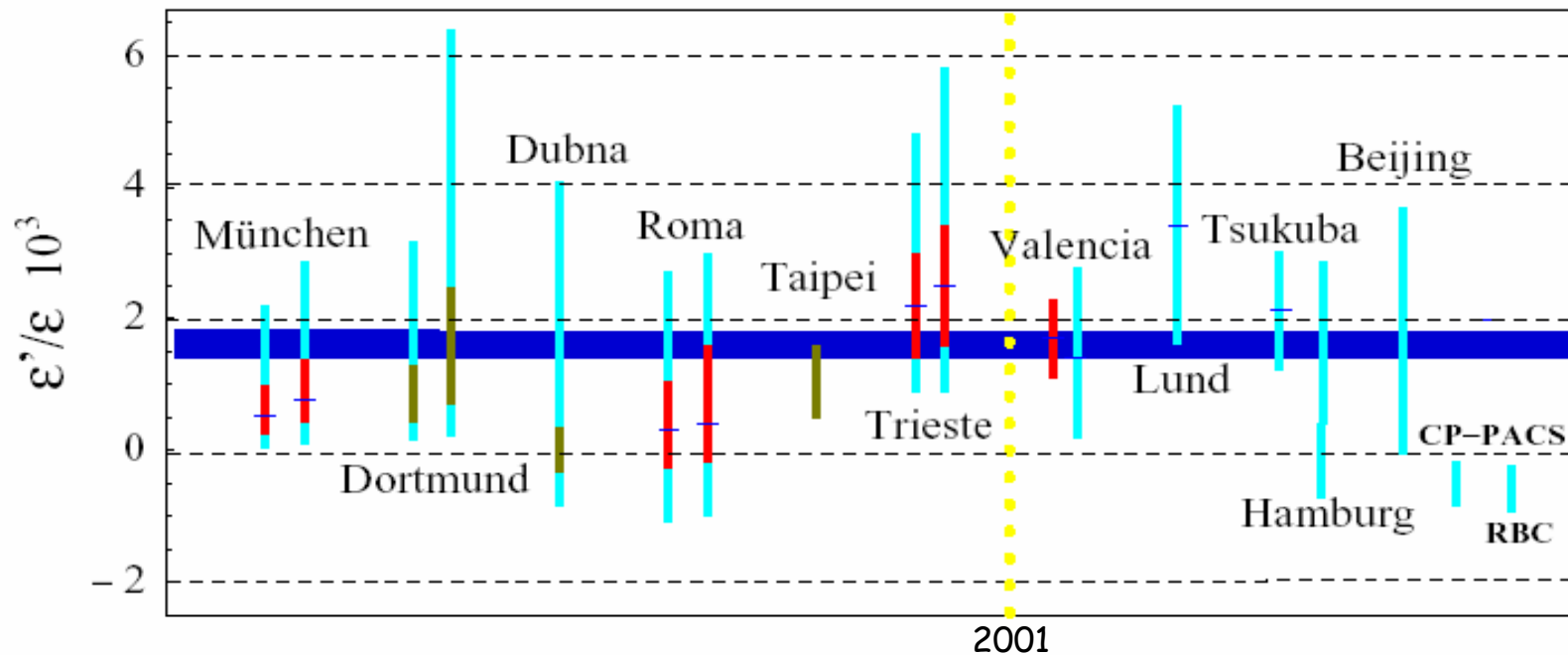
New world average:

$Re(\epsilon'/\epsilon) = (16.6 \pm 1.6) \times 10^{-4}$

$\chi^2/\text{ndf} = 6.3/3$  (10% prob.)

# Comparison of theoretical predictions

SM can stretch to accomodate experimental value

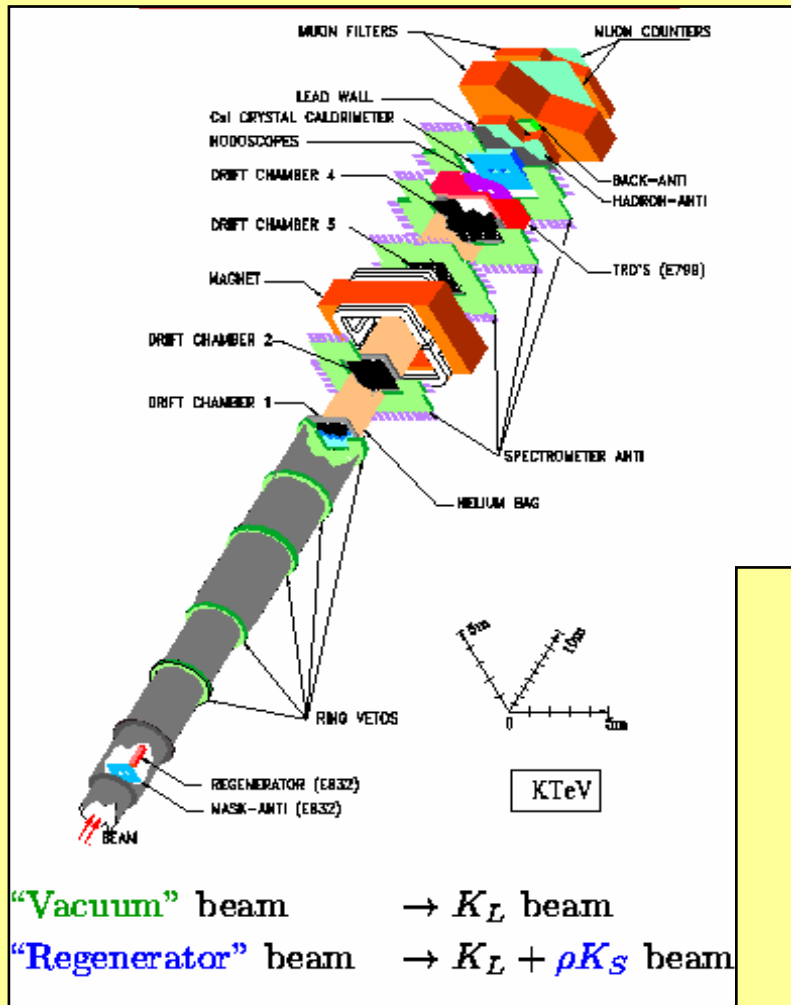


Theoretical predictions:  $\text{Re}(\epsilon'/\epsilon)$  in the range  $5-40 \times 10^{-4}$   
(but also recent negative values)

## Lessons from $\varepsilon'/\varepsilon$

- Direct CPV clearly established in  $K^0 \rightarrow \pi\pi$  by NA48 and KTeV: waiting for KLOE results
- No third generation experiments
- Result consistent with SM predictions
- Alternative to CKM mechanism excluded (superweak models and approximate-CP)
- Large hadronic uncertainties  $\rightarrow$  no useful CKM constraint
- New physics may contribute significantly as a correction to SM predictions

# FNAL KTeV Experiment



- ◆ Parallel K beams: 2 high intensity proton lines ( $\sim 10^{12}$  ppp),  $K_S$  from  $K_L$  on Regenerator (scintillator plates),  $K_S$  identification via x-y position, switches beam line once per cycle
- ◆  $\pi^+\pi^-$ : Magnetic Spectrometer
- ◆  $\pi^0\pi^0$ : CsI calorimeter
- ◆ Photon veto and muon veto

## CsI Calorimeter Resolution:

$$\sigma(E)/E \cong 2.0\%/ \sqrt{E} \oplus 0.45\%$$

(E in GeV) (0.7% for 15 GeV photons)

## Spectrometer: ( $p_T$ kick $\sim 400$ MeV/c):

$$\sigma(p)/p \cong 0.17\% \oplus 0.007 p[\text{GeV}/c]\%$$

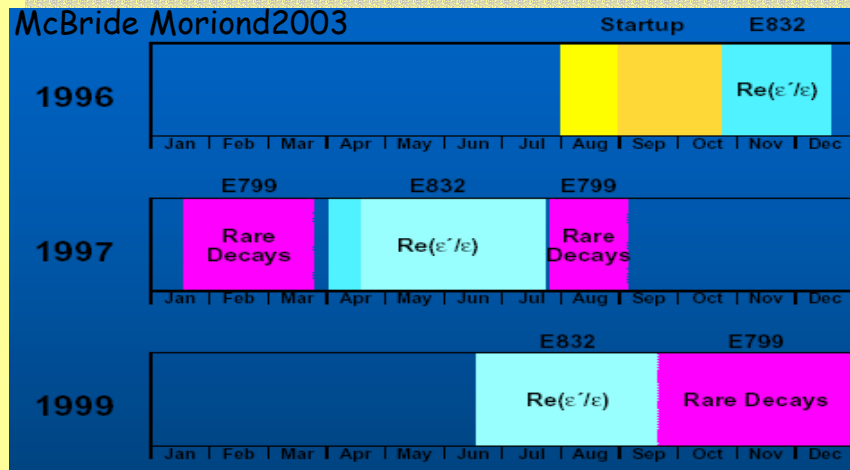
$$\sigma_M(\pi^0\pi^0) \sim \sigma_M(\pi^+\pi^-) \sim 1.5 \text{ MeV}$$

# KTeV Experimental Program

Two KTeV goal, different experimental setup:

- **E832**:  $Re(\epsilon'/\epsilon)$  measurement with  $K_S$  and  $K_L$  beams
- **E799**: no regenerator, higher beam intensity, TRD for particle identification to investigate rare K decays

Decay Mode	Publ.	# Events	BR
Direct CP Violation			
$K_L \rightarrow \pi^0 e^+ e^-$	x 97	2	$< 5.1 \times 10^{-10}$
$K_L \rightarrow \pi^0 e^+ e^-$	97+99	3	$< 2.8 \times 10^{-10}$
$K_L \rightarrow \pi^0 \mu^+ \mu^-$	x	2	$< 3.8 \times 10^{-10}$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	x	0	$< 5.9 \times 10^{-7}$
Indirect CP Violation			
$K_L \rightarrow \pi^+ \pi^+ \gamma$	x	8,669	$(2.08 \pm 0.03) \times 10^{-2}$ $\times B(K_L \rightarrow \pi^+ \pi^+)$
$K_L \rightarrow \pi^+ \pi^- e^+ e^-$		1,558	$(3.63 \pm 0.11 \pm 0.14) \times 10^{-7}$
$\chi^2$ PT and VMD			
$K_L \rightarrow \pi^0 \gamma \gamma$	x	884	$(1.68 \pm 0.07 \pm 0.08) \times 10^{-6}$
$K_L \rightarrow \pi^0 e^+ e^- \gamma$	x	48	$(2.34 \pm 0.35 \pm 0.13) \times 10^{-8}$
$K_L \rightarrow \pi^0 \pi^0 e^+ e^-$	x	1	$< 5.4 \times 10^{-9}$
$K\gamma\gamma^*$ Vertex			
$K_L \rightarrow e^+ e^- \gamma$		93.4k	$(10.13 \pm 0.04 \pm 0.05 \pm 0.29) \times 10^{-6}$
$K_L \rightarrow \mu^+ \mu^- \gamma$	x	9,327	$(3.62 \pm 0.04 \pm 0.08) \times 10^{-7}$
$K_L \rightarrow e^+ e^- \gamma \gamma$	x	1,543	$(5.84 \pm 0.15 \pm 0.32) \times 10^{-7}$
$K_L \rightarrow \mu^+ \mu^- \gamma \gamma$	x	4	$(1.04^{+0.75}_{-0.59} \pm 0.07) \times 10^{-8}$
$K\gamma\gamma^*$ Vertex			
$K_L \rightarrow e^+ e^- e^+ e^-$	x 97	441	$(3.72 \pm 0.18 \pm 0.23) \times 10^{-8}$
$K_L \rightarrow e^+ e^- e^+ e^-$	97+99	1056	$(4.07 \pm 0.12 \pm 0.11 \pm 0.16) \times 10^{-8}$
$K_L \rightarrow e^+ e^- \mu^+ \mu^-$	x 97+99	132	$(2.69 \pm 0.24 \pm 0.12) \times 10^{-9}$
Lepton Flavor Violation			
$K_L \rightarrow e^+ e^- \mu^+ \mu^-$	x 97+99	0	$< 4.12 \times 10^{-11}$
$K_L \rightarrow \pi^0 \mu^\pm e$		2	$< 4.4 \times 10^{-10}$

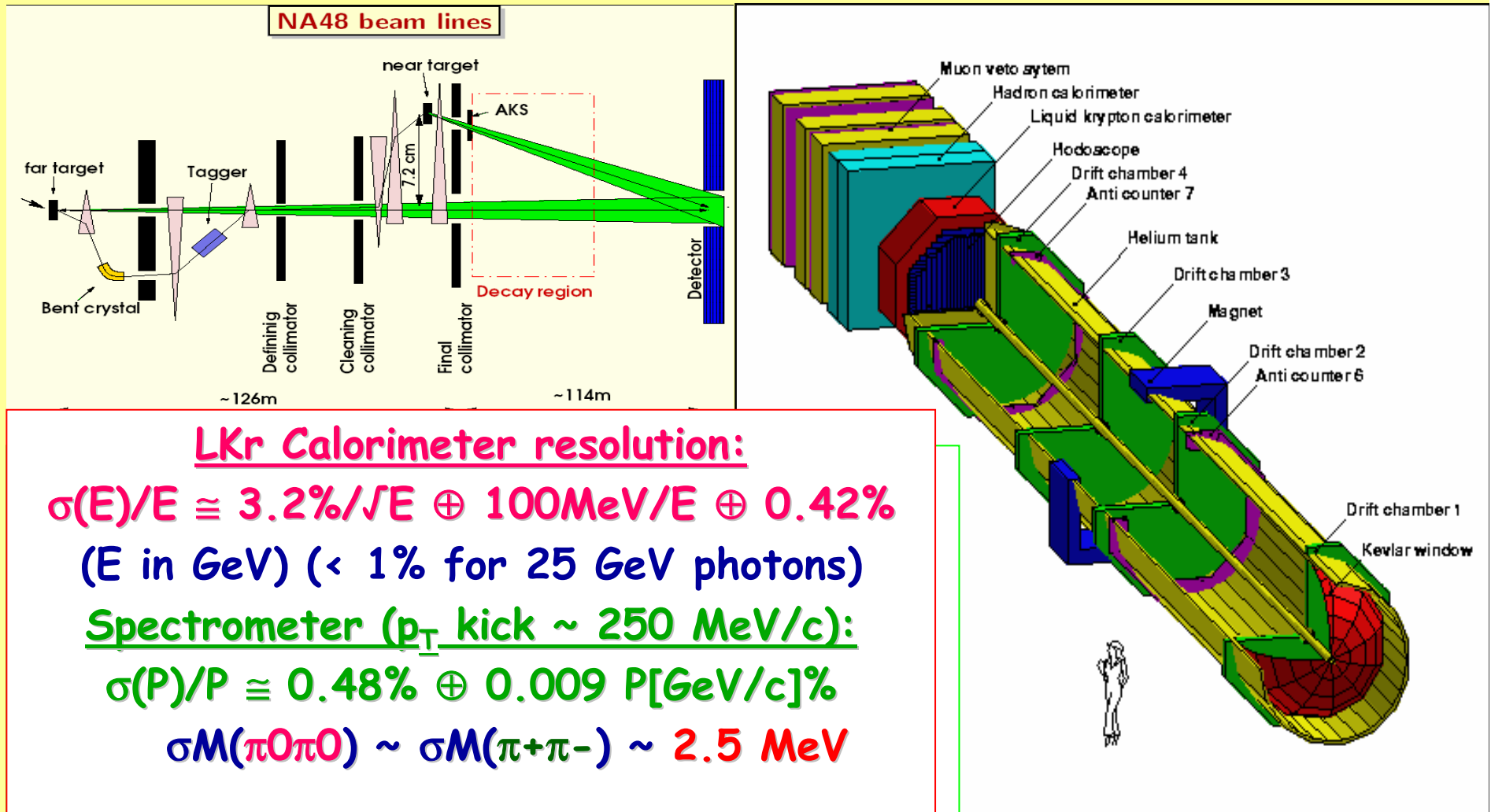


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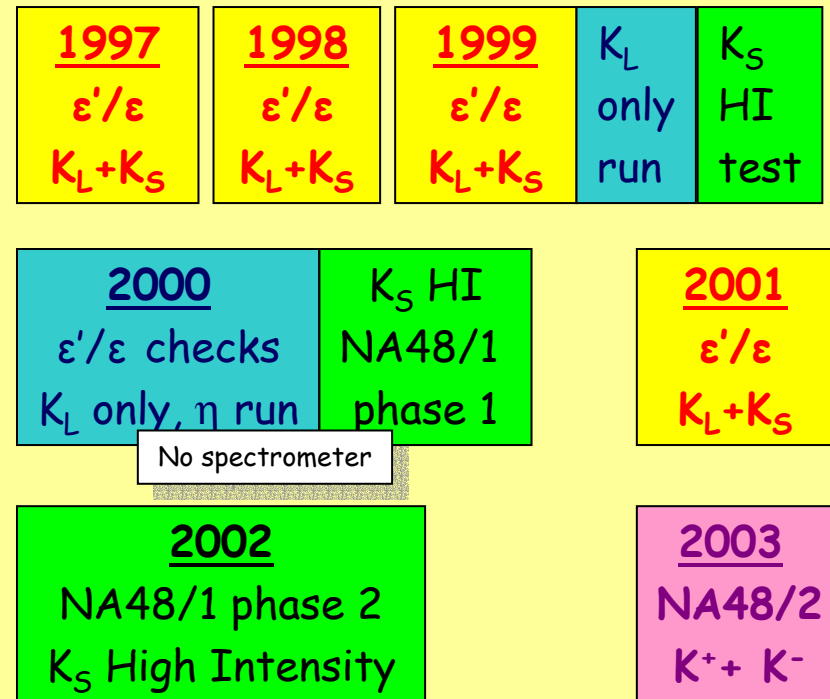
Experimental Progress  
in Kaon Physics/21

# CERN NA48 Experiment



# NA48 Experimental Program

- **Main physics program:**  
 $\text{Re}(\epsilon'/\epsilon)$  measurement
- In parallel with  $\text{Re}(\epsilon'/\epsilon)$ :  
 many rare  $K_L$ ,  $K_S$  and neutral hyperons decays studies
- **Two addenda to NA48 proposal approved in 2000:**  
NA48/1: investigation of  $K_S$  and Hyperon decays with a high intensity  $K_S$  beam  
NA48/2: precision measurement of  $K^\pm$  decay parameters with simultaneous  $K^+/K^-$  beams

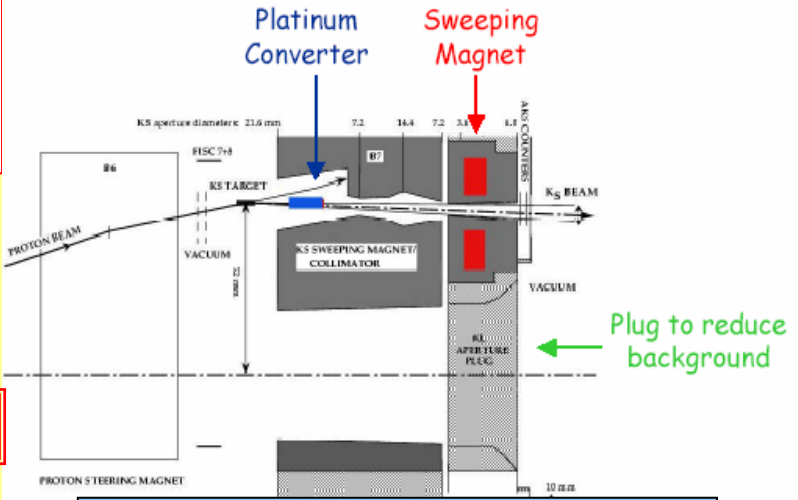


# NA48/1: $K_S$ Program

A high sensitivity investigation of  $K_S$  and neutral hyperon decays

## NA48/1: $K_S$ Physics

$K_S$ Decay Mode	PDG 2002	Theory	NA48/1 expected (stat err) <u>Measured</u>
<b>Constraint the Indirect CP-Violation in <math>K_L</math> Rare decays</b>			
$BR(K_S \rightarrow \pi^0 e^+ e^-) \times 10^{10}$	<1400 NA48/1 test	1-100	$\sim 4$ (SES)
$BR(K_S \rightarrow \pi^0 \mu^+ \mu^-) \times 10^{10}$	-	1-100	$\sim 2$ (SES)
<b>CP Violation, Test of CPT</b>			
$3\pi^0, \text{Im}(\eta_{1000}) \times 10^2$	$-5 \pm 12$	$\sim 0$	$\pm 1$ (Y2K)
$\text{Im} \delta \times 10^5$	$-2.4 \pm 5.0$	$\sim 0$	$\pm 3$ (Y2K)
$\pi^+ \pi^- \pi^0, \text{Im} \eta_{+-0} \times 10^3$	$-2 \pm 9$	$\sim 0$	$\pm 5$
CPC $\text{Re} \lambda \times 10^3, \text{Im} \lambda \times 10^3$	$28 \pm 9, -10 \pm 8$	-	$\pm 4, \pm 4$
$Ke3, BR \times 10^4$	$7.2 \pm 1.4$	-	$\pm 0.1$
$\text{Re} \delta \times 10^4$	$\sim 3$	0	-
$\pi^+ \pi^- e^+ e^- BR \times 10^5 \text{ asym.}(\%)$	$4.5 \pm 0.8$ NA48	$-, \sim 0$	$\pm 0.04, 0.2$
<b>Chiral Perturbation theory</b>			
$BR(K_S \rightarrow \gamma\gamma) \times 10^6$	$2.5 \pm 0.4$ NA48/1 test	2.1 O(p4)	$2.78 \pm 0.07$ (Y2K)
$BR(K_S \rightarrow ee\gamma) \times 10^8$	-	3.4	$\pm 0.2$
$BR(K_S \rightarrow \pi^0 \gamma\gamma) \times 10^8$	-	3.8	$\pm 2$ (Y2K)
$BR(K_S \rightarrow \pi^0 \pi^0 \gamma\gamma) \times 10^9$	-	5.0	1 (SES)



Beam modifications:  $K_S$  target

- No  $K_L$  beam, high intensity modified  $K_S$  beam, improved readout and daq capabilities
- Data records:
  - Phase I (2000):  $\approx 10^{10} K_S$
  - Phase II (2002):  $\approx 4.4 \times 10^{10} K_S$
  - SES(5% acceptance)  $\approx 4.5 \times 10^{-10}$



# NA48/1: Main Physics Cases

NA48 ('99 data):  $BR(K_S \rightarrow \pi^0 e^+ e^-) < 1.4 \times 10^{-7}$  (90% CL)

$K_S \rightarrow \pi^0 e^+ e^-$ : NEW RESULT (all data): CERN Seminar in June

➤ bound  $BR_{CPdir}(K_L \rightarrow \pi^0 e^+ e^-)$ :

$$BR_{CPind}(K_L) = |\epsilon|^2 \tau_L / \tau_S BR(K_S) \approx \sim 3 \times 10^{-3} BR(K_S)$$

➤ test chiral structure of  $K \rightarrow \pi \gamma^*$  vertex:

$$BR(K_S \rightarrow \pi^0 e^+ e^-) \sim 5.2 \times 10^{-9} |a_S|^2$$

( $a_S \sim O(1)$  according to  $\chi$ PT)

➤ Expected  $\approx \sim 7$  events with SES  $\approx \sim 6 \times 10^{-10}$

➤ Main background:  $K_S \rightarrow \pi^0 \pi^0_D < 0.3$  ev. (MC)

$K_S \rightarrow \pi^0 \pi^0 \pi^0$ : CPV decay, parametrized with  $\eta_{000}$ :

➤  $Re(\eta_{000}) = Re(\epsilon) \Rightarrow$  indirect CPV measurement

➤  $Im(\eta_{000})$  sensitive to direct CPV

➤ Aim:  $\sim 1\%$  error on  $Re(\eta_{000})$  and  $Im(\eta_{000})$   
( $K_S$ - $K_L$  interference near production target)

➤ Precision of B.S. sum rule to  $\sim 2 \times 10^{-2}$  (CPT test)

Sizeable samples of **radiative K decays** of interest for  $\chi$ PT phenomenology:

$K_S \rightarrow \gamma \gamma$ :

collected  $O(10^4)$  events

$$BR_{\chi PT} \approx 2.1 \times 10^{-6}$$

with 10% error

$K_S \rightarrow \pi^0 \gamma \gamma$ :

collected 114 events,

$$BR_{ChPT} \approx 3.8 \times 10^{-8}$$

( $m_{\gamma\gamma} > 220 \text{ MeV}/c^2$ )

# NA48/2: $K^\pm$ Program

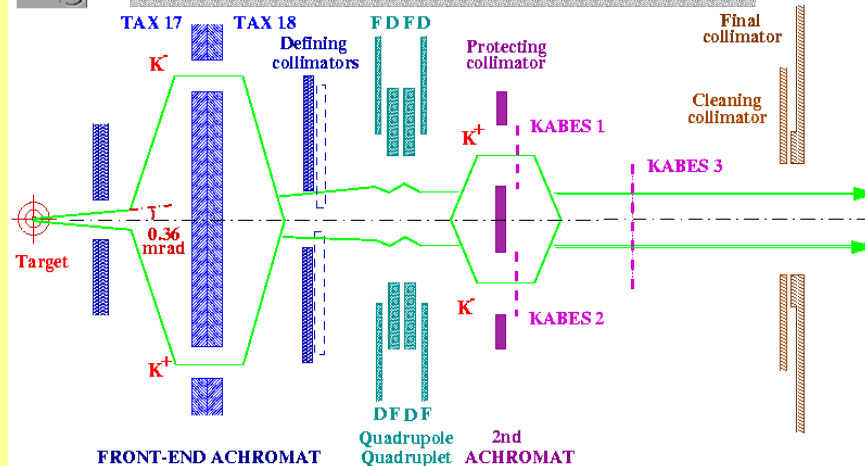
- **Main goal:**  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$   
**Dalitz Plot slope asymmetry:**  
**direct CPV measurement**  
**Present experimental limit:**  
 $A_g = (-7 \pm 5) \times 10^{-3}$  (1970)
- **HyperCP**  $\sim 3.9/1.6 \times 10^8 K^+/K^-$   
 $A_g = (2.2 \pm 1.5_{\text{stat}} \pm 3.7_{\text{syst}}) \times 10^{-3}$
- **KLOE**  $\sim 6 \times 10^5 K^\pm \text{ tag/pb}^{-1}$
- **SM predictions**  $\sim 10^{-6}$  to  $10^{-4}$
- **Data taking in 2003**
- Also: asymmetry in  $\pi^\pm \pi^0 \pi^0$  and  $\pi^\pm \pi^0 \gamma$  and  $K^\pm$  rare decays

$$|M(u, v)|^2 \propto 1 + gu + hu^2 + kv^2$$

$$u = \frac{2M(M - 3E_\pi^*)}{3m^2} \quad A_g = \frac{g^+ - g^-}{g^+ + g^-}$$

Slope Parameter

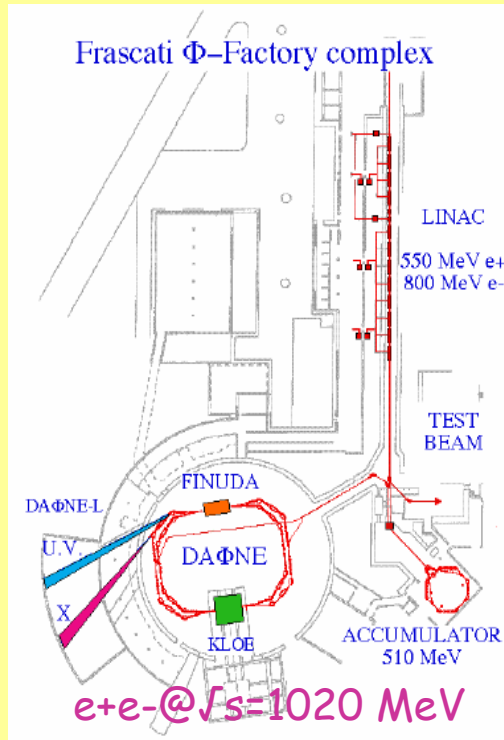
## Simultaneous $K^+$ and $K^-$ beams



NA48 aims at  $\sim 10^{-4} \delta A_g$

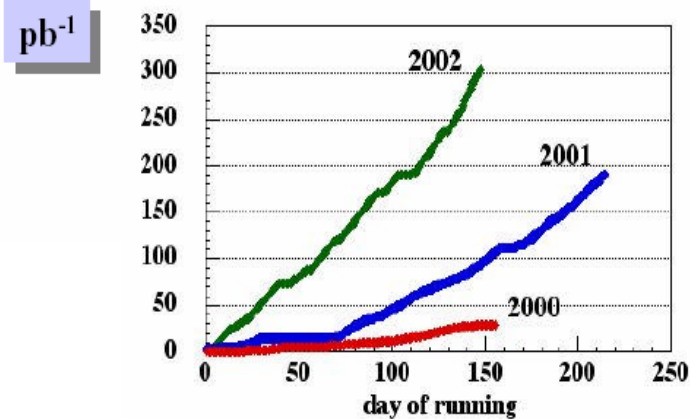
- ◆  $7.3/4.4 \times 10^9 K^+/K^-$  decays/year
  - ❖  $\delta A_g \sim 0.7 \times 10^{-4} (\text{stat})$
- ◆ Simultaneous  $K^\pm$  beams + B field reversal to symmetrize efficiencies
  - ❖  $\delta A_g \sim 0.5 \times 10^{-4} (\text{sys})$

# The KLOE Experiment at DaΦne



## Summary of DAΦNE Operations

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	Design	2002
♦ Max number of bunches	120	51
♦ Lifetime (min)	120	40
♦ Bunch current (mA)	40	20
♦ Single Bunch lum. ( $10^{30} \text{ cm}^{-2}\text{s}^{-1}$ )	4.4	1.5
♦ Peak Luminosity ( $10^{32} \text{ cm}^{-2}\text{s}^{-1}$ )	5.3	0.75
♦ $\phi$ per year ( $10^9$ )	15	0.9

March 1<sup>st</sup> 1998:  
First collisions

1999 run:  $2.5 \text{ pb}^{-1}$   
*detector calibration*

2000 run:  $25 \text{ pb}^{-1}$   
 $7.5 \times 10^7 \phi$   
*first published results*

2001 run:  $190 \text{ pb}^{-1}$   
 $5.7 \times 10^8 \phi$   
*analysis in progress*

2002 run:  $300 \text{ pb}^{-1}$   
 $9.0 \times 10^8 \phi$   
*analysis in progress*

next run (2003):  $1 \text{ fb}^{-1}$

# The KLOE Physics Program

$\Phi$  Factory: tagged K decays from  $\Phi \rightarrow K\bar{K}$ :  
 → pure K beams

- complimentary  $\text{Re}(\varepsilon'/\varepsilon)$  measurement
- clean investigation of rare K decays and precision measurement

$\phi$ Decays	
$K^+K^-$	49.1%
$K_L K_S$	34.3%
$\rho\pi$	15.4%
$\eta\gamma$	1.3%

**Available data set:**  
 $\approx 500 \text{ pb}^{-1}$   
 Including efficiencies  
 this corresponds to:

## KLOE physics program

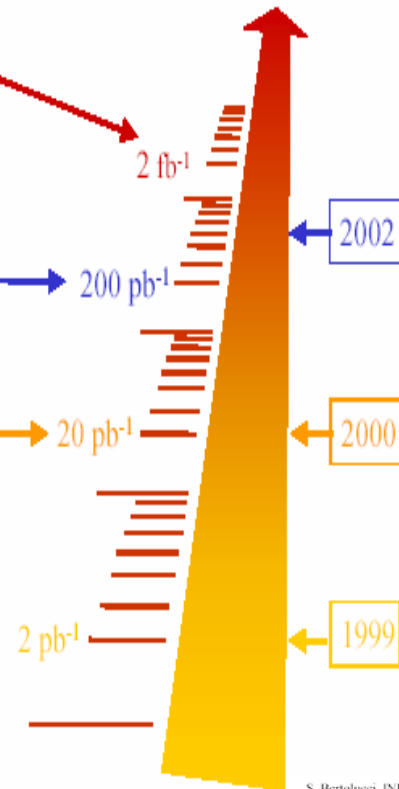
$\varepsilon'/\varepsilon$  to via double ratio  
 Semileptonic asymmetry (CPT test)  
 $K_L K_S$  Interferometry

$K_L$  form factors, rare  $K_S$  decays,  
 $K_L \rightarrow 2\pi$ ,  
 $K_L \rightarrow \gamma\gamma$ ,  $K^\pm$  decays  
 $\sigma(e^+e^- \rightarrow \pi^+\pi^-)$  to  $< 1\%$  (stat)

Being analyzed

$K_S$  physics  
 $\text{BR}(K_S \pi^+\pi^-)/\text{BR}(K_S \rightarrow \pi^0\pi^0)$   
 $\text{BR}(K_S \rightarrow \pi e \nu)$   
 $\phi$  radiative decays  
 $\phi \rightarrow f_0\gamma, a_0\gamma$   
 $\phi \rightarrow \eta'\gamma, \eta\gamma$

First result published



S. Bertolucci, INFN/LNF  
 ICFA Seminar  
 CERN, October 8 – 11, 2002

# The KLOE detector

## Drift Chamber (DC)

- ⇒ Cylindrical structure, (4 m  $\times$  3.3 m)
- ⇒ 12582/52140 sense/total wires
- ⇒ All stereo geometry
- ⇒ Helium (90 %) + Isobutan (10 %)

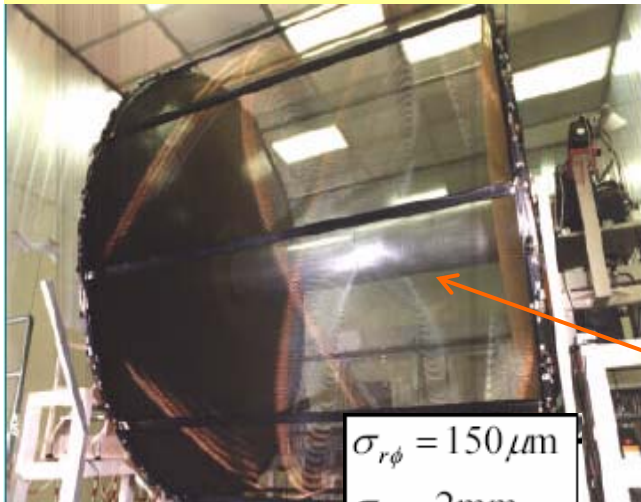
$$\lambda_S = 0.6\text{cm}$$

$$\lambda_L = 340\text{cm}$$

$$\lambda_{\pm} = 95\text{cm}$$

## Electromagnetic Calorimeter (EMC)

- ⇒ Lead/Scintillating - Fiber calorimeter
- ⇒ 24 Barrel Modules
- ⇒ 64 End-Cap Modules
- ⇒ 4880 channels

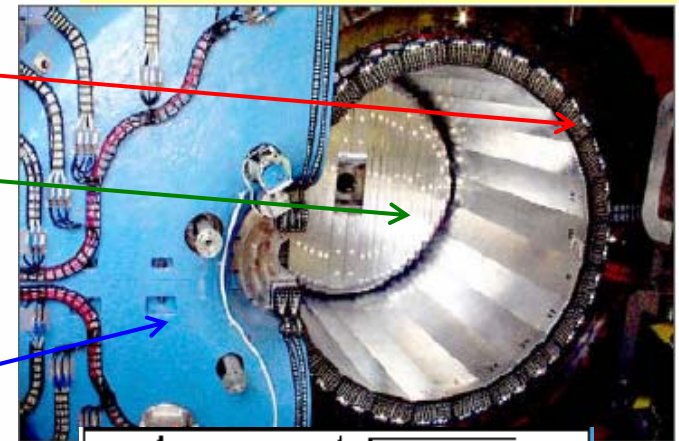
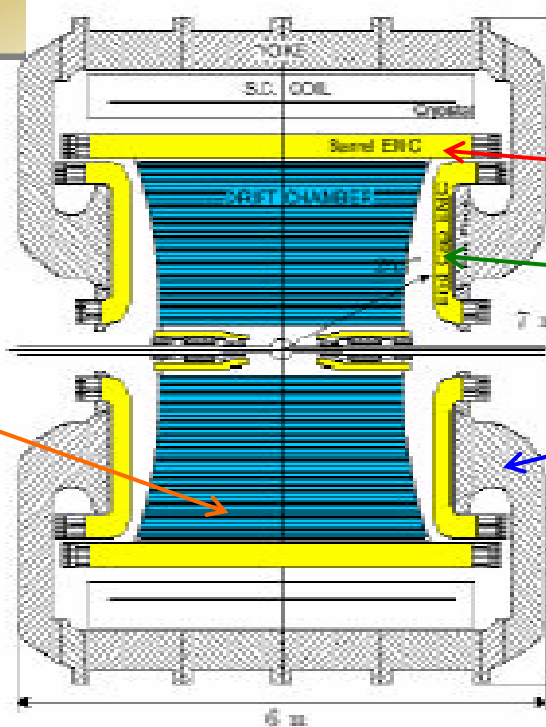


$$\sigma_{r\phi} = 150\mu\text{m}$$

$$\sigma_z = 2\text{mm}$$

$$\sigma_V = 3\text{mm}$$

$$\sigma_p/p = 0.4\%$$



$$\sigma_E/E = 5.7\%/\sqrt{E(\text{GeV})}$$

$$\sigma_t = 54\text{ps}/\sqrt{E(\text{GeV})} \oplus 50\text{ps}$$

$$\sigma_{r\phi} = 1\text{cm}$$

# KLOE: prospects for $\text{Re}(\varepsilon'/\varepsilon)$

$$\text{doubleRatio} = \frac{BR(K_L \rightarrow \pi^+ \pi^-) / BR(K_S \rightarrow \pi^+ \pi^-)}{BR(K_L \rightarrow \pi^0 \pi^0) / BR(K_S \rightarrow \pi^0 \pi^0)} = 1 + 6 \times \text{Re} \left( \frac{\varepsilon'}{\varepsilon} \right)$$

$K_L$

Statistical error :  $\approx 1\%$

Contributions to the systematic error :

Presently at about 2% level, improving by work on:

- Residual effects in tracking (gravitational sags)
- Separation of overlapping clusters
- Regeneration

Need at least x10 data to reach the  $10^{-4}$  régime

$K_S$

Statistical error : already negligible

Contributions to the systematic error

Source	Error, %
Tagging	0.55
$\gamma$ -counting	0.20
trigger and $t_0$	0.23
tracking	0.26

**Total error 0.68 %**

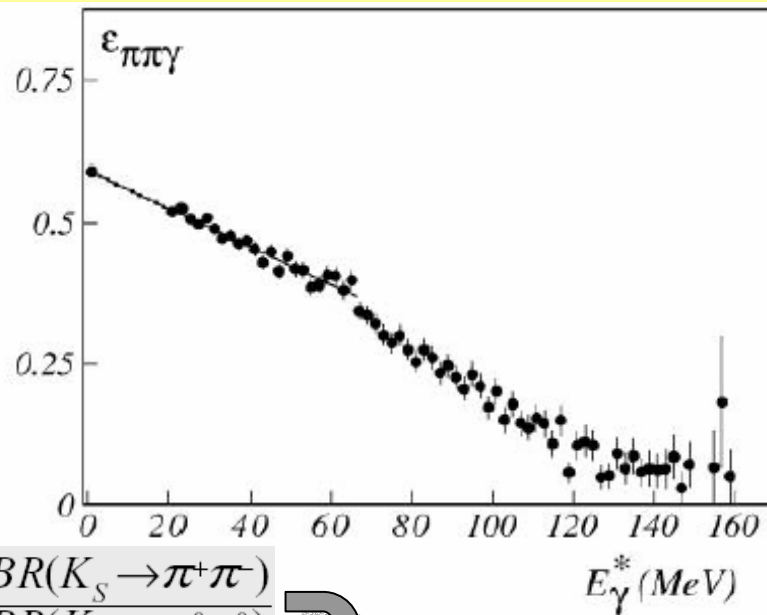
Now  $\sim 0.1\%$

Should scale down to 0.1% on full data set

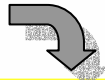
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# KLOE: $K_S \rightarrow \pi^+\pi^-(\gamma) / K_S \rightarrow \pi^0\pi^0$

**Motivations:** first part of double ratio in  $\text{Re}(\epsilon'/\epsilon)$  measurement  
 extractions of Isospin amplitudes  $A_0$ ,  $A_2$  and phases  $\delta_0$  and  $\delta_2$

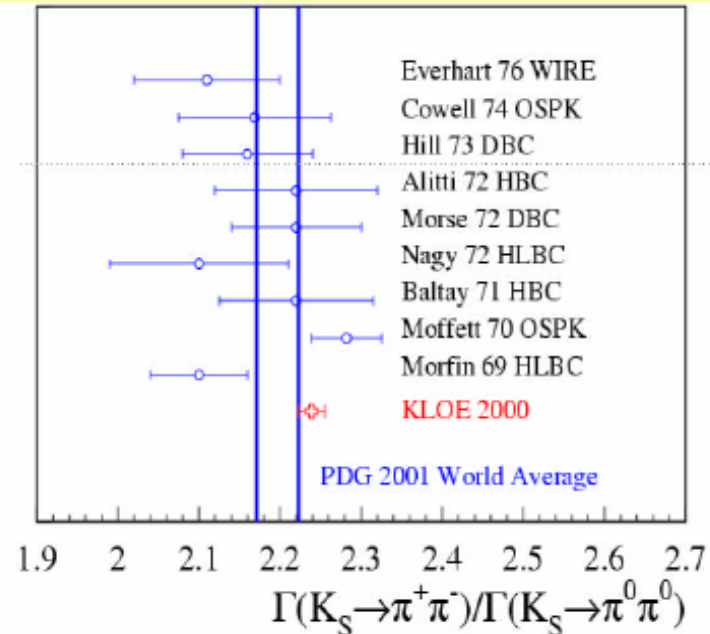


$$\frac{BR(K_S \rightarrow \pi^+\pi^-)}{BR(K_S \rightarrow \pi^0\pi^0)}$$



**KLOE 2000 data**  $2.239 \pm 0.003(\text{stat}) \pm 0.015(\text{sys})$   
**PDG 2002**  $2.197 \pm 0.026$

Phys. Lett. B 538 (2002), 21



- Measurement done on Y2K  $17 \text{ pb}^{-1}$   
 $N(K_S \rightarrow \pi^+\pi^-) = 1.098 \times 10^6$   
 $N(K_S \rightarrow \pi^0\pi^0) = 0.788 \times 10^6$

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# KLOE: Isospin Analysis

$$\frac{BR(K_S \rightarrow \pi^+ \pi^+)}{BR(K_S \rightarrow \pi^0 \pi^0)} \cong \sqrt{\frac{m_K^2 - 4m_{\pi^\pm}^2}{m_K^2 - 4m_{\pi^0}^2} \left[ 2 + 6\sqrt{2} \frac{A_2}{A_0} \cos(\delta_2 - \delta_0) \right]}$$

Neglecting  $\Delta I=5/2$  EM contributions:

$$\left( \frac{A_0}{A_2} \right)^2 \cong \frac{3\tau_S}{4\tau_+} \frac{1}{BR(K^\pm \rightarrow \pi^+ \pi^0)} - 1 = (22.2 \pm 0.07)^2$$

Using PDG values for the  $K^0 \rightarrow \pi^+ \pi^- / \pi^0 \pi^0$  BRs:  
 $\delta_0 - \delta_2 \cong (56.7 \pm 3.8)^\circ$

This value is in disagreement with:

- the prediction from  $O(p^2) \chi p T$  [Gasser *et al.* '91]  $\delta_0 - \delta_2 \cong (45 \pm 6)^\circ$
- the value from  $\pi\pi$  scattering [Gasser *et al.* 01]  $\delta_0 - \delta_2 \cong (45.2 \pm 1.3 \pm \begin{matrix} 4.5^\circ \\ 1.6 \end{matrix})^\circ$

While with the KLOE measurement  
 $\delta_0 - \delta_2 \cong (48 \pm 3)^\circ$

L.Passalacqua  
LaThuile2003



# CPV in $K_S \rightarrow \pi^0 \pi^0 \pi^0$

## PHYSICS INTEREST

$$|K_S\rangle \simeq |K_1\rangle + \epsilon_S |K_2\rangle$$

$$CP(|K_1\rangle) = +1 \quad CP(|K_2\rangle) = -1 \quad CP(\pi^0 \pi^0 \pi^0) = -1$$

$$\Rightarrow \text{parametrized by } \eta_{000} = \frac{A(K_S \rightarrow \pi^0 \pi^0 \pi^0)}{A(K_L \rightarrow \pi^0 \pi^0 \pi^0)}$$

If CPT conserved:

$\Re \eta_{000}$  - CP violation in mixing

$\Im \eta_{000}$  - Sensitive to direct CP violation

## MEASUREMENT IN NA48

Time evolution of  $K_{L,S} \rightarrow 3\pi^0$ :

$$I_{3\pi^0}(t) \propto \underbrace{e^{-\Gamma_L t}}_{K_L \text{ decay}} + \underbrace{|\eta_{000}|^2 e^{-\Gamma_S t}}_{K_S \text{ decay}} + \underbrace{2 D(p) (\Re(\eta_{000}) \cos \Delta m t - \Im(\eta_{000}) \sin \Delta m t) e^{-\frac{1}{2}(\Gamma_S + \Gamma_L) t}}_{K_L - K_S \text{ interference}}$$

$$\text{Dilution } D(p) = \frac{N(K^0) - N(\bar{K}^0)}{N(K^0) + N(\bar{K}^0)} \approx 0.35 \quad \text{momentum dependent.}$$

➤ NA48 Sensitivity to  $\eta_{000}$ :  
from  $K_S$ - $K_L$  interference  
superimposed on a huge  
flat  $K_L \rightarrow \pi^0 \pi^0 \pi^0$   
component

➤ Aim: O(1%) error on  
 $\Re(\eta_{000})$  and  $\Im(\eta_{000})$

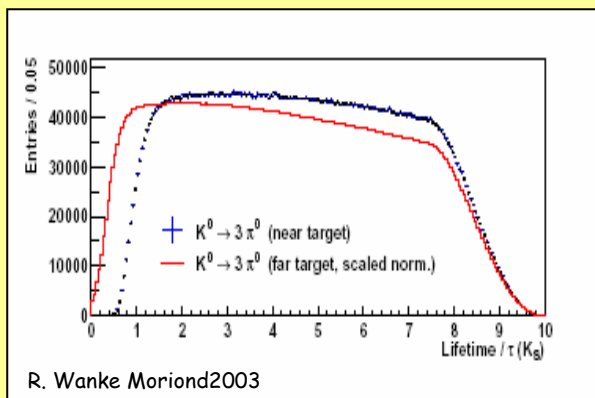
➤ Method: measure  $K_S$ - $K_L$   
interference near the  
production target

# NA48: Search for $K_S \rightarrow \pi^0 \pi^0 \pi^0$

## METHOD and DATA SAMPLE

### Method:

- Use  $3\pi^0$  events from near-target run for  $\eta_{000}$ .
- Normalize to  $K_L \rightarrow 3\pi^0$  from far-target run.
- Use Monte Carlo to correct for residual acceptance difference and Dalitz decays.



### Data samples:

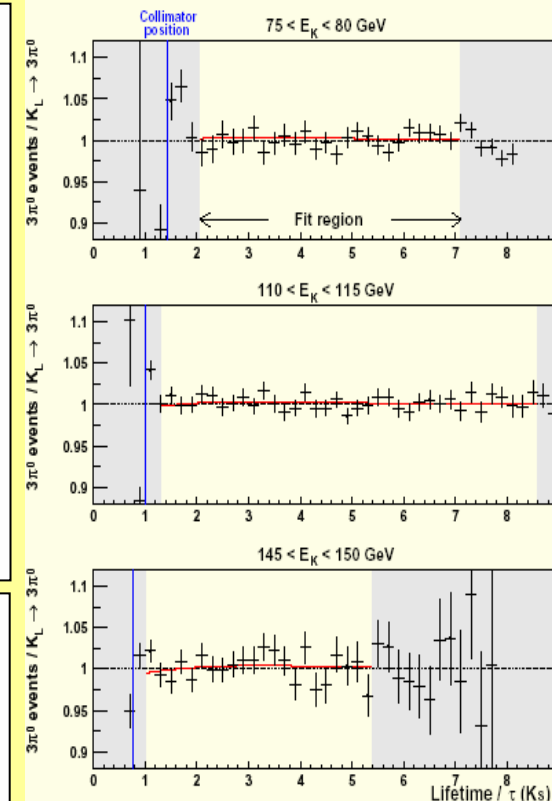
Near-target run:	Events:
$3\pi^0$ data:	$6.5 \times 10^6$
$K_L \rightarrow 3\pi^0$ MC:	$66 \times 10^6$
Far-target run:	
$K_L \rightarrow 3\pi^0$ data:	$154.7 \times 10^6$
$K_L \rightarrow 3\pi^0$ MC:	$66 \times 10^6$

### Fit Method: Fit double ratio

$$\frac{3\pi^0 \text{ (Data, } K_S \text{ run)}}{K_L \rightarrow 3\pi^0 \text{ (Data, } K_L \text{ run)}} \bigg/ \frac{K_L \rightarrow 3\pi^0 \text{ (MC, } K_S \text{ run)}}{K_L \rightarrow 3\pi^0 \text{ (MC, } K_L \text{ run)}}$$

### Simultaneous fit in energy bins

⇒ Free parameters:  
 $\text{Re}(\eta_{000}), \text{Im}(\eta_{000}),$   
 normalizations



# NA48: $\eta_{000}$ measurement

## PRELIMINARY NA48 RESULTS

### Preliminary NA48 result:

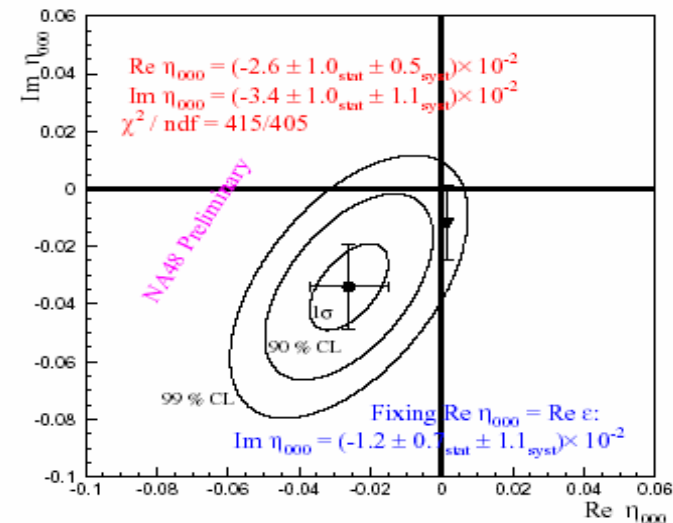
$$\text{Re}(\eta_{000}) = -0.026 \pm 0.010_{\text{stat}} \pm 0.005_{\text{sys}}$$

$$\text{Im}(\eta_{000}) = -0.034 \pm 0.010_{\text{stat}} \pm 0.011_{\text{sys}}$$

$$\begin{aligned} \text{(CPLEAR: } \text{Re}(\eta_{000}) &= 0.18 \pm 0.14 \pm 0.06 \\ \text{Im}(\eta_{000}) &= 0.15 \pm 0.20 \pm 0.03) \end{aligned}$$

### If $\text{Re}(\eta_{000}) = \text{Re}(\epsilon)$ (CPT):

$$\text{Im}(\eta_{000}) = -0.012 \pm 0.007_{\text{stat}} \pm 0.011_{\text{sys}}$$



### Branching fraction: (preliminary)

$$\text{Br}(K_S \rightarrow 3 \pi^0) < 1.4 \times 10^{-6} \quad 90\% \text{ CL}$$

### With $\text{Re}(\eta_{000}) = \text{Re}(\eta_\epsilon)$ (CPT):

$$\text{Br}(K_S \rightarrow 3 \pi^0) < 3.0 \times 10^{-7} \quad 90\% \text{ CL}$$

$$\text{(SND: } \text{Br}(K_S \rightarrow 3 \pi^0) < 1.4 \times 10^{-5})$$

R. Wanke Moriond 2003

LNF Spring School 2003  
Frascati 20-5-2003

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INFN Sezione di Perugia

Experimental Progress  
in Kaon Physics/35

# NA48: CPT test from $K_S \rightarrow \pi^0 \pi^0 \pi^0$

## CPT TEST RESULTS

### ■ Bell-Steinberger relation:

Connects CPT violating phase  $\delta$  with  $\eta$  parameters via unitarity:

$$(1 + i \tan \phi_{SW}) [\text{Re}(\epsilon) - i \text{Im}(\delta)] = \sum_{\substack{\text{final} \\ \text{states } f}} \alpha_f \quad (\phi_{SW} = \arctan \frac{2 \Delta m}{\Gamma_L - \Gamma_S})$$

### ■ Largest contributions:

$\alpha_f$	$10^3 \times \text{Re}(\alpha_f)$	$10^3 \times \text{Im}(\alpha_f)$
$\alpha_{+-} = \eta_{+-} \text{Br}(K_S \rightarrow \pi^+ \pi^-)$	$1.139 \pm 0.017$	$1.078 \pm 0.017$
$\alpha_{00} = \eta_{00} \text{Br}(K_S \rightarrow \pi^0 \pi^0)$	$0.520 \pm 0.010$	$0.488 \pm 0.010$
$\alpha_{+-\gamma} = \eta_{+-\gamma} \text{Br}(K_L \rightarrow \pi^+ \pi^- \gamma)$	$0.004 \pm 0.000$	$0.004 \pm 0.000$
$\alpha_{l3}$	$0.004 \pm 0.000$	$0.003 \pm 0.005$
$\alpha_{+-0} = \frac{\tau_S}{\tau_L} \eta_{+-0}^* \text{Br}(K_L \rightarrow \pi^+ \pi^- \pi^0)$	$0.000 \pm 0.002$	$0.000 \pm 0.002$
$\alpha_{000} = \frac{\tau_S}{\tau_L} \eta_{000}^* \text{Br}(K_L \rightarrow 3 \pi^0)$	$0.029 \pm 0.040$	$-0.026 \pm 0.058$

### ■ NA48: $\alpha_{000} = (-0.009 \pm 0.004) + i (0.012 \pm 0.005) \times 10^{-3}$

$$\Rightarrow \text{Im}(\delta) = (-1.2 \pm 3.0) \times 10^{-5} \quad (\text{was } (2.4 \pm 5.0) \times 10^{-5})$$

$$\Rightarrow m_{K^0} - m_{\overline{K^0}} = (-1.7 \pm 4.2) \times 10^{-19} \text{ GeV}$$

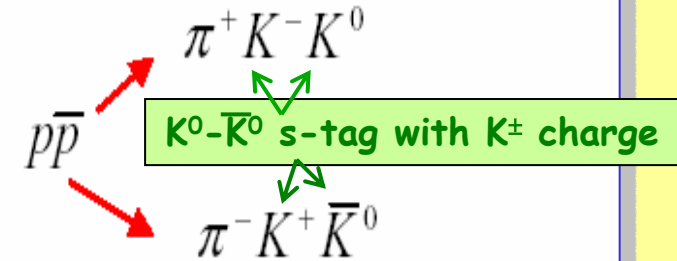
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# T Violation Measurement

**Kabir Test:**  
first direct  
measurement  
of time reversal  
non-invariance

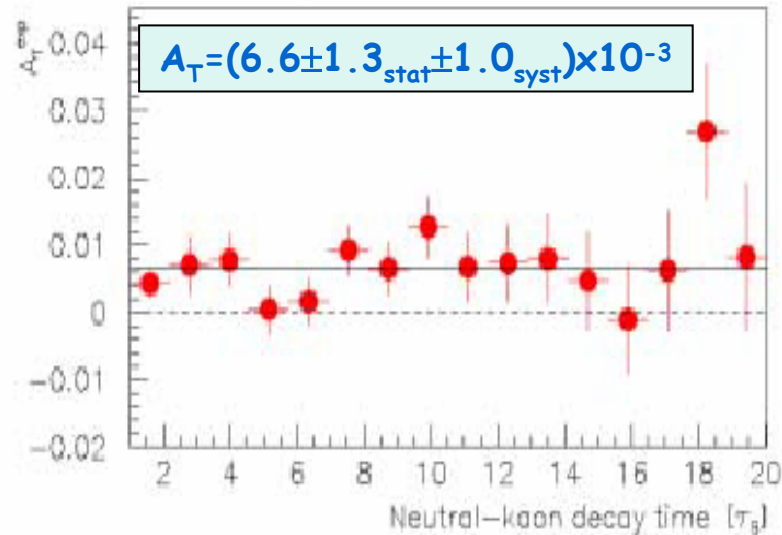
$$A_T = \frac{\Gamma(\bar{K}^0 \rightarrow K^0) - \Gamma(K^0 \rightarrow \bar{K}^0)}{\Gamma(\bar{K}^0 \rightarrow K^0) + \Gamma(K^0 \rightarrow \bar{K}^0)}$$

A.Ceccucci WIN02



CERN-CPLEAR: tagged strangeness at  $t=0$

Assuming CPT conservation in semi-leptonic decays:



$$A_T(\tau) = \frac{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_{l=\tau}) - \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_{l=\tau})}{\Gamma(\bar{K}_{t=0}^0 \rightarrow \pi^- e^+ \nu_{l=\tau}) + \Gamma(K_{t=0}^0 \rightarrow \pi^+ e^- \bar{\nu}_{l=\tau})}$$

$$= 4\text{Re}\epsilon + \frac{2\text{Im}x_+ \sin(\Delta m\tau)}{\cosh(\frac{1}{2}\Delta\Gamma\tau) - \cos(\Delta m\tau)}$$

$\text{Im}x_+ = T$ -violation  $\Delta S \neq \Delta Q$

$$4\text{Re}\epsilon = 6.2 \pm 1.4_{\text{sta}} \pm 1.0_{\text{sys}} \times 10^{-3}$$

$$\text{Im}x_+ = 1.2 \pm 1.9_{\text{sta}} \pm 0.9_{\text{sys}} \times 10^{-3}$$

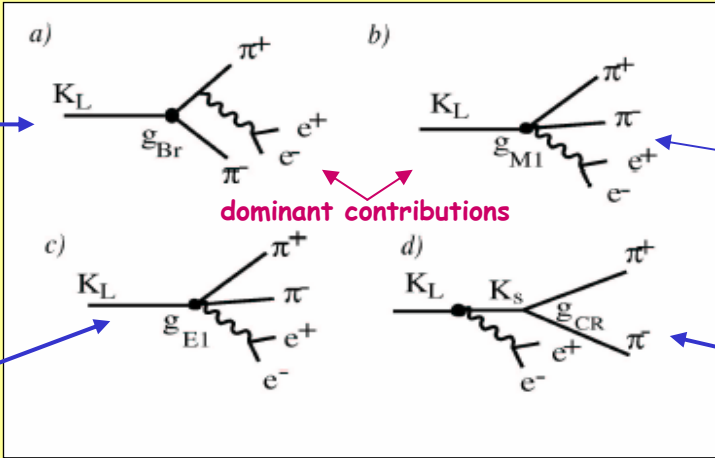
cfr. review of T and CPT tests with semileptonic K decays in CPLEAR in Eur. Phys. J. C22 (2001)

# T Violation in $K_L \rightarrow \pi^+ \pi^- e^+ e^-$

**$K_L \rightarrow \pi^+ \pi^- e^+ e^-$  process: matrix elements from Heiliger, Sehgal (1993)**

**Inner Bremsstrahlung**  
CP Violating ( $g_{Br}$ )

**E1 Direct Photon Emission**  
CP Violating ( $g_{E1}$ )  
(both direct and indirect CPV:  
indirect contribution dominant)



**M1 Direct Photon Emission**  
CP Conserving ( $g_{M1}$ )

$$F = \tilde{g}_{M1} \left[ 1 + \frac{a_1/a_2}{(M_p^2 - M_K^2) + 2M_K(E_{e^+} + E_{e^-})} \right]$$

**$K^0$  charge radius**  
CP Conserving ( $g_{CR}$ )

✓ The interference between IB and M1 DE leads to an observable CP-violating polarization of  $\gamma^*$

$$d\Gamma/d\phi = \Gamma_1 \cos^2\phi + \Gamma_2 \sin^2\phi + \Gamma_3 \sin\phi \cos\phi$$

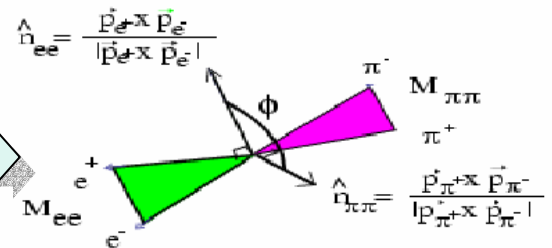
**Expected:  $|A(\phi)| \approx 14\%$**

CP-violation asymmetry:

$$A_\phi = \frac{N_{\sin\phi \cos\phi > 0} - N_{\sin\phi \cos\phi < 0}}{N_{\sin\phi \cos\phi > 0} + N_{\sin\phi \cos\phi < 0}}$$

**T-odd observable:**  
function of the angle  $\phi$  between  $\pi^+ \pi^-$  and  $e^+ e^-$  planes in  $K_L$  C.M.

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$$\hat{n}_{ee} = \frac{\vec{p}_{e^+} \times \vec{p}_{e^-}}{|\vec{p}_{e^+} \times \vec{p}_{e^-}|}$$

$$\hat{n}_{\pi\pi} = \frac{\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}}{|\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}|}$$

$$\hat{z} = \frac{\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}}{|\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}|}$$

$$\sin\phi \cos\phi = (\hat{n}_{ee} \times \hat{n}_{\pi\pi}) \cdot \hat{z} = (\hat{n}_{ee} \cdot \hat{n}_{\pi\pi})$$

Experimental Progress  
in Kaon Physics/38

# $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ experimental results

## Measurements in KTeV

(Phys.Rev.Lett 84, 408 (2000), 1st evidence)

## and NA48

## $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ in NA48:

Data sample: 1998-99 data

Signature: 4 tracks

$K_L$  events: 1162

Background:  $(3.2 \pm 0.5)\%$

Normalized to  $K_L \rightarrow \pi^+ \pi^- \pi^0$  Dalitz

## Couplings measurement (NA48)

✓ Couplings are extracted by max likelihood fitting of data to MC

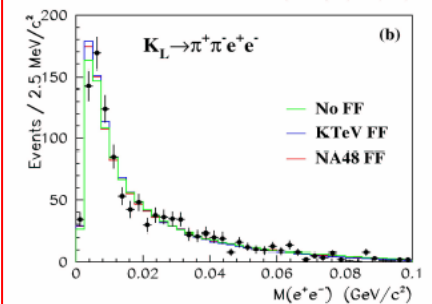
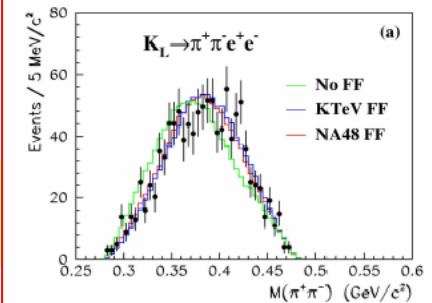
$$a_1/a_2 = \left( -0.81_{-0.13}^{+0.07} \text{ stat} \pm 0.02_{\text{syst}} \right) \text{GeV}^2$$

$$\tilde{g}_{M1} = 0.99_{-0.27}^{+0.28} \text{ stat} \pm 0.07_{\text{syst}}$$

$$g_{CR} = 0.19 \pm 0.04_{\text{stat}} \pm 0.02_{\text{syst}}$$

✓ In agreement with KTeV results

C. Cheskov Moriond2003



## Branching Ratio measurement

NA48

$$BR = (3.08 \pm 0.09_{\text{stat}} \pm 0.15_{\text{syst}} \pm 0.10_{\text{norm}}) \times 10^{-7}$$

KTeV

$$BR = (3.63 \pm 0.11_{\text{stat}} \pm 0.14_{\text{syst}}) \times 10^{-7}$$

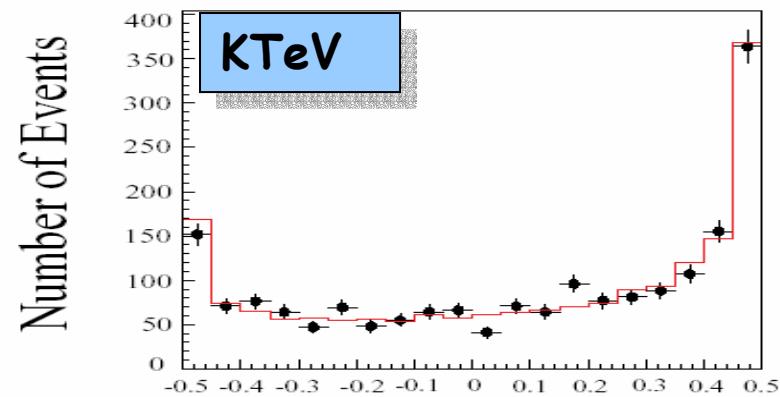
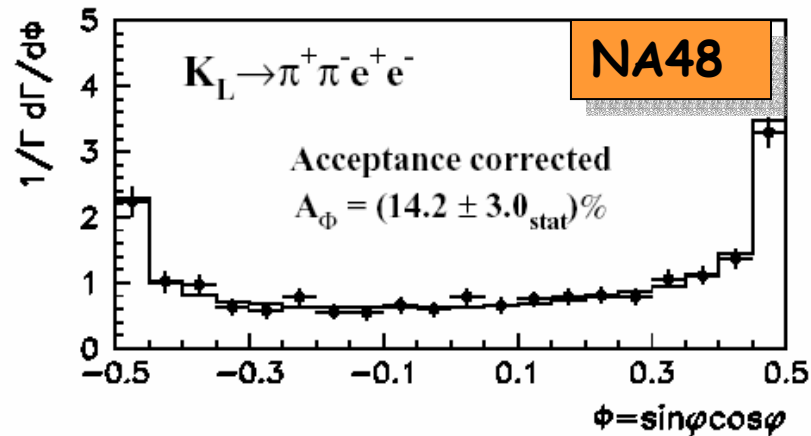
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Experimental Progress  
in Kaon Physics/39

# $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ - experimental results - II

## $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ analysis results: Asymmetry measurement



$$A(\varphi) = (14.2 \pm 3.0_{\text{stat}} \pm 1.9_{\text{syst}})\%$$

$$A(\varphi) = (13.6 \pm 2.5_{\text{stat}} \pm 1.2_{\text{syst}})\%$$

Experimental data in agreement with theory predictions:  
clear signature of indirect CPV effects, T-odd effect  
due entirely to mixing, no evidence of direct CPV



# CP Violation in semileptonic K decays

Motivations: check semileptonic  $K^0$  Asymmetries in Standard Model

$$\begin{aligned} \langle e^+\pi^-\nu | H_{WK} | K^0 \rangle &= a + b \\ \langle e^-\pi^+\bar{\nu} | H_{WK} | \bar{K}^0 \rangle &= a^* - b^* \\ \langle e^-\pi^+\bar{\nu} | H_{WK} | K^0 \rangle &= c + d \\ \langle e^+\pi^-\nu | H_{WK} | \bar{K}^0 \rangle &= c^* - d^* \end{aligned}$$

$T$	$Im a = Im b = Im c = Im d = 0$
$CP$	$Im a = Re b = Im c = Re d = 0$
$CPT$	$b = d = 0$
$\Delta S = \Delta Q$	$c = d = 0$

$$A_{S,L} = \frac{\Gamma_{S,L}^+ - \Gamma_{S,L}^-}{\Gamma_{S,L}^+ + \Gamma_{S,L}^-} \begin{cases} A_S = 2\Re(\varepsilon_K) + 2\Re(\delta_K) + 2\Re(b/a) - 2\Re(d^*/a) \\ A_L = 2\Re(\varepsilon_K) - 2\Re(\delta_K) + 2\Re(b/a) + 2\Re(d^*/a) \end{cases}$$

$A_S - A_L \neq 0$  implies ~~CPT~~

~~CP~~

~~CPT~~  
in mixing

~~CPT~~  
in decay

$\Delta S \neq \Delta Q$   
and ~~CPT~~

$$\frac{\Gamma_S^{semi}}{\Gamma_L^{semi}} = 1 + 4\Re(x_+) \approx 1 + 4\Re(c^*/a)$$

L.Passalacqua LaThuile2003

Present situation:

$A_L$ : recent measurements ( $K_{e3}$ ): NA48 (new result) and KTeV

$A_S$ : never measured before (now  $\rightarrow$  KLOE)

# CP Violation in $K^0_{e3}$ decays

- Charge asymmetry in  $K^0_{l3}$  decays due to  $K^0$ - $\bar{K}^0$  mixing (CPV)
- If CPT conserved and  $\Delta S = \Delta Q$ :

$$\delta_L = \frac{\Gamma(K_L \rightarrow e^+ \pi^- \nu) - \Gamma(K_L \rightarrow e^- \pi^+ \bar{\nu})}{\Gamma(K_L \rightarrow e^+ \pi^- \nu) + \Gamma(K_L \rightarrow e^- \pi^+ \bar{\nu})} = 2 \operatorname{Re} \varepsilon$$

- Results in NA48 and KTeV

- $K_{e3}$  analysis in NA48:

Data sample: 2001 data

Selection: E(LKr)/p to distinguish  $N(\pi^+e^-)$  from  $N(\pi^-e^+)$

$K_{e3}$  sample:  $2.1 \times 10^8$  ( $\sim 10^8$  per mode)

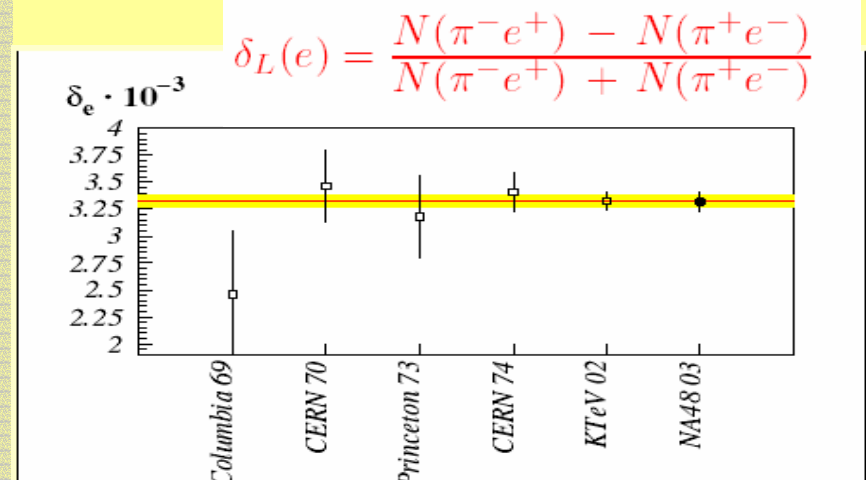
Statistical error:  $7 \times 10^{-5}$

Systematics: asymmetry of particle interactions (correction for trigger inefficiency and  $\pi$  identification)

## Measurement Results

NA48: (new result, preliminary)  
 $(3.319 \pm 0.070_{\text{stat}} \pm 0.068_{\text{syst}}) \times 10^{-3}$

KTeV: (Phys. Rev. Lett 88, 181601 (2002))  
 $(3.322 \pm 0.058_{\text{stat}} \pm 0.047_{\text{syst}}) \times 10^{-3}$

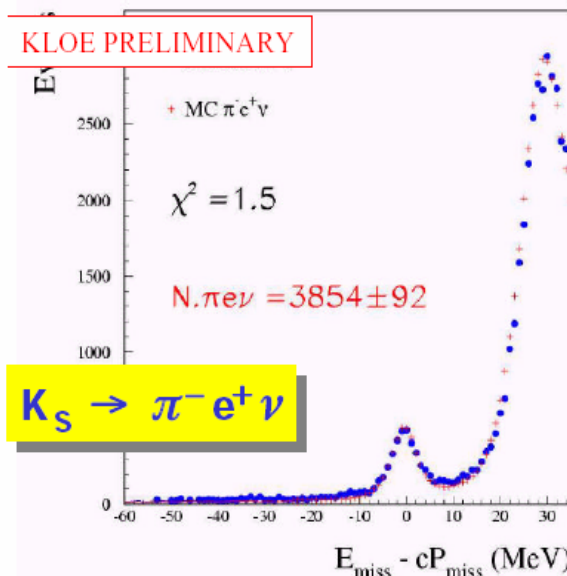
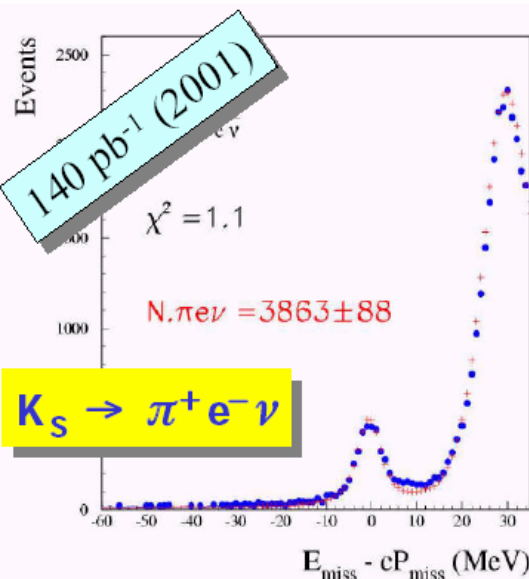


World average:  $\delta_e = (3.323 \pm 0.055) \times 10^{-3}$

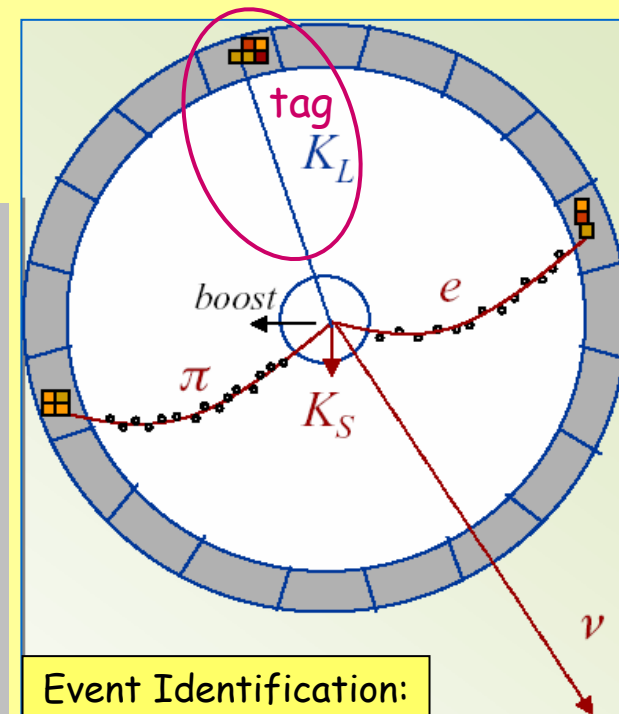
# KLOE: Semileptonic $K_S$ decays

KLOE: first results on Semileptonic  $K_S$  decays

$$K_S \rightarrow \pi^+ e^- \nu / \pi^- e^+ \nu$$



Semileptonic  $K_S$  decays data samples



Event Identification:

- Events tagged by a 'K<sub>crash</sub>' cluster
- 2 tracks and 1 vertex close to the IP
- Reject events with invariant mass  $M_{\pi\pi}$  close to the  $K^0$  mass
- Use time information from calorimeter clusters to perform PID for charged tracks

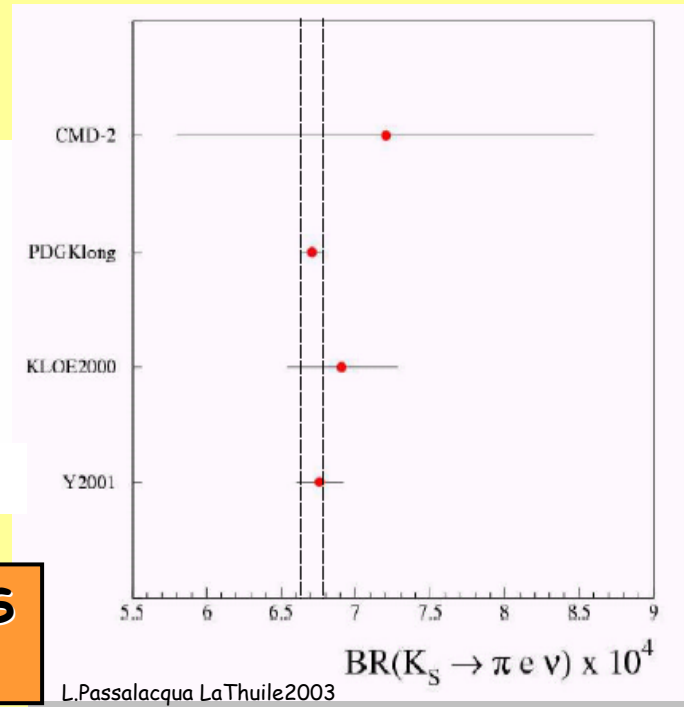
# KLOE: Semileptonic $K_S$ decays - II

## $K_S$ BRANCHING RATIO PRELIMINARY RESULTS

$$\begin{aligned} \text{BR}(\pi^- e^+ \nu) &= (3.44 \pm 0.09_{\text{stat}} \pm 0.06_{\text{syst}}) 10^{-4} \\ \text{BR}(\pi^+ e^- \nu) &= (3.31 \pm 0.08_{\text{stat}} \pm 0.05_{\text{syst}}) 10^{-4} \\ \text{BR}(\pi^\pm e^\mp \nu) &= (6.76 \pm 0.12_{\text{stat}} \pm 0.10_{\text{syst}}) 10^{-4} \end{aligned}$$

KLOE PRELIMINARY

PDG2002:  $\text{BR}(\pi e \nu) = (7.2 \pm 1.4) \times 10^{-4}$  (CMD-2@VEPP-2M, 1999)



## ASYMMETRIES PRELIMINARY RESULTS and comparisons

KLOE PRELIMINARY

$$\text{KTEV } A(K_S) = (3332 \pm 58 \pm 47) 10^{-6}$$

$$\text{KLOE } A(K_S) = (1.9 \pm 1.7 \pm 0.6) 10^{-2}$$

$$\text{CPLEAR } \Re(x_+) = (-1.8 \pm 4.1 \pm 4.5) 10^{-3}$$

$$\text{KLOE } \Re(x_+) = (+2.2 \pm 5.3 \pm 3.5) 10^{-3}$$

FIRST EVER DONE MEASUREMENT

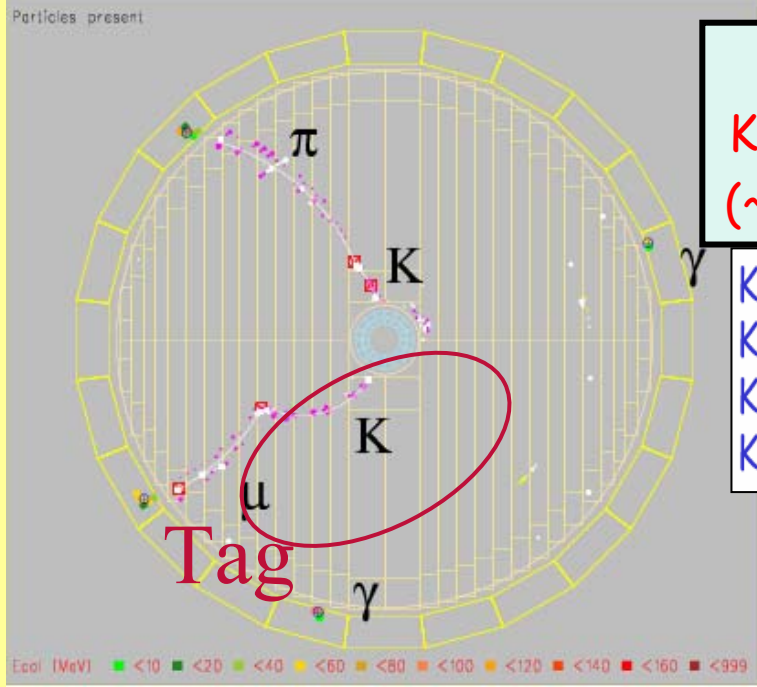
$\Delta S = \Delta Q$  TEST

# KLOE: Prospects in Charged Kaons

Tagging via  $K^\pm \rightarrow \pi^\pm \pi^0$  and  $K^\pm \rightarrow \mu^\pm \nu$  ID in DCH (BR~85%):  $6 \times 10^5$  tag/pb<sup>-1</sup>  
 (data reprocessing under way to improve reconstruction)  
 $V_{us}$  measurement expectations:  $\dots \rightarrow$

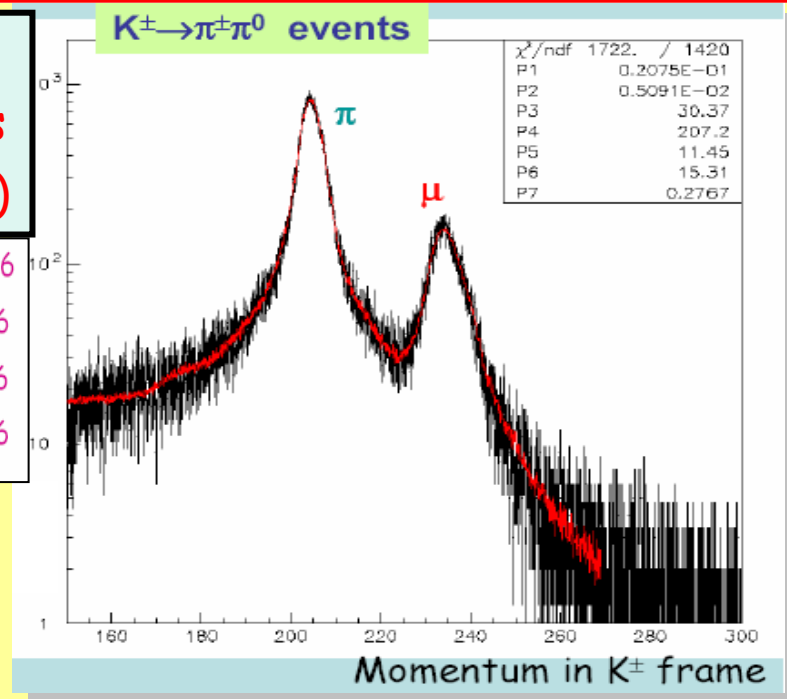
$$\frac{\Delta|V_{us}|}{|V_{us}|} = 0.5 \left( \underbrace{\frac{\Delta BR_{K_{e3}}}{BR_{K_{e3}}}}_{0.59\%} + \frac{\Delta\tau}{\tau} \right) + 0.05 \left( \underbrace{\frac{\Delta\lambda_+}{\lambda_+}}_{0.22\%} + \underbrace{\frac{\Delta f_+(0)}{f_+(0)}}_{0.86\%} \right)$$

BRs essentially from [Chiang et al., '72].



**KLOE**  
 $K_{e3}$  samples  
 (~ 400 pb<sup>-1</sup>)

- $K_{e3}^L$  :  $2.8 \times 10^6$
- $K_{\mu 3}^L$  :  $1.9 \times 10^6$
- $K_{e3}^\pm$  :  $1.8 \times 10^6$
- $K_{\mu 3}^\pm$  :  $1.2 \times 10^6$



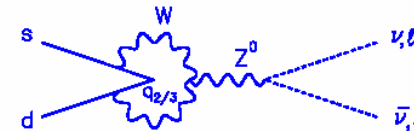
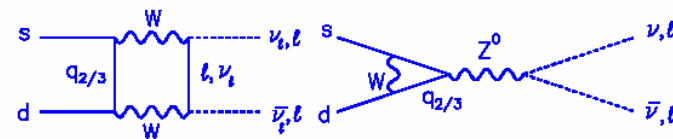
# Prospects in Kaon physics

- Quantitative tests of CKM mechanism are possible with rare Kaon decay measurements
- High level of precision is attainable
- Constraints to CKM variables and further test of CPV from FCNC processes:
  - $K_L \rightarrow \pi^0 e^+ e^-$  decays
  - $K \rightarrow \pi \nu \nu$  decays

# $K_L \rightarrow \pi l \bar{l}$ decays

## $K_L \rightarrow \pi l \bar{l}$ :

- FCNC processes, no tree level, proceed via loop diagrams
- access to quark level physics with small theoretical uncertainties:
  - ❖ dominant short distance contributions
  - ❖ long distance only for charged lepton modes
  - ❖ matrix elements of quark operators related to  $K_{e3}$  decays
  - ❖ CPV  $K_L$  decays
- charged leptons final states easier to measure but high levels of radiative background
- **Best change:  $K \rightarrow \pi \nu \bar{\nu}$  decays:**
  - ❖ no long distance contributions
  - ❖ clean theoretical predictions
  - ❖ no radiative background
  - ❖  $K_L$  decay dominated by direct CPV



# $K_L \rightarrow \pi e^+ e^-$ decays

## $K_L \rightarrow \pi^0 e^+ e^-$

- ◆ SM prediction: BR = 3-10 x 10<sup>-12</sup>
- ◆ 3 components contribute to the decay:
  - ◆ Large direct CPV component through electroweak penguins and W boxes with top quark
  - ◆ Indirect CPV component, due to the decay of  $K_1$  component in the  $K_L$ : study of  $K_S \rightarrow \pi^0 e^+ e^-$
  - ◆  $2\gamma$  CP conserving long range contribution proceedings through  $\pi^0 \gamma^* \gamma^*$  states: study of  $K_L \rightarrow \pi^0 \gamma \gamma$
- ◆ Prohibitive physical background from  $\gamma \gamma e^+ e^-$  ("Greenlee", BR  $\approx 6 \times 10^{-7}$ )

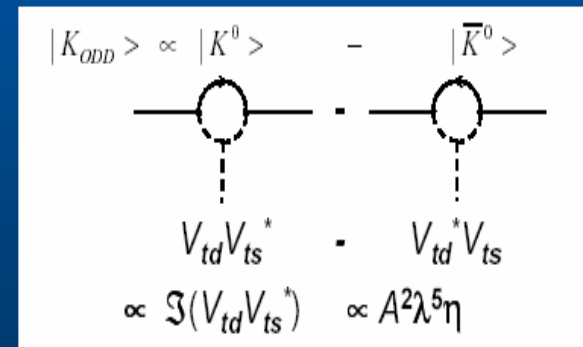
$$|K_L\rangle \equiv |K_{\text{ODD}}\rangle + \varepsilon |K_{\text{EVEN}}\rangle$$

Indirect CP Violation

$$Br(K_L \rightarrow \pi^0 e^+ e^-) = |\varepsilon|^2 \frac{\tau(K_L)}{\tau(K_S)} Br(K_S \rightarrow \pi^0 e^+ e^-)$$

Direct CP Violation

$$\begin{aligned} &\pi^0 \gamma^* \rightarrow \pi^0 e^+ e^- \\ &\pi^0 Z^* \rightarrow \pi^0 e^+ e^- \\ &\pi^0 W^{+*} W^{-*} \end{aligned}$$



CP conserving Helicity suppressed

$$\pi^0 \gamma^* \gamma^* \rightarrow \pi^0 e^+ e^-$$



# KTeV: search for $K_L \rightarrow \pi^0 e^+ e^-$

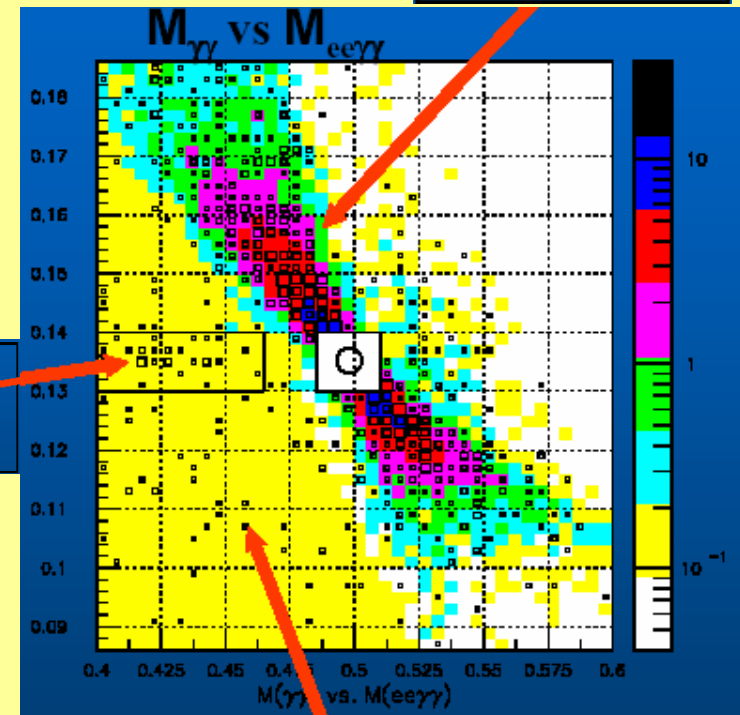
## KTeV: measurement of upper limit in BR

- Published result: 1997 data
- New measurement: 1999 data
- $K_L \rightarrow \gamma e^+ e^-$  (main) background reduction  $\rightarrow$  kinematic cuts on foton direction and angles
- Other background:  $\pi^0 \pi^0 \pi^0_{\text{Dalitz}}$
- Normalization to  $K_L \rightarrow \pi^0 \pi^0_{\text{Dalitz}}$
- Signal and control regions in  $M_{\gamma\gamma} (=M_{\pi^0})$  vs  $M_{\gamma e^+ e^-} (=M_K)$

$M_{\gamma\gamma}$  vs  $M_{\gamma e^+ e^-}$

P. McBride Moriond2003

$K_L \rightarrow ee\gamma\gamma$



$K_L \rightarrow \pi^0 \pi^0 \pi^0_{\text{D}}$ ,  
 $\pi^{\pm} e^{\mp} \nu + \pi^0_{\text{ACC}}$

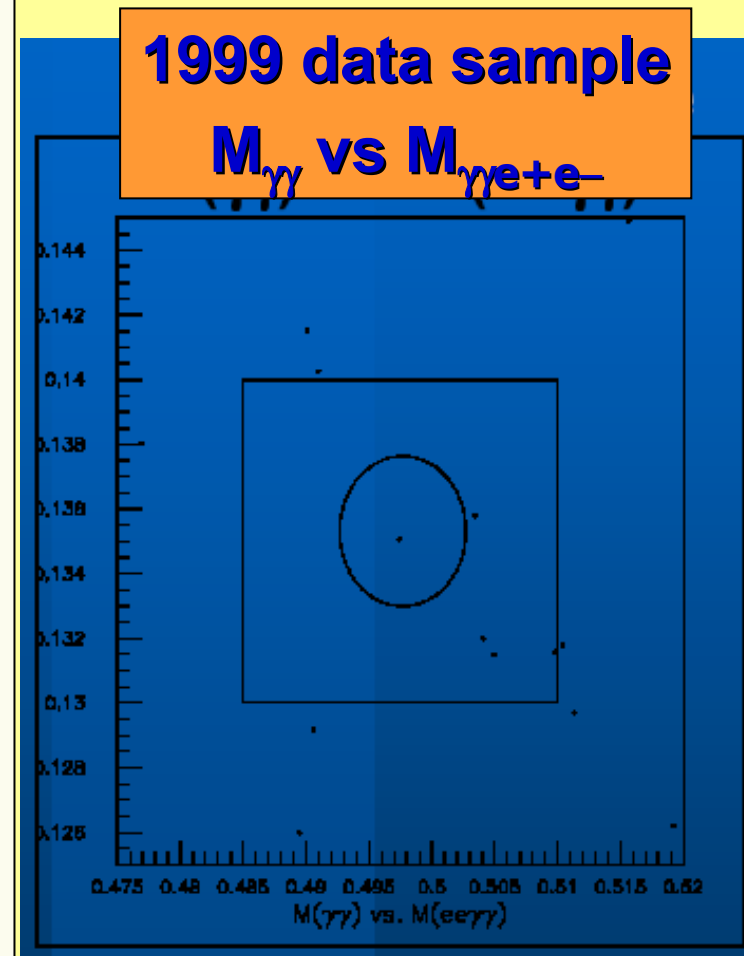
$K_L \rightarrow \pi^0 \pi^0 \pi^0, \pi^{\pm} e^{\mp} \gamma \nu + \gamma_{\text{ACC}}$   
 $\begin{cases} \downarrow e^+ \gamma X \\ \downarrow e^- X \\ \downarrow \gamma X \end{cases}$

# KTeV: $K_L \rightarrow \pi^0 e^+ e^-$ experimental results

➤ **1997 data:** 2 events observed, with background =  $1.06 \pm 0.41$  : (90% CL)  
 **$BR(K_L \rightarrow \pi^0 e^+ e^-) < 5.1 \times 10^{-10}$**

➤ **New result (preliminary) from 1999 data:** 1 event observed, with background =  $0.99 \pm 0.35$  : (90% CL)  
 **$BR(K_L \rightarrow \pi^0 e^+ e^-) < 3.5 \times 10^{-10}$**

➤ **Combined result from whole data sample (preliminary):**  
 **$BR(K_L \rightarrow \pi^0 e^+ e^-) < 2.8 \times 10^{-10}$**



# Prospects for $K_L \rightarrow \pi^0 e^+ e^-$

- Huge Greenlee background
- Difficult to disentangle contributions:
  - ◆ CPV components contribution (interference):

$$B.R.(K_L^0 \rightarrow \pi^0 e^+ e^-)_{CPV} \approx 10^{-12} \left[ 15.3 a_S^2 - 6.8 a_S \frac{\Im(V_{ts}^* V_{td})}{10^{-4}} + 2.8 \left( \frac{\Im(V_{ts}^* V_{td})}{10^{-4}} \right)^2 \right] \approx 7 \times 10^{-12} + ??$$

- ◆  $K_S \rightarrow \pi^0 e^+ e^-$  : needed better BR measurement  
(if  $a_S \approx \pm 0.5$  then indirect/direct  $\sim 1$ )

$$B.R.(K_S^0 \rightarrow \pi^0 e^+ e^-)_{CPV} \approx 5.2 a_S^2 \times 10^{-9}$$

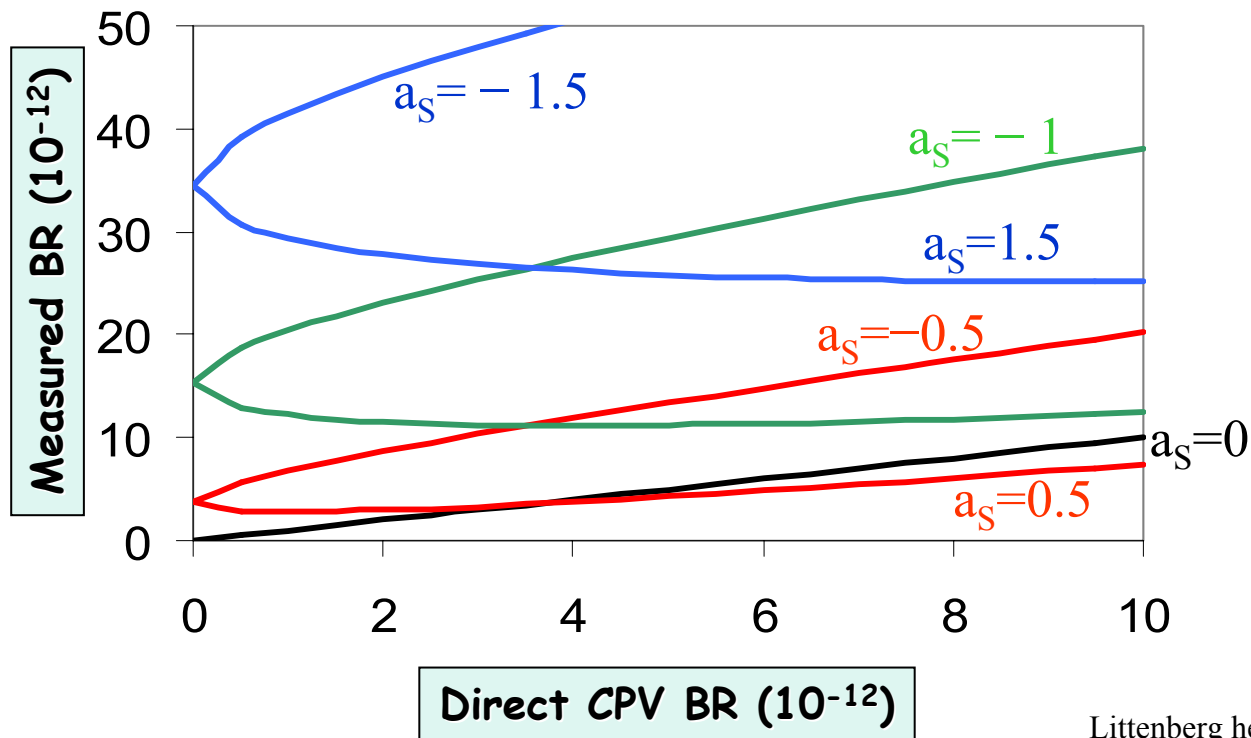
NA48 limit: (90% CL)

$$BR(K_S \rightarrow \pi^0 e^+ e^-) < 1.4 \times 10^{-7}$$

- ◆ CP conserving
  - ❖ NA48  $K_L \rightarrow \pi^0 \gamma \gamma$  data gives  $BR_{CPC} < 10^{-12}$

# Prospects for $K_L \rightarrow \pi^0 e^+ e^-$ - II

$K_L \rightarrow \pi^0 e^+ e^-$ : direct vs indirect CPV contributions



# CPC contribution to $K_L \rightarrow \pi^0 e^+ e^-$

- The CP Conserving contribution to  $K_L \rightarrow \pi^0 e^+ e^-$  proceed through  $K_L \rightarrow \pi^0 \gamma^* \gamma^*$  intermediate states and can be derived from the measurement of  $K_L \rightarrow \pi^0 \gamma \gamma$  decay rate and  $m_{\gamma\gamma}$  distribution
  - important to know  $BR(K_L \rightarrow \pi^0 \gamma \gamma)$  and the angular momentum state  $J$  of the 2 photons
  - predictions from  $\chi PT$  (effective theory of SM at low energy in the hadronic sector) can be parametrized as:

$$\frac{d^2\Gamma}{dydz} \propto z^2 |A+B|^2 + (y^2 - y_{\max}^2)^2 B \cos z = \left( \frac{m_{\gamma\gamma}}{m_K} \right)^2, y = \frac{|p_K(k_{\gamma 1} - k_{\gamma 2})|}{m_K^2}$$

where amplitudes  $A$  and  $B$  refers, respectively, to the photon angular states  $J=0$   $J=2$ , and  $B$  is sensitive to the low mass  $m_{\gamma\gamma}$  region and to the CPC component of  $K_L \rightarrow \pi^0 e^+ e^-$

- $J=0$  gives no contributions to  $\pi^0 e^+ e^-$  (helicity conservation)

# $K_L \rightarrow \pi^0 \gamma \gamma$ : experimental results

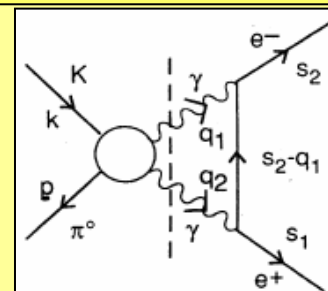
## Measurements in KTeV and NA48

- NA48 find small B (J=2) contribution to CPC in  $K_L \rightarrow \pi^0 e^+ e^-$
- KTeV data in disagreement with NA48: predict bigger contributions to CPC in  $K_L \rightarrow \pi^0 e^+ e^-$

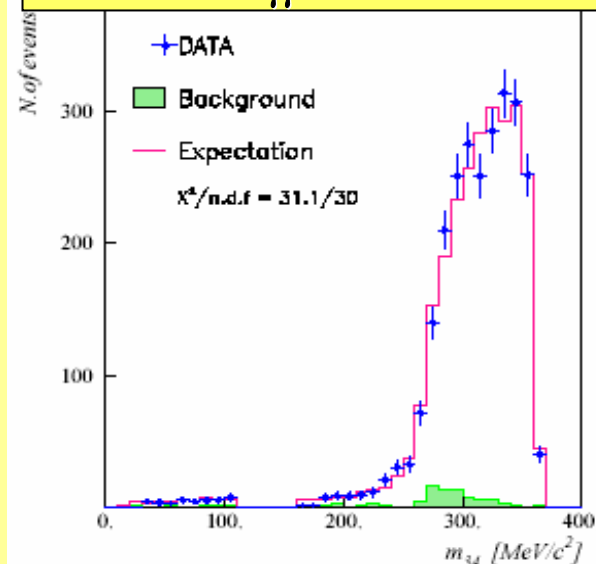
## The contribution to $\pi^0 e^+ e^-$ depends on fit function to $m_{\gamma\gamma}$ distribution

NA48	Fit $m_{\gamma\gamma}$ distribution :VMD	$0.47^{+0.22}_{-0.17} \times 10^{-12}$
Gabbiani-Valencia	Fit distribution and rate: VMD	$13.8^{+0.09}_{-0.21} \times 10^{-12}$
Gabbiani-Valencia	Fit distribution and rate:3 parameters à la $O(p^6)$ $\chi$ PT	$0.46^{+0.22}_{-0.17} \times 10^{-12}$

## $K_L \rightarrow \pi^0 \gamma^* \gamma^* \rightarrow \pi^0 e^+ e^-$



## NA48: $m_{\gamma\gamma}$ distribution

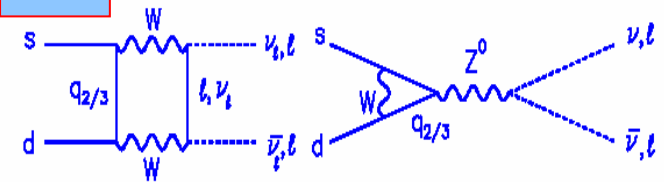


# $K \rightarrow \pi \nu \bar{\nu}$ decays

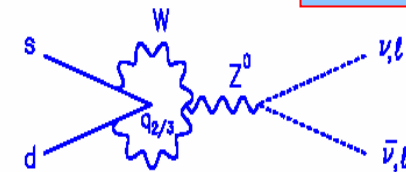
## $K \rightarrow \pi \nu \bar{\nu}$ :

- FCNC processes sensitive to physics at the scale of  $m_W$ ,  $m_Z$  and  $m_T$
- leading loop diagrams
- unique "theoretical cleanliness"
  - ❖ no long range contribution
  - ❖ matrix elements of quark operators related to  $K_{e3}$  decays
  - ❖  $K_L$  decay dominated by direct CP
- direct sensitivity to  $V_{td}$  and BSM physics
- $K_L$  decay: direct CPV, negligible hadronic uncertainties (top quark dominant)
- $K^+$  decay: CP conserved, hadronic contributions from top and charm quarks

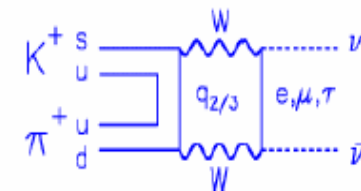
BOX



PENGUIN



Dressing up into hadrons:



# K → πνν̄ in the SM

## CKM structure of K → πνν̄ processes

K<sub>L</sub>: direct CPV

$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = k B(K^+ \rightarrow \pi^0 e^+ \nu) \left[ \text{Im}(V_{ts}^* V_{td}) X \left( \frac{m_t^2}{m_W^2} \right) \right]^2 = k' \eta^2 \approx 3 \times 10^{-11}$$

Known to ~ 2% precision

K<sup>+</sup>: CP conserving

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = k B(K^+ \rightarrow \pi^0 e^+ \nu) \left| V_{cs}^* V_{cd} X \left( \frac{m_c^2}{m_W^2} \right) + V_{ts}^* V_{td} X \left( \frac{m_t^2}{m_W^2} \right) \right|^2 \propto [(1.4 - \rho)^2 + \eta^2] \approx 8 \times 10^{-11}$$

Small additional uncertainty from m<sub>c</sub>

Theoretically clean extraction of λ<sub>t</sub> = V<sub>ts</sub><sup>\*</sup> V<sub>td</sub>

Constraints on η, ρ

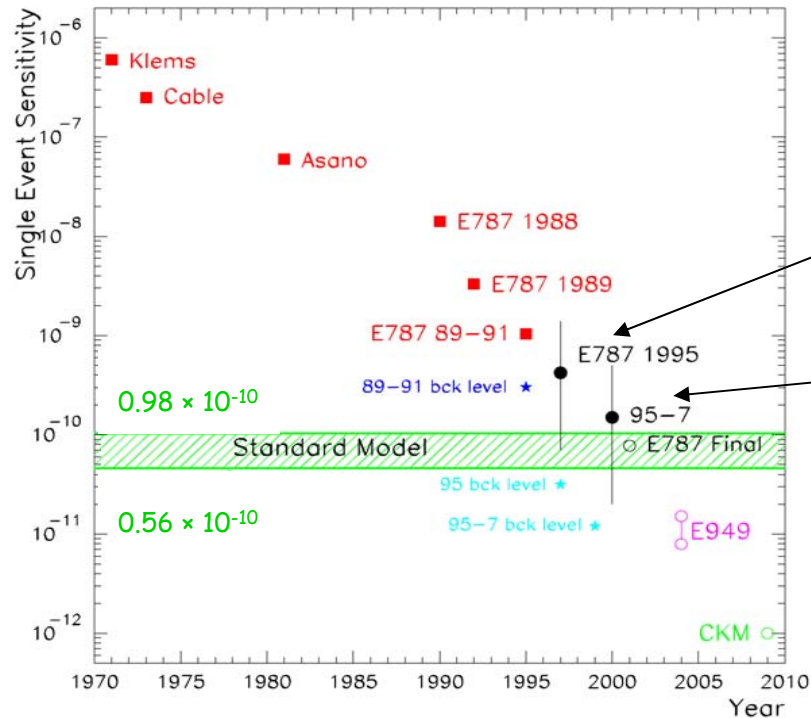
- ◆ **Huge experimental challenge**
  - ❖ BR ~ 10<sup>-10</sup> - 10<sup>-11</sup>, kinematics underconstrained (ν)
  - ❖ background from channels with much larger decay rates



# The $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ experimental program

- **BNL E787** completed, analyzed  $5.9 \times 10^{12}$  stopped  $K^+$ :  
2 candidates, measured background  $0.15 \pm 0.05$  events
  - $BR = (1.57^{+1.75}_{-0.82}) \times 10^{-10}$
- **BNL E949** running (upgrade of E787):
  - expected  $40 \times 10^{12}$  stopped  $K^+$  corresponding to 5-10 SM events
- **Fermilab CKM** (Charged Kaons at the Main injector) approved in 2001:
  - decay in-flight technique
  - 100 SM events over 10 background
  - will match expected theory uncertainty
  - results before 2010

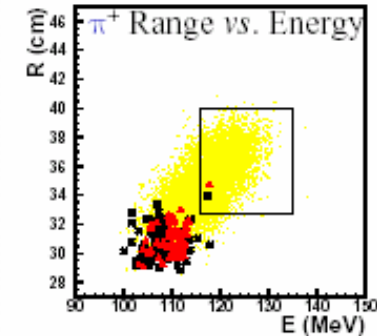
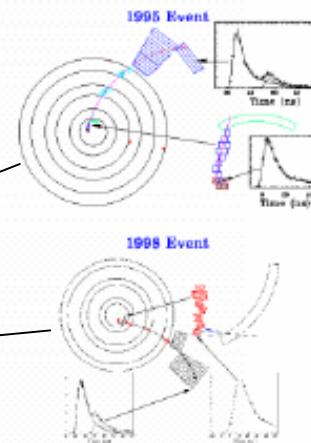
# Progress in $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



(courtesy of L.Littenberg)

**E787 2002:**  
hep-ex/0111091

*Two  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  Candidates*



Event	P (MeV/c)	R (cm)	E (MeV)	S/N
1995	218.2	34.8	117.8	35
1998	213.8	33.9	117.1	3.6

$N_{K^+} = 5.9 \times 10^{12}$  Efficiency  $\epsilon = 2 \times 10^{-3}$   
 Estimated Background:  $0.15 \pm 0.05$  events

**Branching Ratio**

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.57_{0.82}^{1.75} \times 10^{-10}$$

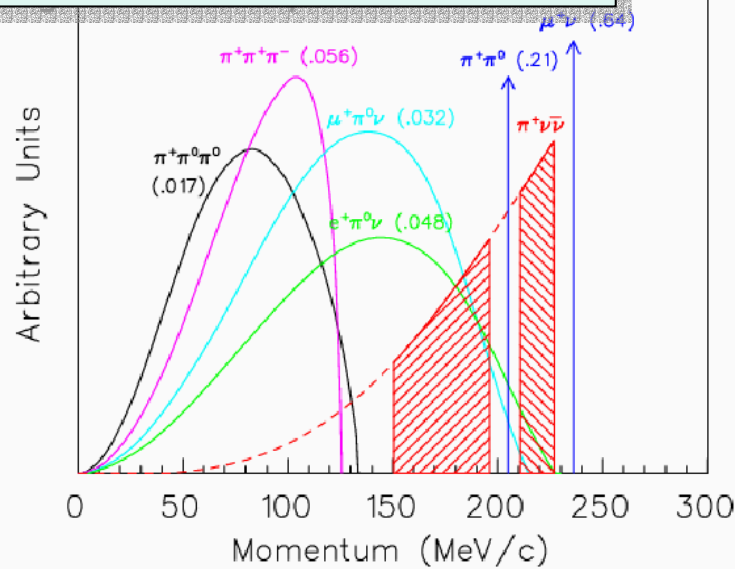
$$\text{SM: BR} = (0.72 \pm 0.21) \times 10^{-10}$$

# Status of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

## Experimental features:

- 3 body decay ( $BR \sim 10^{-10}$ ) with 2 missing particles  $\rightarrow$  weakly constrained kinematics
- Huge amount of background: signal at the  $10^{-10}$  level  $\rightarrow$  need background measurement at  $10^{-11}$  level
- Tool for background reduction: kinematic suppression,  $\gamma$ -veto and particle ID

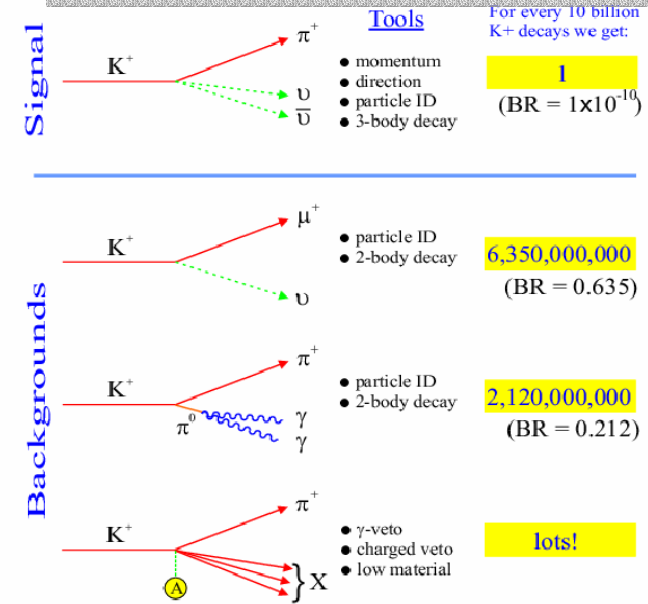
Signal and background kinematics: above 205 MeV/c  
no  $\pi^+$  from other significant decay mode than  $\pi\nu$



## Background sources

decay mode	BR [%]
$K^+ \rightarrow \pi^+ \pi^0$	0.21
$K^+ \rightarrow \mu^+ \nu$	0.63
$K^+ \rightarrow \mu^+ \nu \gamma$	$5 \cdot 10^{-3}$
$K^+ \rightarrow \pi^0 \mu^+ \nu$	0.032
$K^+ \rightarrow \pi^0 e^+ \nu$	0.048
$K^+ \rightarrow \pi^+ \pi^- \pi^+$	0.056

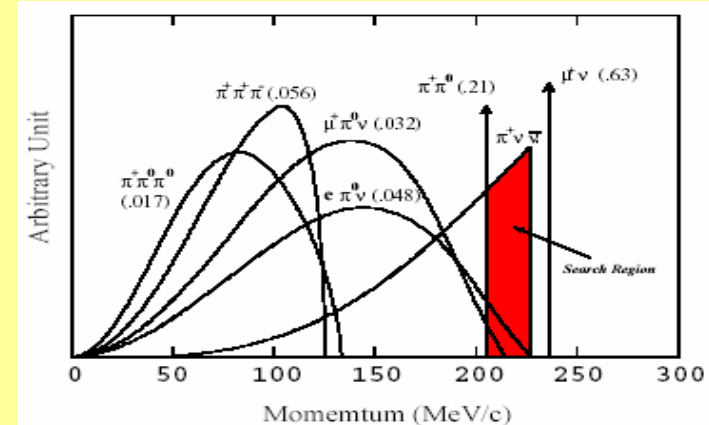
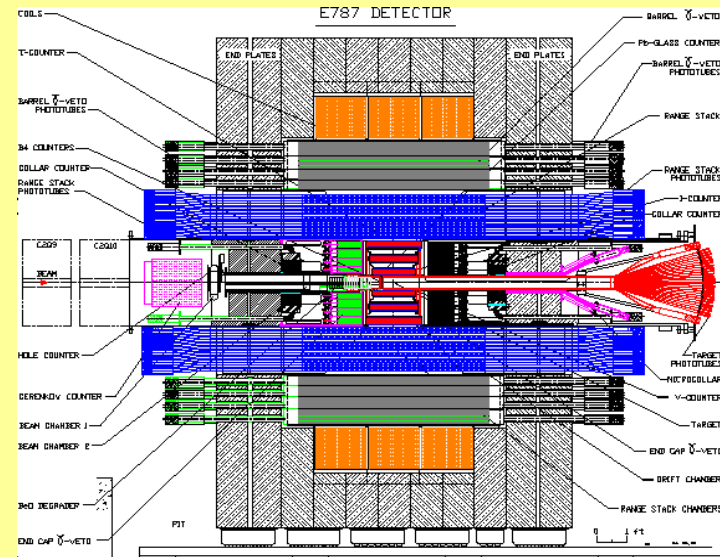
## Background reduction tools



# BNL E787 Experiment

## Key features:

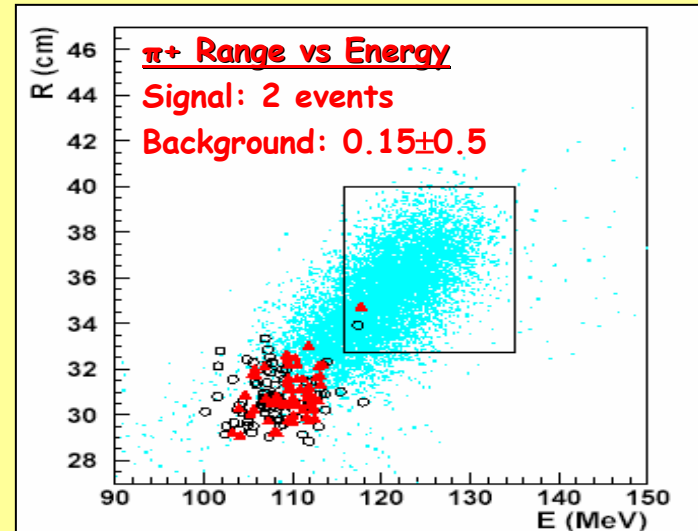
- stopped  $K^+$  in active degrader and target  $\rightarrow$  access to  $K^+$  center of mass, help vetoing
- hermetic veto coverage
- redundant kinematic information on  $\pi^+$ : comparison of momentum, energy and range to identify low energy particles;  $\pi^+$  identification through  $\pi \rightarrow \mu \rightarrow e$  decay chain
- misidentification  $\pi/\mu$  rate  $O(10^{-8})$
- look for  $\pi^+$  above end point for  $K^+ \rightarrow \pi^+ \pi^0$ :  $p_{\pi^+} > 205 \text{ MeV}/c$



# BNL E787 Experiment Results

To establish a signal at the  $10^{-10}$  level, measure background at  $10^{-11}$

- A priori identification of background sources, directly from data, independent cuts for each source
- Correlations accounted after studies with looser cuts
- Acceptance measurement based mainly on data
- Blind analysis, likelihood method for assessing candidate events
- $5.9 \times 10^{12}$   $K^+$  with  $\varepsilon = 2 \times 10^{-3}$



**Branching Ratio**

$$B(K^+ \rightarrow \pi^+ \bar{\nu} \nu) = 1.57 \pm_{0.82}^{1.75} \times 10^{-10}$$

Consistent with SM:  $(0.72 \pm 0.21) \times 10^{-10}$

Estimated probability of being due to background only : 0.02%

Limits on  $\lambda_\gamma \equiv V_{ts}^* V_{td}$  (Independent of B system,  $\varepsilon_K, \varepsilon'$ )

$$2.9 \times 10^{-4} < |\lambda_\gamma| < 1.2 \times 10^{-4} \quad (68\% \text{ C.L.})$$

$$-0.88 \times 10^{-3} < \text{Re}(\lambda_\gamma) < 1.2 \times 10^{-3} \quad (68\% \text{ C.L.})$$

$$\text{Im}(\lambda_\gamma) < 1.1 \times 10^{-3} \quad (90\% \text{ C.L.})$$

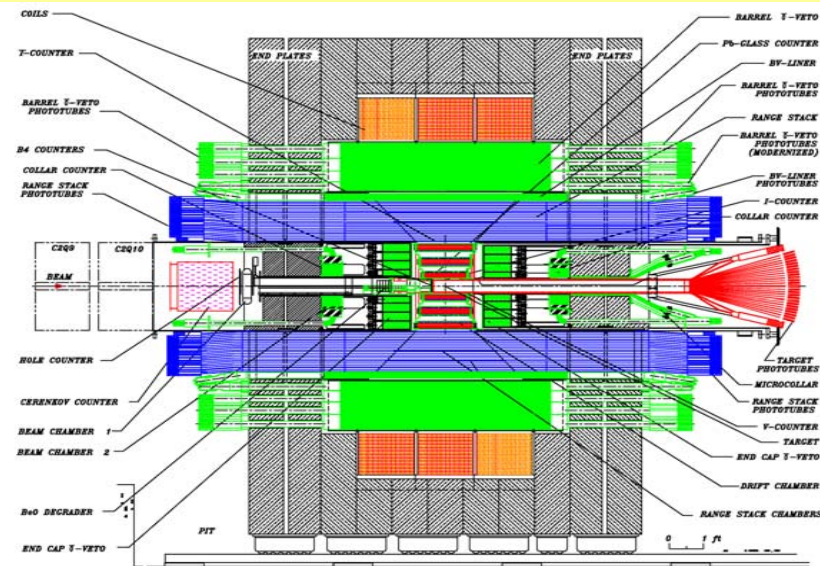
# BNL E949 Experiment

## E 949: upgrade of E787 experiment

- Run with RHIC, get entire AGS proton production
- improve sensitivity (  $\times 14$  )
- extend kinematical region in  $140 < p_{\pi^+} < 190 \text{ MeV}/c$  ( $s/b \sim 1$ )
- data for 2 additional years
- expect sensitivity  $\sim 10^{-11}/\text{evt}$  by 2004 ( 7 events for SM BR prediction)

## Detector improvements:

- veto coverage
- beam instrumentation
- higher DAQ capability
- more efficient trigger counters
- upgraded chamber electronics



Upgrade of BNL AGS 787

Sensitivity improvement with respect to E787 (1995):

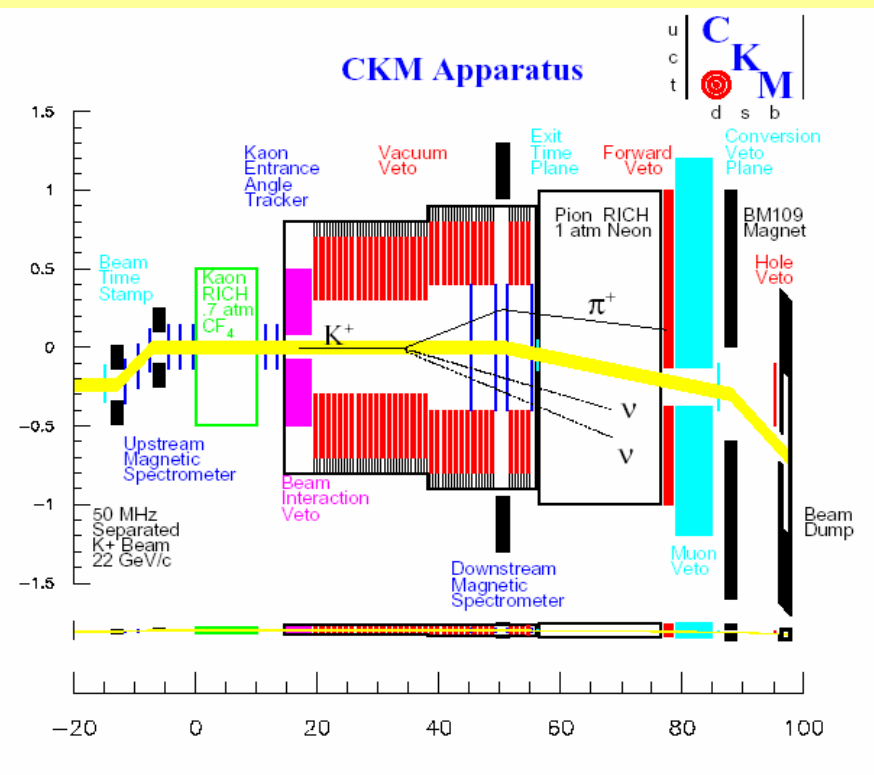
- Increased spill length ( $\times 1.56$ )
- Lower momentum ( $\times 1.38$ )
- Increased efficiency (trigger, DAQ, analysis) ( $\times 3.2$ )
- Acc. below  $K\pi 2$  + higher rate analysis reopt. ( $\times 2$ )
- Total gain -  $\times 14$  per hour of data taking

Expect to reach  $\sim 10^{-11}/\text{evt}$  by 2004

# The CKM Experiment

- First attempt of in-flight measurement of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Superconducting RF separated beam: hadron purity  $>70\%$  , 33MHz 22GeV/c  $K^+$
- redundant determination of  $K^+$  and  $\pi^+$  momenta: magnetic spectrometer + RICH
- veto with total inefficiency for  $\pi^0$  from  $\pi^+ \pi^0 \sim 10^{-7}$
- expects 100 signal with  $\sim 10$  background events (BR  $\sim 10^{-10}$ )
- 5% statistical precision on  $|V_{td}|$  determination

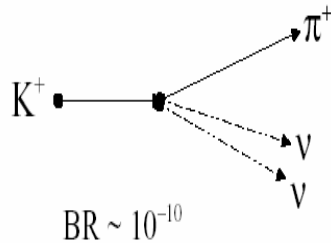
## CKM experiment at Fermilab Main Injector



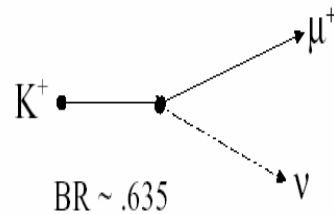
# CKM Experiment Requirements

## Searching for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

Signal

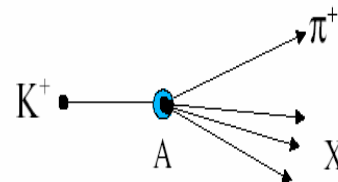
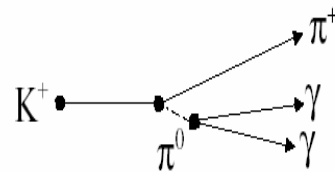


Background



### Requirements for 100 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ and 10 bkg

- A clean, debunched, 33 Mhz, 22 GeV  $K^+$  beam
  - $O(10^5)$  kinematic rejection of the 2-body background
  - Robust / redundant particle ID
  - Veto system to achieve  $O(10^7)$  rejection of the multi-particle background
- ICHCBH 2002 H.Nguyen



## Number of Kaons Needed

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \approx 10^{-10}$$

$$\text{Acceptance} = 2.86 \cdot 10^{-3}$$

$$3.65 \times 10^{14} K^+ \text{ needed for 100 events}$$

Assuming:

- 2 year run
- 39 weeks/year, 120 hr/week, 3600 s/hr
- 1 second long spill every 3 seconds

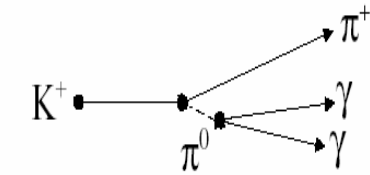
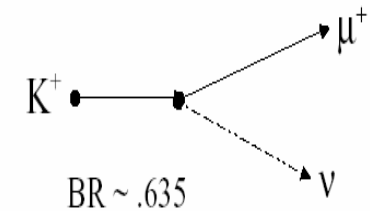
We will have  $1.12 \times 10^7$  seconds of beam

$\Rightarrow$  32.5 MHz  $K^+$  beam needed

Implications:

- Unbunched and enriched  $K^+$  beam

## $O(10^{12})$ Background Rejection Needed



$$BR \sim .212$$

Kinematic Rejection:  $O(10^5)$

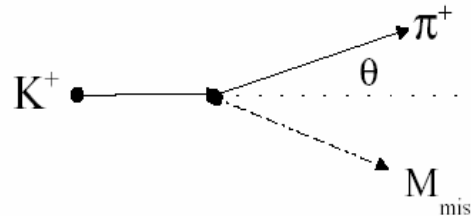
Veto Rejection:  $O(10^7)$



# CKM Experiment Technique

## Kinematic Rejection of Background

The dominant  $K^+ \rightarrow \pi^+\pi^0$  and  $K^+ \rightarrow \mu^+\nu$  backgrounds have well defined missing mass ( $M_{\text{miss}}$ )

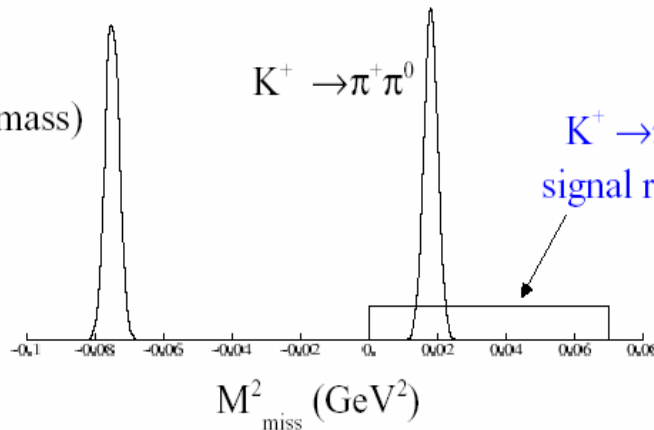


$$M_{\text{miss}}^2 = M_K^2(1 - p_\pi/p_K) + M_\pi^2(1 - p_K/p_\pi) - p_\pi p_K \vartheta^2$$

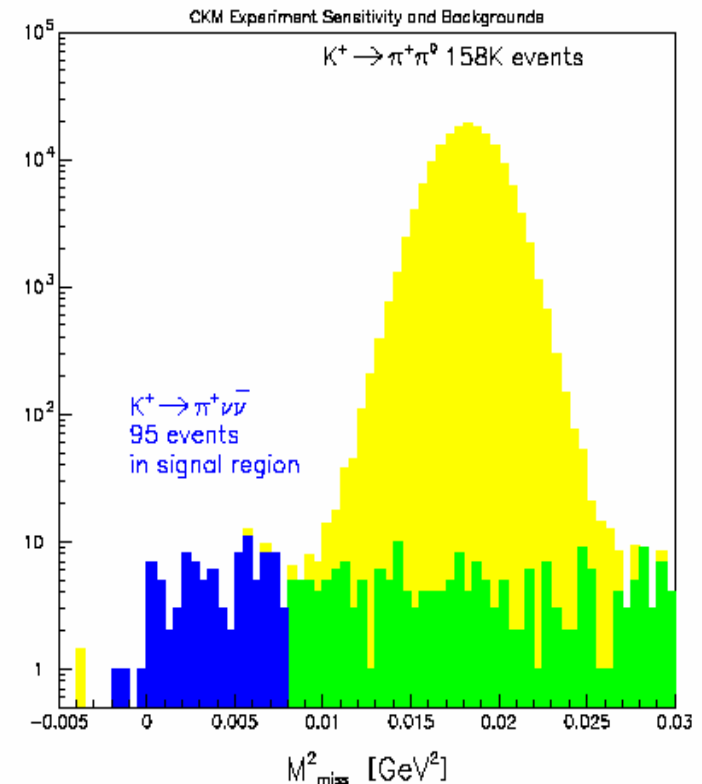
$K^+ \rightarrow \mu^+\nu$   
( $\mu^+$  assigned  $\pi^+$  mass)

$K^+ \rightarrow \pi^+\pi^0$

$K^+ \rightarrow \pi^+\nu\nu$   
signal region



## CKM experiment sensitivity and background after 2 years running



# Lessons from $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

- $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$  consistent with SM expectations:

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.57^{+1.75}_{-0.82}) \times 10^{-10}$$

$$SM: BR = (0.72 \pm 0.21) \times 10^{-10}$$

- It provides a model-independent upper bound on  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  decay

$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 1.7 \times 10^{-9}$$

- A more precise measurement would be extremely interesting both as a CKM constraint and as a probe of new physics

# The $K_L \rightarrow \pi^0 \nu \bar{\nu}$ experimental program

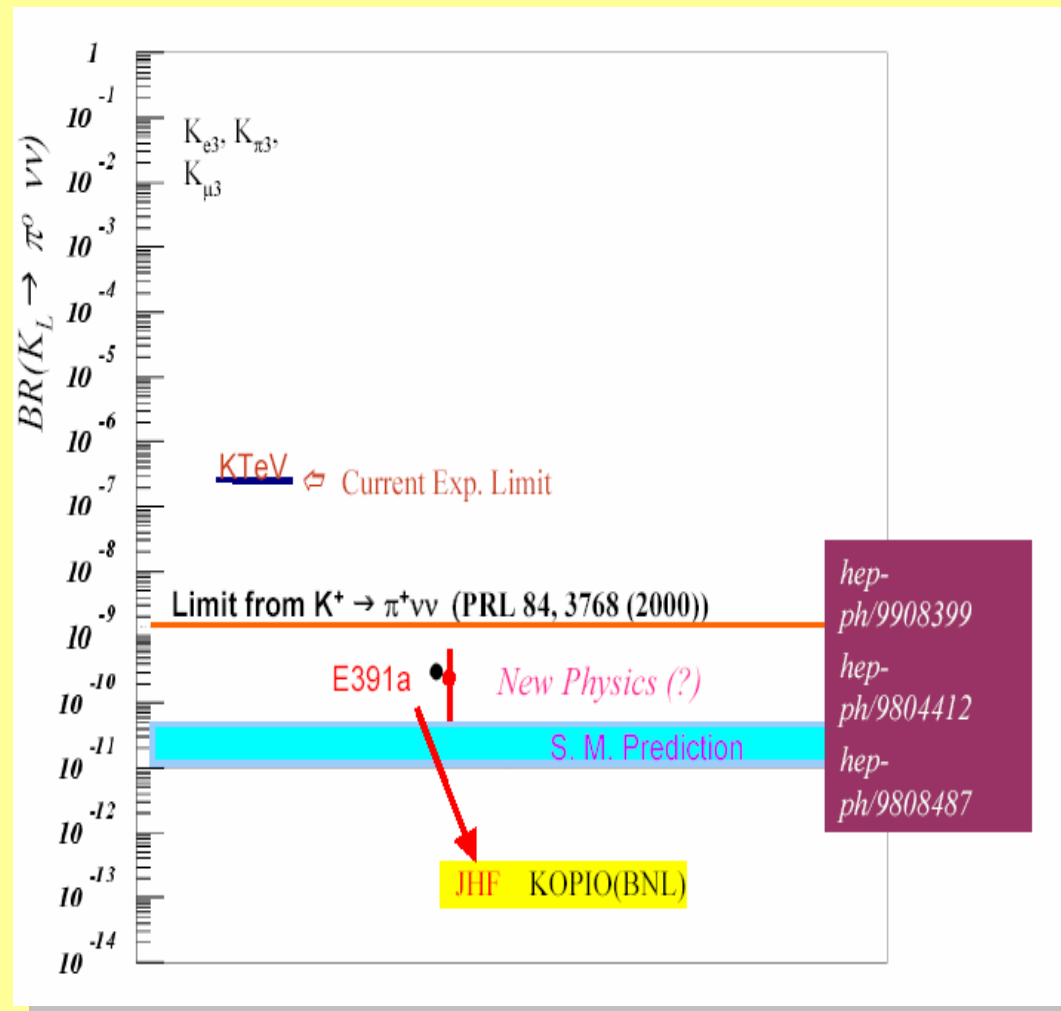
➤ **KTEV**: measured U.L. of  $5.9 \times 10^{-7}$  (90%CL) using  $\pi^0 \rightarrow ee \gamma$

➤ **KEK-E391a**: pilot experiment, exploits full hermetic veto coverage. Accurate design of "pencil" beam. After detailed studies of physics limitations to detection of  $\gamma$ ,  $e$  and  $\pi$ : expect sensitivity  $\sim 10^{-10}$  (x30 above SM level). Proposed continuation (JPARC) > 2008

➤ **KOPIO at BNL**: new technique to measure  $K$  momentum and  $\gamma$  direction. Expected sensitivity of  $\sim 40$  Standard Model events ( $\sim 20$  background events)

- Veto power complemented by kinematic rejection of dominating background
- $K^0$  momentum by TOF using beam microbunching
- full measurement of  $\pi^0$ , including  $\gamma$  direction by tracking preradiator

# Prospects in $K_L \rightarrow \pi^0 \nu \bar{\nu}$



# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ Upper Limits in KTeV

A. ALAVI-HARATI *et al.*

PHYSICAL REVIEW D **61** 072006

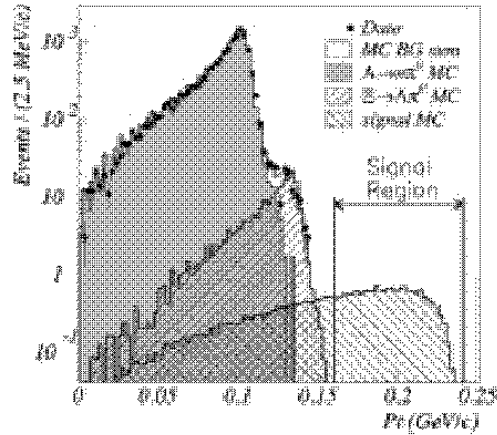


FIG. 3. Final  $p_T$  distribution. The dots represent data, and open histogram is for MC expectation. Two main background contributions are overlaid. Also shown is the signal distribution predicted from the MC simulation whose normalization is arbitrary.

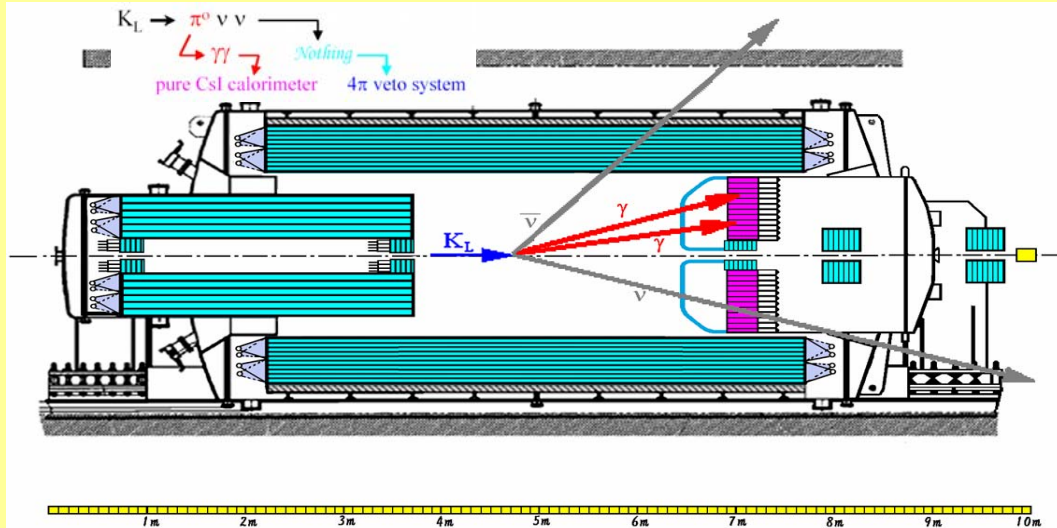
TABLE I. Summary of expected background contribution in the final signal region.

Decay mode	Expected number of events
$K_L \rightarrow \pi e \nu + \gamma$	$0.02 \pm 0.02$
$K_L \rightarrow \pi^+ \pi^- \pi_D^0$	$< 0.01$
$\Lambda \rightarrow n \pi_D^0$	$< 0.04$
$\Xi^0 \rightarrow \Lambda^0 (\rightarrow p \pi^-) \pi_D^0$	$0.01^{+0.006}_{-0.004}$
$\Xi^0 \rightarrow \Lambda^0 (\rightarrow n \pi^0) \pi_D^0$	$0.01^{+0.006}_{-0.004}$
$\Xi^0 \rightarrow \Lambda^0 (\rightarrow n \pi_D^0) \pi^0$	$0.01 \pm 0.01$
$K_L \rightarrow \pi^0 \pi^0 \pi_D^0$	$0.03 \pm 0.03$
$K_L \rightarrow \pi^0 \pi_D^0$	$< 0.01$
$n + X \rightarrow \pi^0 X'$	$0.04^{+0.04}_{-0.01}$
<b>Total</b>	$0.12^{+0.05}_{-0.04}$

**B.R.  $< 5.9 \times 10^{-7}$  (95% CL)**

- Flux of  $0.33 \times 10^{12}$  K decays analyzed, required  $\pi^0 \rightarrow \gamma e^+ e^-$ :
- Constraints on  $\pi^0$  mass ( vertex unknown in  $\pi^0$ )
- Better  $p_T$  measurement resolution (no pencil beam)
- Disadvantage:  $BR(\pi^0 \rightarrow \gamma e^+ e^-) = 1.2\%$

# KEK E391a Experiment



- $\langle p \rangle_K \sim 2 \text{ GeV}/c$
- pencil beam
- compact detector
- apparatus in vacuum
- CsI photon calorimeter
- Very high performance veto

- First dedicated experiment for  $K_L \rightarrow \pi^0 \nu \bar{\nu}$
- Approved in december 1997. Addressing relevant experimental issues in a systematic way:
  - Detector inefficiency and physics limits measurements
  - Beam survey ( Dec. 2000 )
- Data taking in 2004, aiming at  $SES \sim 3 \times 10^{-10}$
- Test bed for experiment at JHF aiming at sensitivity  $\sim 3 \times 10^{-14}$

# JPARC

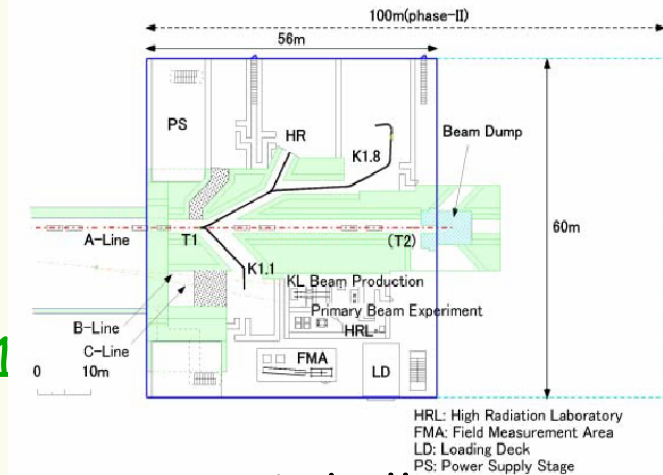
## ➤ New proton accelerator in Japan

- final parameters :  $E=50 \text{ GeV}$   $i=15\mu\text{A}$
- phase 1:  $E=30$  o  $40 \text{ GeV}$
- experimental hall with 2 beam lines in addition to Kamioka neutrino beam
- one beam line instrumented in phase 1
- start in 2008

## ➤ Call per LOI ( dicembre 2002 )

## ➤ Many letters of intent for K physics:

- $K_L \rightarrow \pi^0 \nu \bar{\nu}$  (E391a upgrade)
- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  (stopped  $K^+$  à la BNL E787)
- T violating  $P_T$  in  $K^+ \rightarrow \mu^+ \pi^0 \nu$  at  $10^{-4}$  level
- $K^+ \rightarrow e^+ \pi^0 \nu$  for  $V_{us}$  measurement

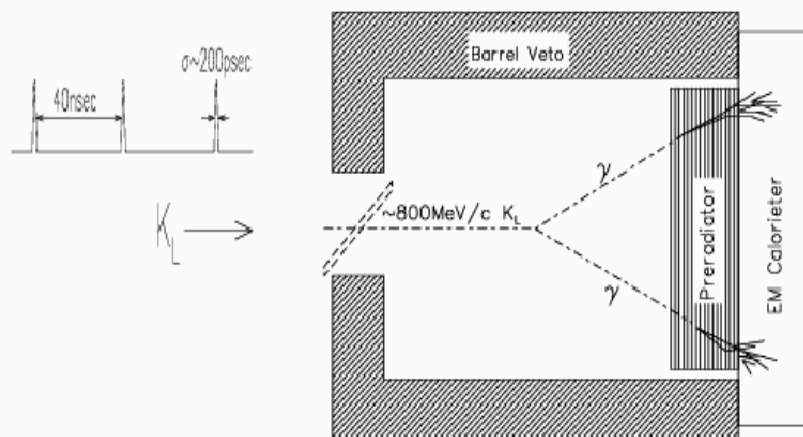


K-hall

# KOPIO: a proposal for $K_L \rightarrow \pi^0 \nu \bar{\nu}$

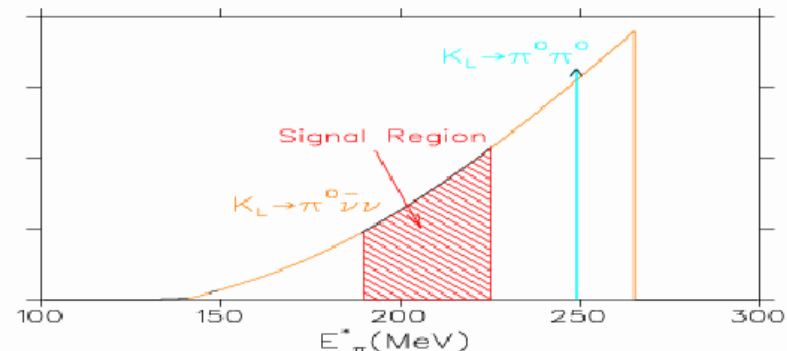
## Lessons from BNL E787 experiment

- Measure as much as possible:  
Energy, position and *ANGLE* of each photon.
- Work in the C.M. system :  
Use TOF to get the  $K_L^0$  momentum.
- Photon Veto limited by photonuclear interactions at low energies.

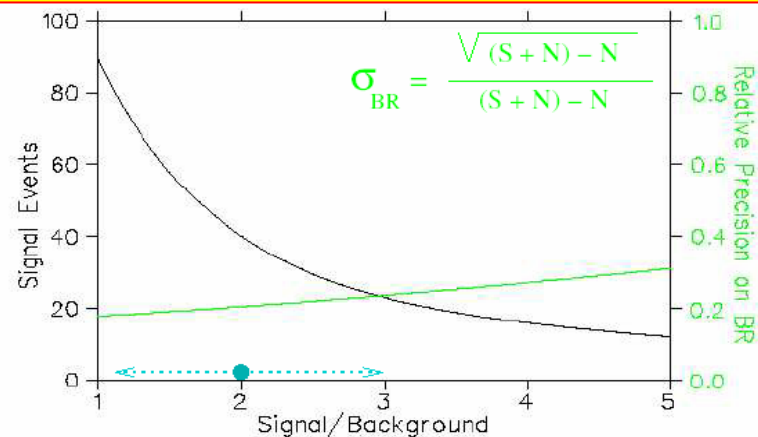


## • Search for $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$

## KOPIO program



Measure BR to 20%  $\rightarrow \delta\eta/\eta \sim 10\%$

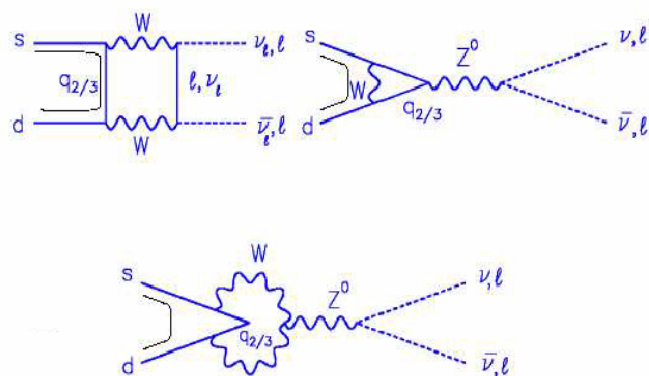


Expected  $\sim 40$  SM events,  $S/B \sim 2$



# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ theoretical predictions

## $K_L \rightarrow \pi^0 \nu \bar{\nu}$ in Standard Model

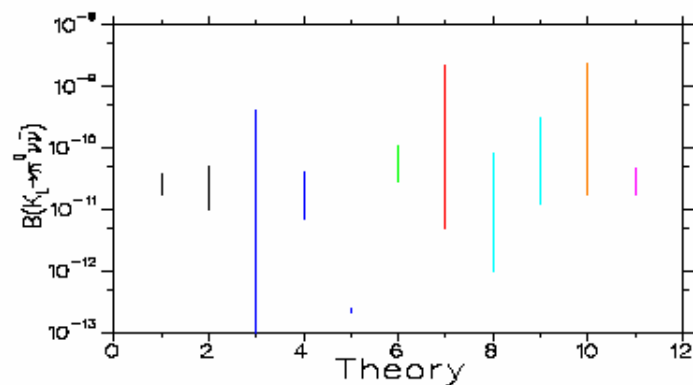


$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) \simeq (3.1 \pm 1.3) \cdot 10^{-11}$$

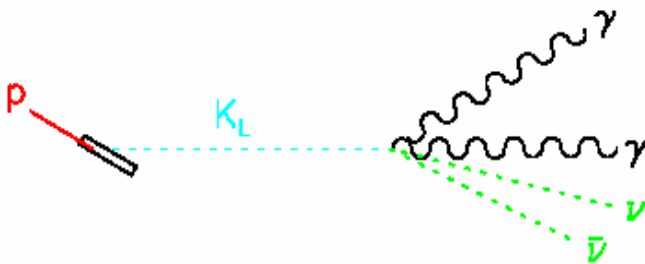
## $K_L \rightarrow \pi^0 \nu \bar{\nu}$ beyond SM

Who	What	$10^{11} BR(K_L \rightarrow \pi^0 \nu \bar{\nu})$
1 Buchalla	Standard Model CKM fit	$2.8 \pm 1.1$
2 Ptaszczyński/Schune	Conservative SM fit	1 – 5
3 Buras, et al.	Generic SUSY w/min. part. content	0 – 40
4 Buras, et al.	MSSM w/o new flavor or CP viol.	$(0.41 - 1.03) \times SM$
5 Bähik, et al.	all CP-viol. due to SUSY	$\sim .023$
6 Chanowitz	$SU(2)_L \times SU(2)_R$ Higgs	2.8 – 10.6
7 Hattori, et al.	4th generation	0.5 – 260
8 Xiao, et al.	top-color assisted technicolor	0.1 – 8
9 Xiao, et al.	multiscale walking technicolor	1.2 – 30
10 Grossman/Nir	Extra “vector-like” quarks	1.7 – 260
11 Kiyo, et al.	seesaw L-R model†	$(1 - 1.2) \times SM$

† predicts spectrum will be altered.



# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ experimental issues



Only 2 photons seen, a priori unknown decay vertex and K energy

- All neutral initial & final state,  $\gamma$ 's make  $\pi^0$
- Expected branching ratio  $3 \times 10^{-11}$ 
  - need high flux of  $K_L$
- Largest background  $K_L \rightarrow \pi^0 \pi^0$ , BR  $\sim 10^{-3}$ 
  - need excellent vetoing, other handles if possible
- Background from neutron-produced  $\pi^0$ 's,  $\eta$ 's
  - requires vacuum of  $10^{-7}$
  - need to make sure decay vertex was in beam
- Potential backgrounds from hyperon decay  $\pi^0$ 's
  - could use a clever way of getting rid of them

Background from  $K_L$  decays:

Process	Modes	Main source	Events
$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$			41
$K_L^0$ decays ( $\bar{\gamma}$ )	$\pi^0 \pi^0, \pi^0 \pi^0 \pi^0, \pi^0 \gamma \gamma$	$\pi^0 \pi^0$	12.8
$K_L^0 \rightarrow \pi^+ \pi^- \pi^0$			0.65
$K_L^0 \rightarrow \gamma \gamma$			0.02
$K_L^0$ decays ( <u>charge</u> )	$\pi^\pm e^\mp \nu, \pi^\pm \mu^\mp \nu, \pi^+ \pi^-$	$\pi^- e^+ \nu$	0.02
$K_L^0$ decays ( $\bar{\gamma}$ , <u>charge</u> )	$\pi^\pm l^\mp \nu \gamma, \pi^\pm l^\mp \nu \pi^0, \pi^+ \pi^- \gamma$	$\pi^- e^+ \nu \gamma$	4.4
Other particle decays	$\Lambda \rightarrow \pi^0 n, K^- \rightarrow \pi^- \pi^0, \Sigma^+ \rightarrow \pi^0 p$	$\Lambda \rightarrow \pi^0 n$	0.01
Interactions	$n, K_L^0, \gamma$	$n \rightarrow \pi^0$	0.2
Accidentals	$n, K_L^0, \gamma$	$n, K_L^0, \gamma$	0.8
<b>Total Background</b>			<b>18.9</b>

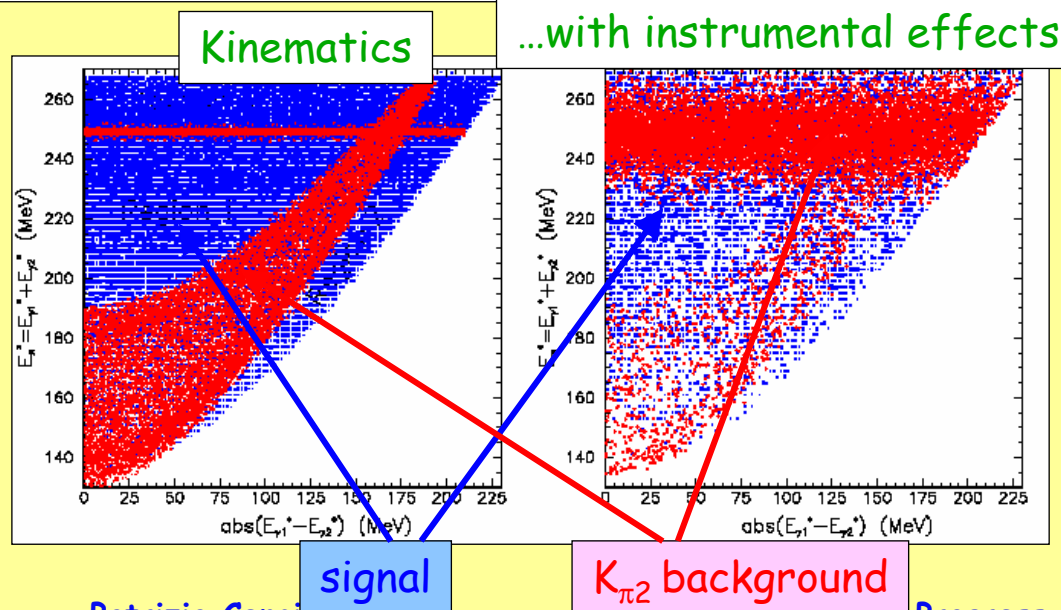
# $K_L \rightarrow \pi^0 \pi^0$ background

## Reduction of $K_L \rightarrow \pi^0 \pi^0$ background:

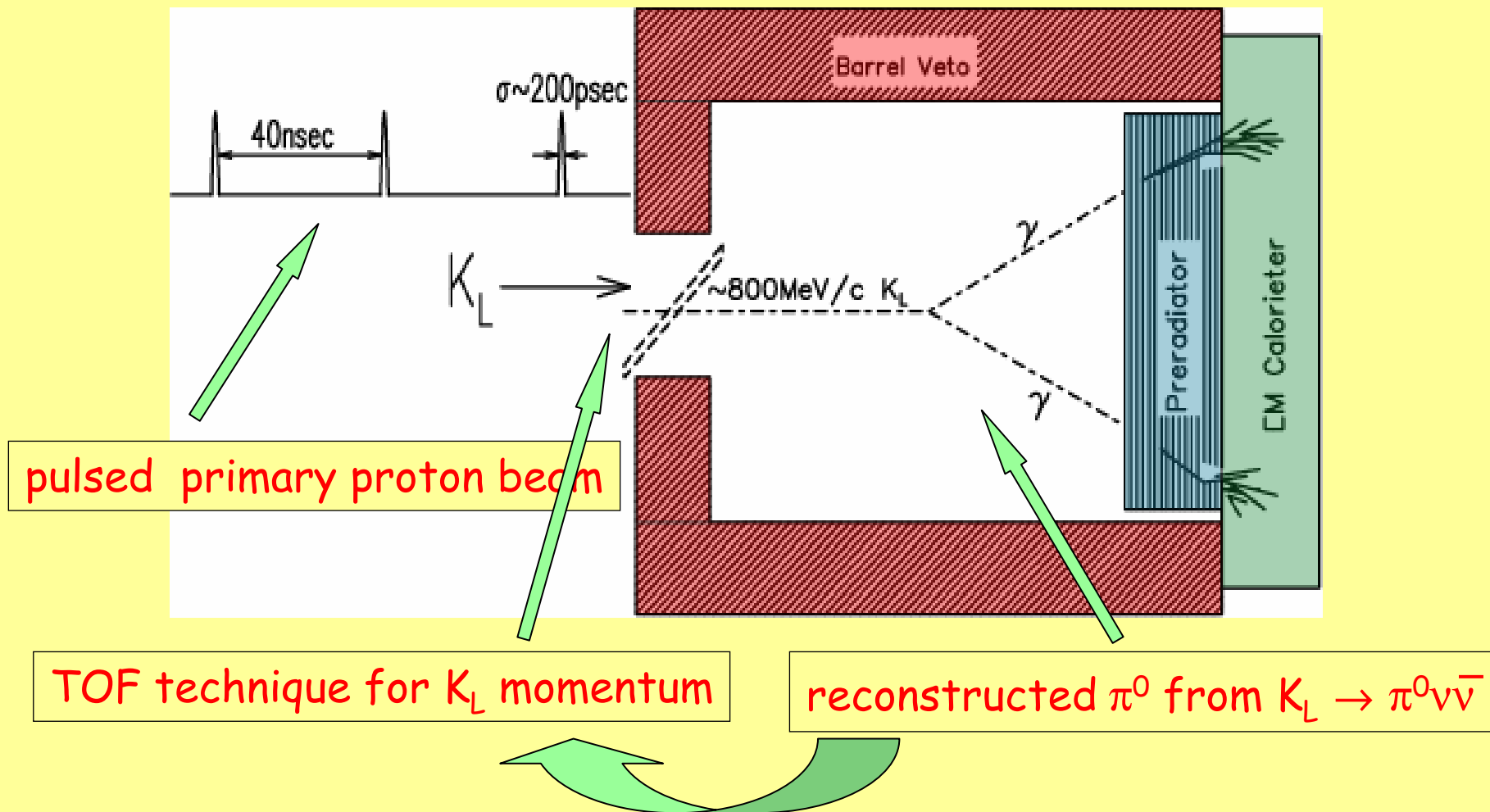
- require 4 reconstructed photons
- require kinematics: in K center of mass the two  $\pi^0$  have  $E_{\pi}^* = m_K/2$ 
  - Combine photon energies: photons in  $m_{\gamma\gamma} = m_{\pi^0}$  could come from:
    - same  $\pi^0$  (EVEN pair)  $E_{\pi}^* = m_K/2$
    - different  $\pi^0$  (ODD pair) bigger  $E_{\pi}^*$

$E^* (\gamma_1 + \gamma_2)$  vs  $E^* (\gamma_1 - \gamma_2)$

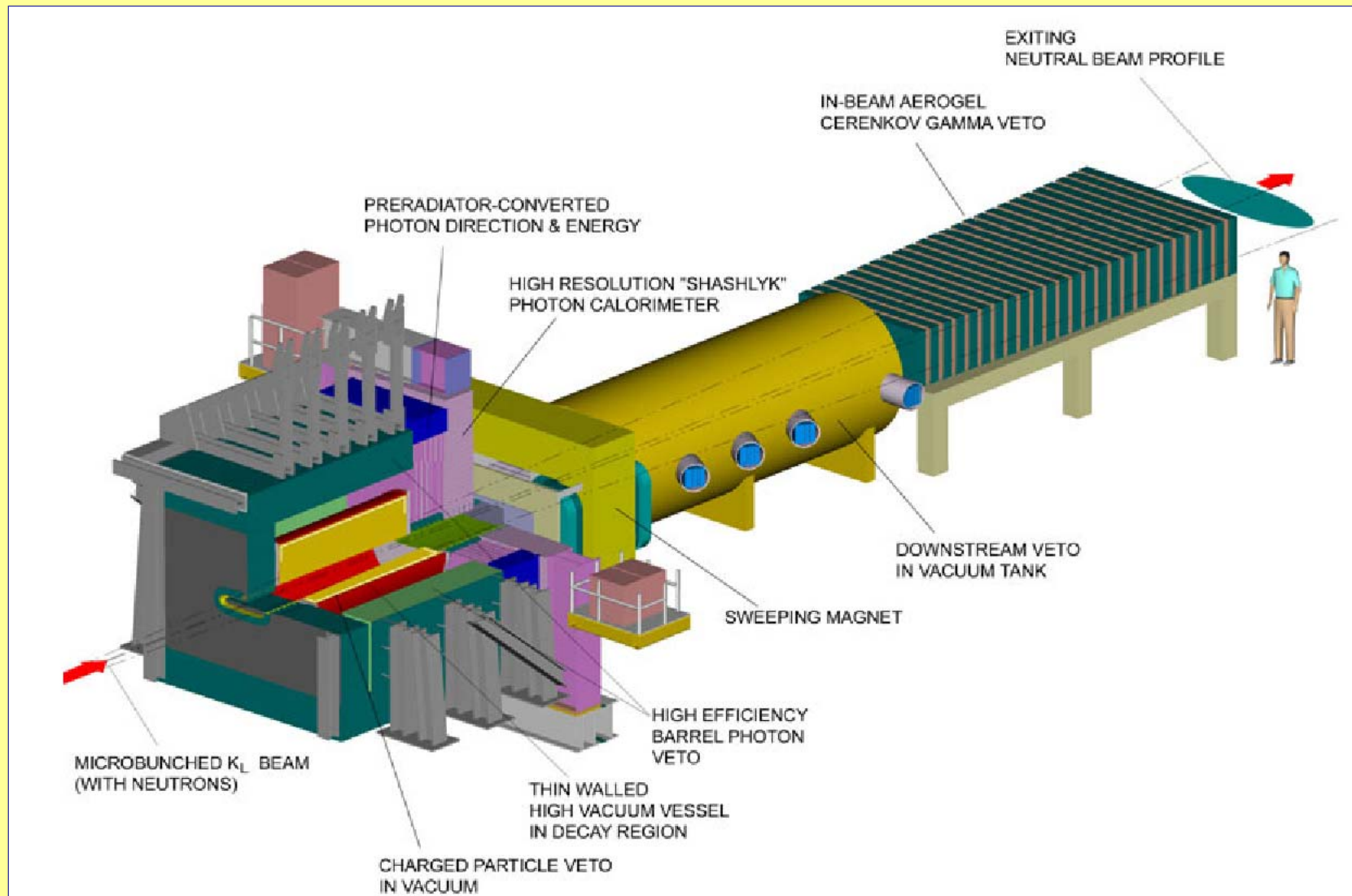
Method of  $\pi^0$  kinematic reconstruction in  $K_L$  c.m.:  
found region with negligible  
 $K_L \rightarrow \pi^0 \pi^0$  background



# KOPIO experiment concept



# KOPIO Detector



# KOPIO detector requirements

Obtained with prototype test

PR+CAL  
PR  
PR+CAL  
PR  
AGS

Parameter	Minimal Requirement	Expected Performance
$E_\gamma$ resolution	$3.5\%/\sqrt{E}$	$2.7\%/\sqrt{E}$
$\theta_\gamma$ resolution (250MeV)	(25 – 30) mr	23 mr
$t_\gamma$ resolution	$100ps/\sqrt{E}$	$50ps/\sqrt{E}$
$x_\gamma, y_\gamma$ resolution(250MeV)	10mm	< 1mm
$\mu$ -bunch width	300ps	200ps
$\gamma$ -veto inefficiency	$\bar{\epsilon}_{E787}$	$0.3\bar{\epsilon}_{E787}$

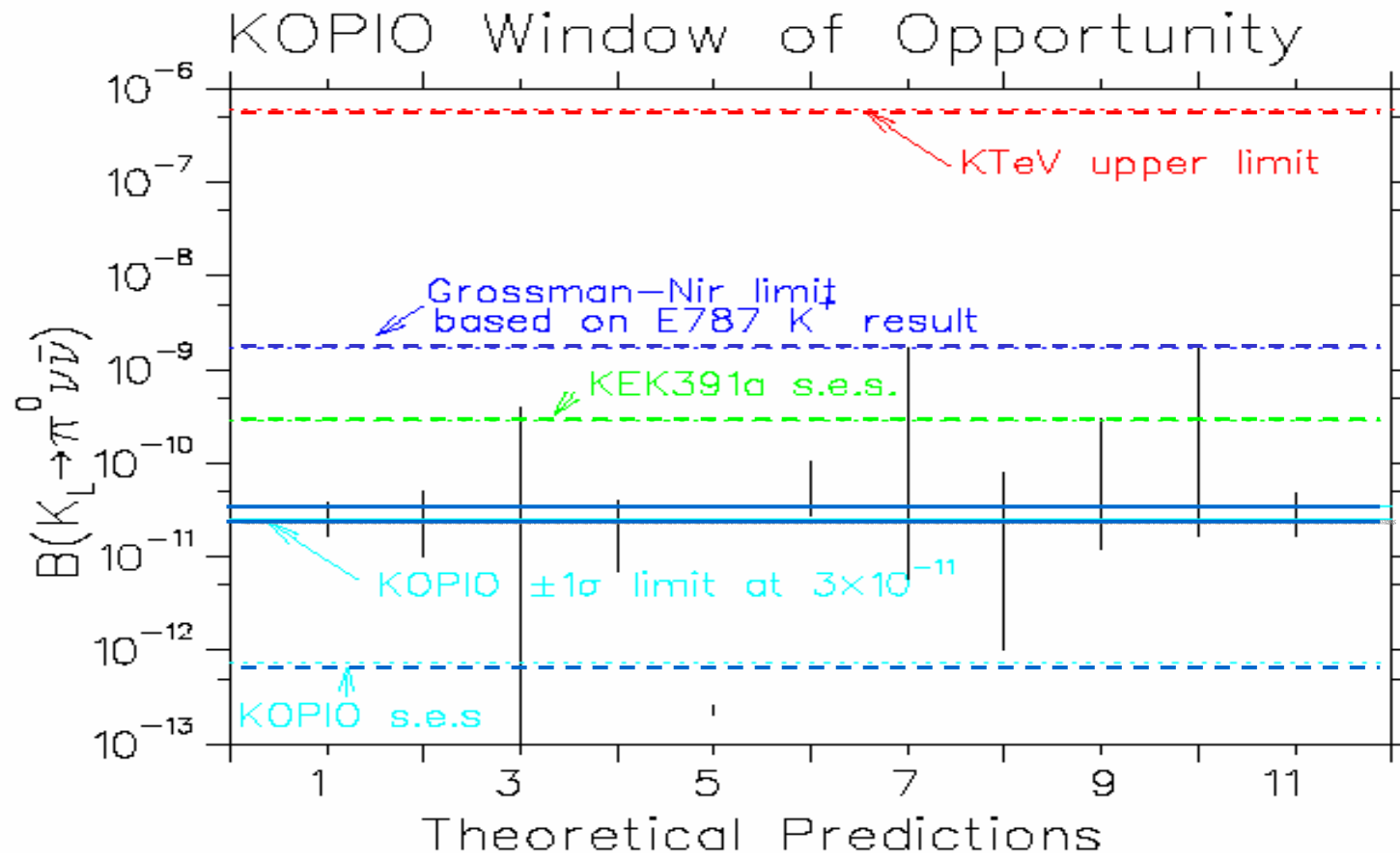
# KOPIO: existence proofs

- Many critical parameters established by test measurements
  - Beam bunching: 280 ps achieved with 33MHz, 30 kV cavity
    - final scheme with 25MHz, 150kV + additional harmonic cavity at 100MHz
  - Preradiator angle resolution 25-30 mr for 250 MeV  $\gamma\gamma$
  - Energy resolution in Shashlik 6.7% for 250MeV  $\gamma$ 
    - In combination with preradiator Monte-Carlo indicates

$$\frac{\sigma}{E} \cong \frac{2.7\%}{\sqrt{E(\text{GeV})}}$$

- Veto efficiencies from E787 measurements, only slightly upgraded for better sampling ( low E ) and larger number of radiation lengths ( high E )

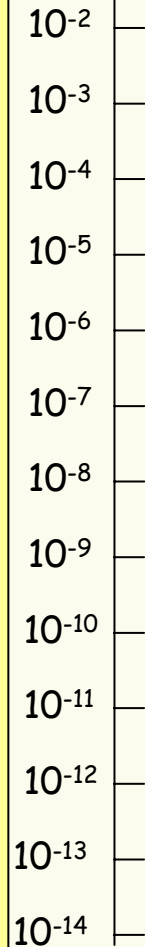
# Possible KOPIO outcomes





# Sensitivity frontier

## Branching fractions



$K_L \rightarrow \pi^+ \pi^-$  1964: discovery of CP violation


$K_L \rightarrow e^+ e^- \gamma$

1980: Dalitz Decays

$K_L \rightarrow \mu^+ \mu^- \gamma$

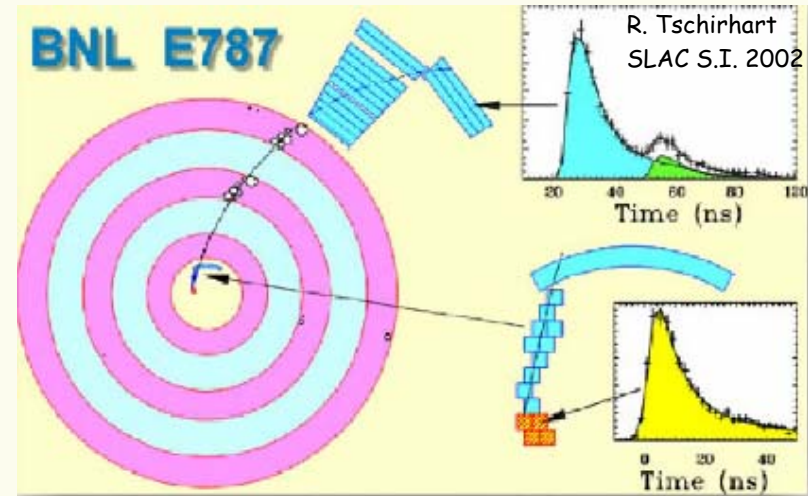
$K_L \rightarrow \mu^+ \mu^-$  1973: GIM suppression

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$  1997: birth of quantitative CKM tests 

$K_L \rightarrow e^+ e^-$  1998: rarest decay ever seen  BNL E871:  $Br = (8.7^{+5.7}_{-4.1}) \times 10^{-12}$

The frontier:  $K_L \rightarrow \mu^+ e^- < 4.7 \times 10^{-12}$  (90% CL) (2002)

 BNL E871: corresponds to LFV X-boson  $m_X > 170$  TeV

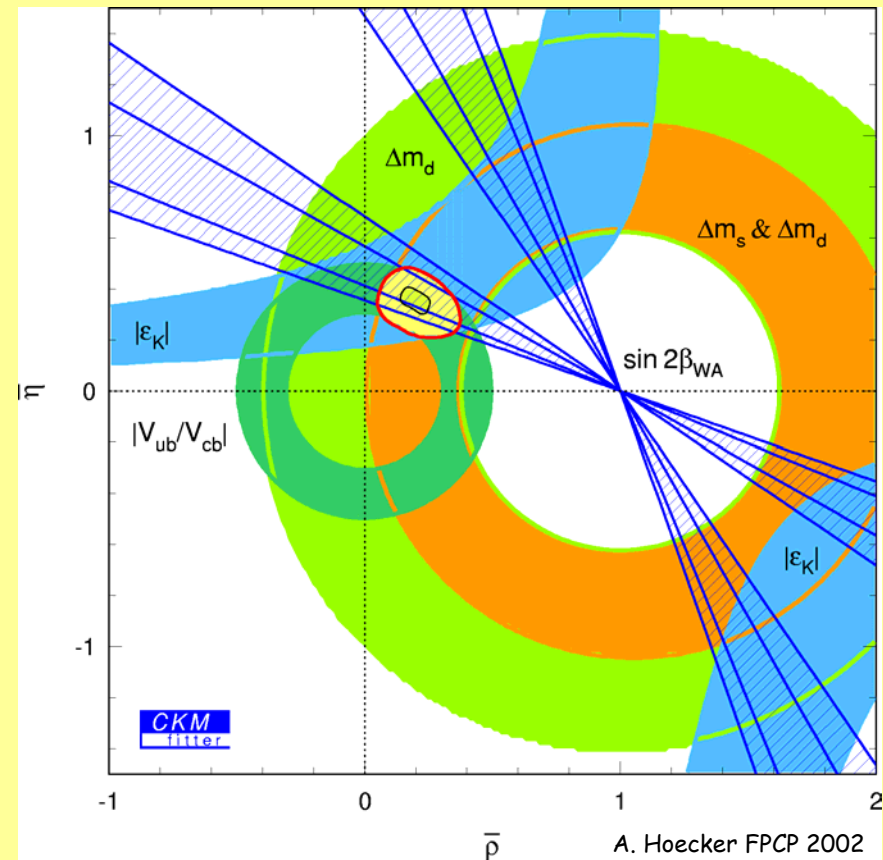


$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.57^{+1.75}_{-0.82}) \times 10^{-10}$$

# Conclusions

- K and B respect so far the CKM formalism, even if more CPV than expected is needed (baryogenesis dilemma)
- CKM mechanism is very likely the dominant source of CPV at EW scale, however:
  - consistency could be accidental  
→ needed many new CP Violation measurements and SM test by direct measurements of U.T. parameters with rare K decays, B decays and study of CPV in leptonic sector
  - still room for new physics  
→ corrections to CKM (rather than alternatives to CKM)

CKM mechanism: fit with standard constraints ( $\sin(2\beta)$  non included)



# Spare slides

# FCNC decays: $K_L \rightarrow \mu^+ \mu^-$

- $K_L \rightarrow \mu^+ \mu^-$

- ◆ Experimental effort concluded with 2.4% precision:

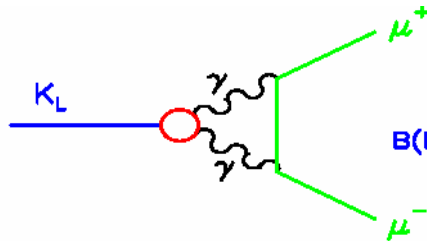
**$BR = (7.18 \pm 0.17) \times 10^{-9}$**  E871 (BNL AGS) ( $\sim 6000$  events)

- ◆ Short range contribution reliably calculated in SM but comparison with measurement limited by interpretation:

- ❖ Dominating absorptive contribution from intermediate  $\gamma\gamma$ :

**$BR_{abs} = (7.07 \pm 0.18) \times 10^{-9}$**

- ❖ Better experimental information on  $K_L \rightarrow \mu\mu\gamma, ee\gamma, eeee, ee\mu\mu$  needed to constrain long range dispersive contributions: additional effort required both experimental and theoretical



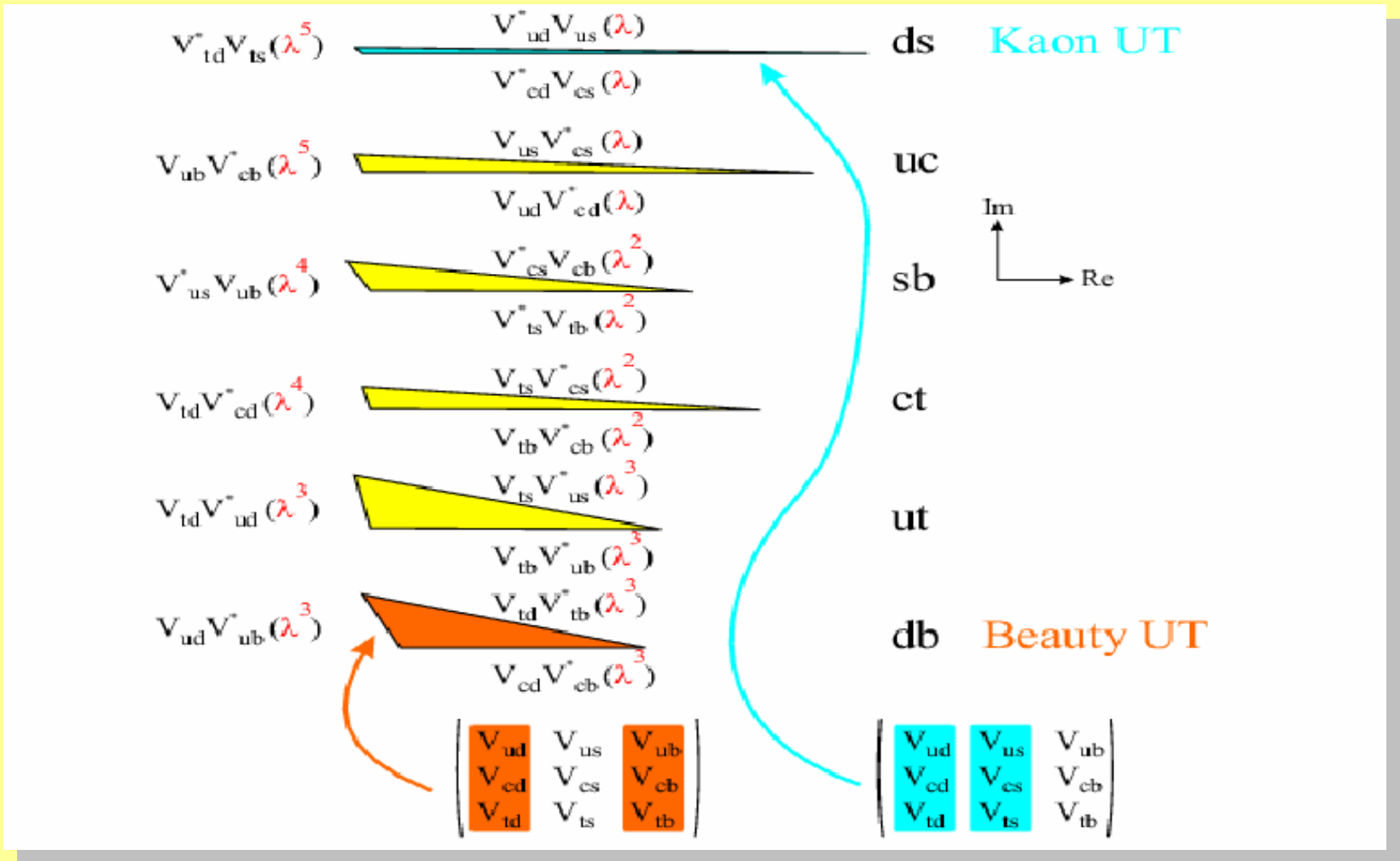
$B(K_L \rightarrow \gamma\gamma) = 5.92 \times 10^{-4}$   
gives  
 $B(K_L \rightarrow \mu\mu)_{obs} = 7.07 \times 10^{-9}$

There are recent results on:

$K_L \rightarrow ee\gamma$   
 $K_L \rightarrow \mu\mu\gamma$   
 $K_L \rightarrow eeee$   
 $K_L \rightarrow ee\mu\mu$

KTeV, NA48  
KTeV  
KTeV, NA48  
KTeV, NA48

# The Unitarity Triangles



# CKM Matrix Elements measurement

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

	Element	Value	Error	Method
1	$ V_{ud} $	$0.9735 \pm 0.0008$	0.08%	nuclear $\beta$ -decay neutron $\beta$ -decay
2	$ V_{us} $	$0.2196 \pm 0.0023$	1.1%	$K_{e3}$ decays hyperon $\beta$ -decays
3	$ V_{cb} $	$0.0402 \pm 0.0019$	4.7%	$B \rightarrow \bar{D}^* l^+ \nu_l$ inclusive $B$ decays
4	$ V_{cd} $	$0.224 \pm 0.016$	7.1%	$\nu\bar{\nu}$ charm production
5	$ V_{cs} $	$1.04 \pm 0.16$	15%	$D_{e3}$ decays charm-tagged W decays
6	$ V_{tb}^* V_{td} $	$0.0083 \pm 0.0016$	19%	$\Delta M_{B_d}$
7	$ V_{ub}/V_{cb} $	$0.090 \pm 0.025$	28%	$b \rightarrow ul^+ \nu_l$

# Comparison of K and B contributions

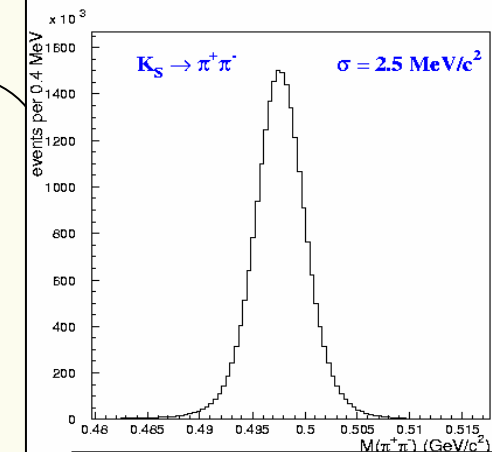
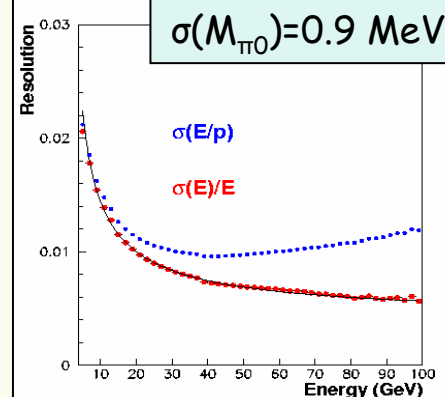
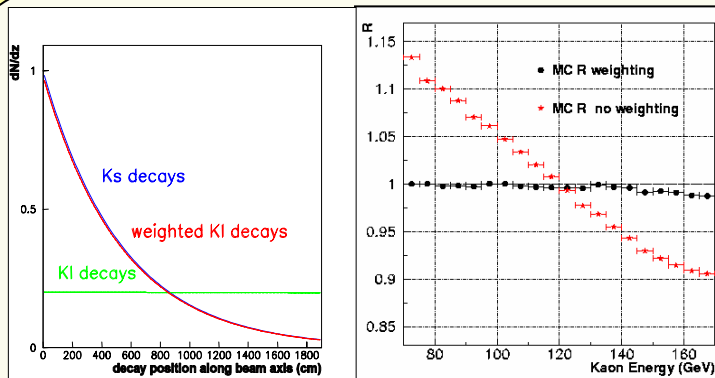
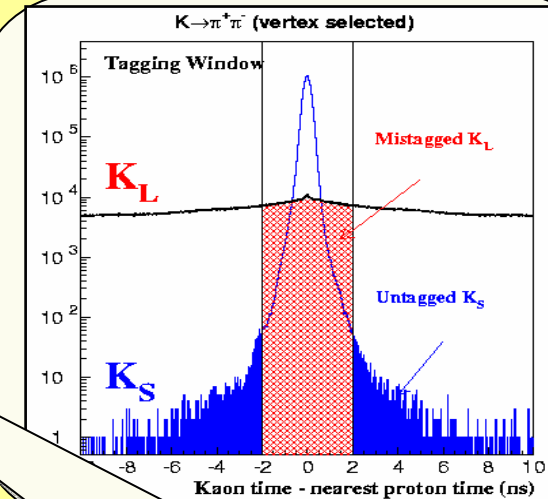
Buras 1999 comparison:

$$\sigma(|V_{cb}|) = \pm 0.002(0.001)$$

	$K \rightarrow \pi \nu \bar{\nu}$	B-Factory Era	LHCb/BTeV
$\sigma( V_{td} )$	$\pm 10\%(9\%)$	$\pm 5.5\%(3.5\%)$	$\pm 5\%(2.5\%)$
$\sigma(\bar{\rho})$	$\pm 0.16(0.12)$	$\pm 0.03$	$\pm 0.01$
$\sigma(\bar{\eta})$	$\pm 0.04(0.03)$	$\pm 0.04$	$\pm 0.01$
$\sigma(\sin 2\beta)$	$\pm 0.05$	$\pm 0.06$	$\pm 0.02$
$\sigma(\text{Im } \lambda_t)$	$\pm 5\%$	$\pm 14\%(11\%)$	$\pm 10\%(6\%)$

# NA48 experimental technique

- $K_S$ - $K_L$  identification: proton tagger to identify  $K_S$  (T.O.F. technique)
- $\pi^+\pi^-$  identification: magnetic spectrometer  $\sigma(p)/p = 0.5\% \oplus 0.009 p[\text{GeV}/c]\%$
- $\pi^0\pi^0$  identification: LKr calorimeter  $\sigma(E)/E \cong 3.2\%/\sqrt{E \oplus 90\text{MeV}/E \oplus 0.42\%}$  (E in GeV) (< 1% for 25 GeV photons)
- Lifetime weighting to equalize acceptance

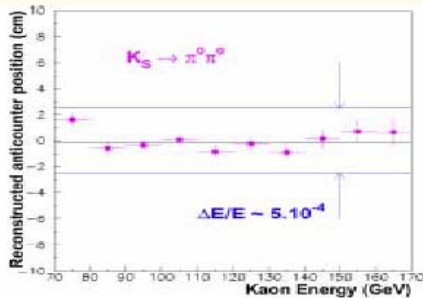
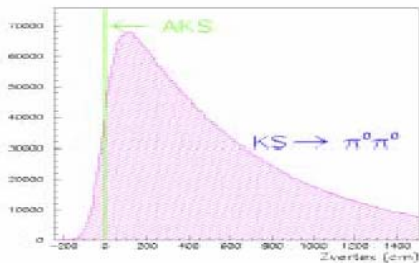


$$\sigma(M_{\pi^+\pi^-}) = 2.5 \text{ MeV}/c^2$$

in Kaon Physics/88

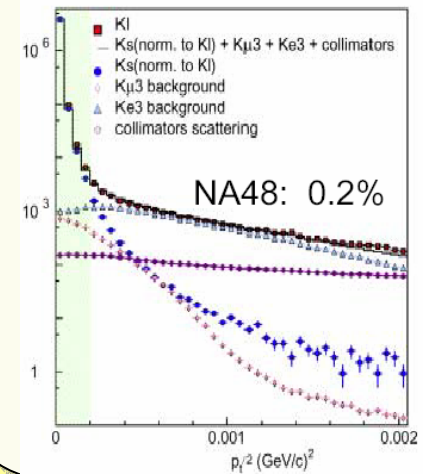
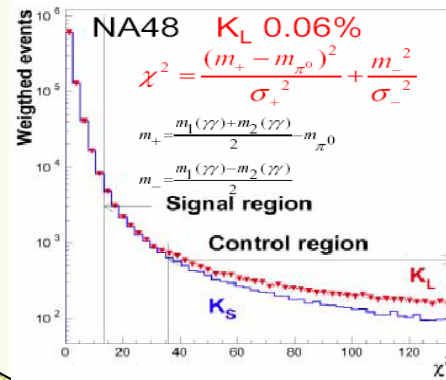
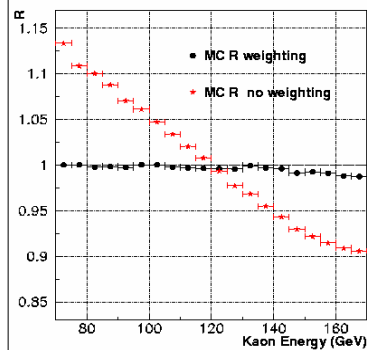
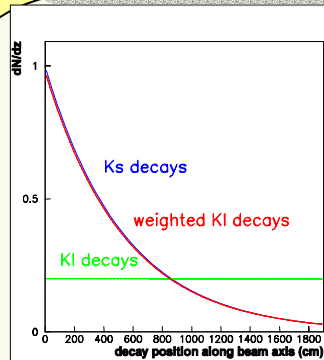
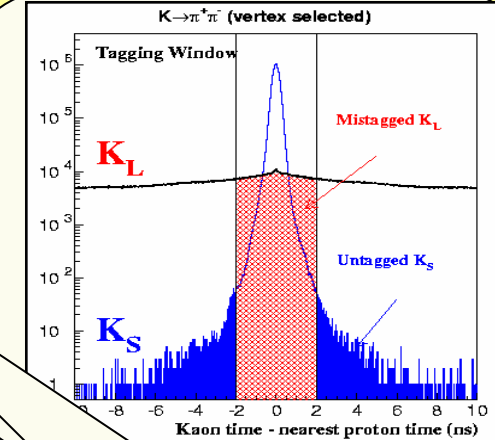


# NA48 experimental technique

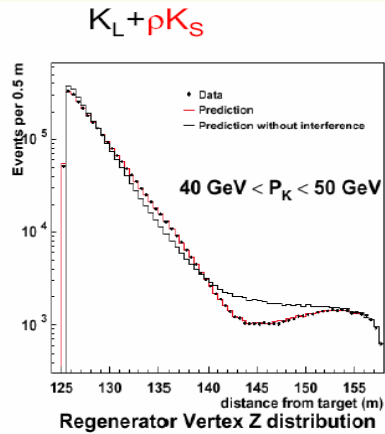


- $K_S$ - $K_L$  identification: proton tagger to identify  $K_S$
- Background to  $\pi^+\pi^-$  ( $K_{e3}$   $K_{\mu 3}$ ):
- Background to  $\pi^0\pi^0$  ( $K_{3\pi 0}$ ):
- Energy Scale: and calibration ( $\pi^0\pi^0$ )

Lifetime weighting to equalize  
acceptances



# KTeV experimental technique



➤  $K_S$ - $K_L$  identification:

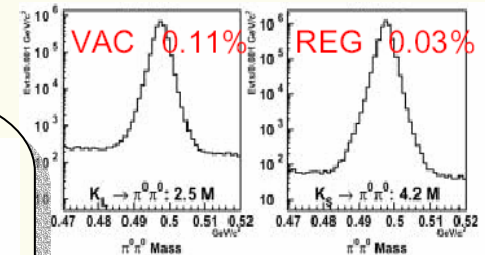
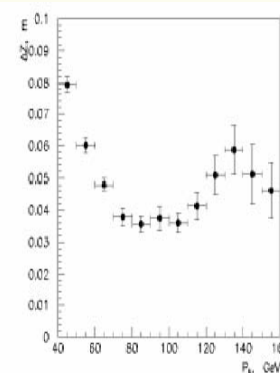
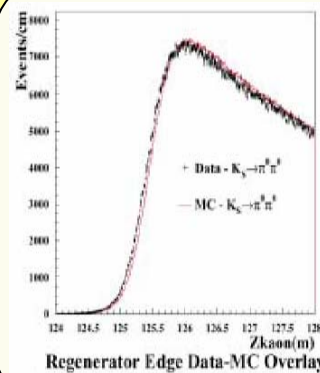
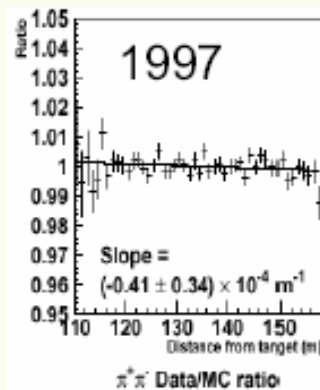
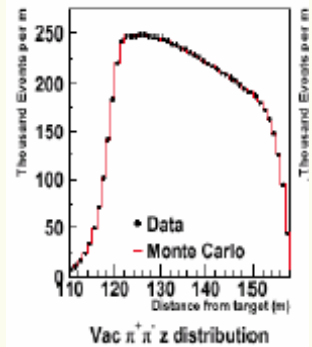
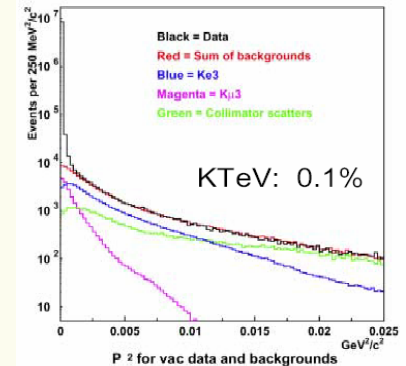
$K_S$  from  $K_L$  on regenerator

➤ Background to  $\pi^+\pi^-$  ( $K_{e3}$   $K_{\mu 3}$ )

➤ Background to  $\pi^0\pi^0$  ( $K_{3\pi^0}$ )

➤ Energy scale and calibration ( $\pi^+\pi^-$ )

➤ MC correction to equalize acceptances



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Experimental Progress  
in Kaon Physics/90

# KTeV and NA48: the Detectors

## LKr Calorimeter:

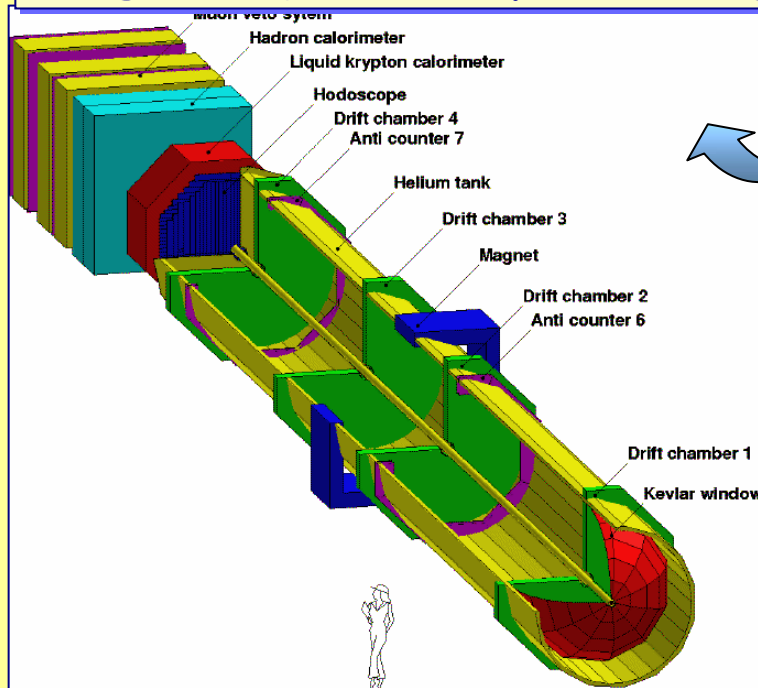
$$\sigma(E)/E \cong 3.2\%/ \sqrt{E} \oplus 100 \text{ MeV}/E \oplus 0.42\%$$

## Spectrometer ( $p_T$ kick $\sim 250 \text{ MeV}/c$ ):

$$\sigma(P)/P \cong 0.48\% \oplus 0.009 P[\text{GeV}/c]\%$$

$$\sigma_M(\pi^0\pi^0) \sim \sigma_M(\pi^+\pi^-) \sim 2.5 \text{ MeV}$$

Convergent Beams:  $K_S$  from protons on target,  $K_S$  ID via proton tagging



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NA48

KTeV

## CsI Calorimeter:

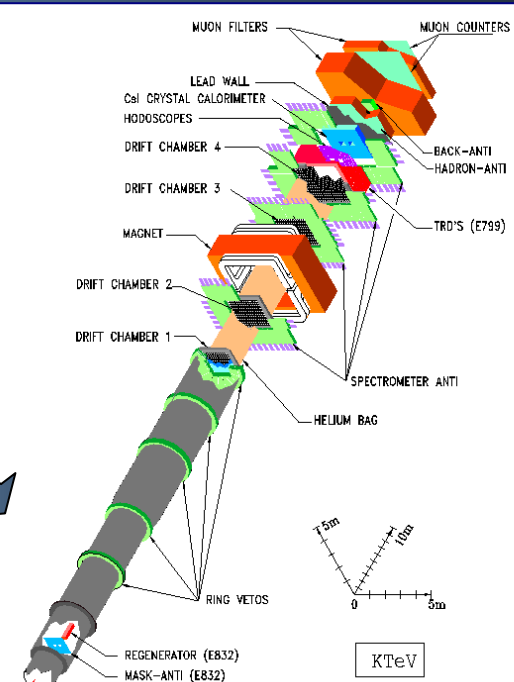
$$\sigma(E)/E \cong 2.0\%/ \sqrt{E} \oplus 0.45\%$$

## Spectrometer ( $p_T$ kick $\sim 400 \text{ MeV}/c$ ):

$$\sigma(P)/P \cong 0.17\% \oplus 0.007 P[\text{GeV}/c]\%$$

$$\sigma_M(\pi^0\pi^0) \sim \sigma_M(\pi^+\pi^-) \sim 1.5 \text{ MeV}$$

Parallel Beams:  $K_S$  from  $K_L$  on regenerator,  $K_S$  ID via x-y position

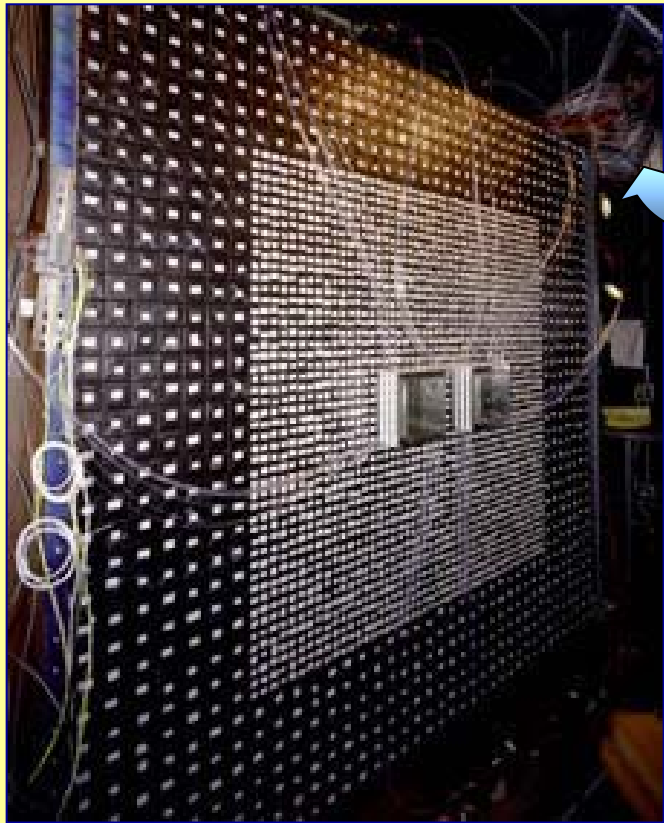


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Experimental Progress  
in Kaon Physics/91

# KTeV & NA48: the Art of Calorimetry

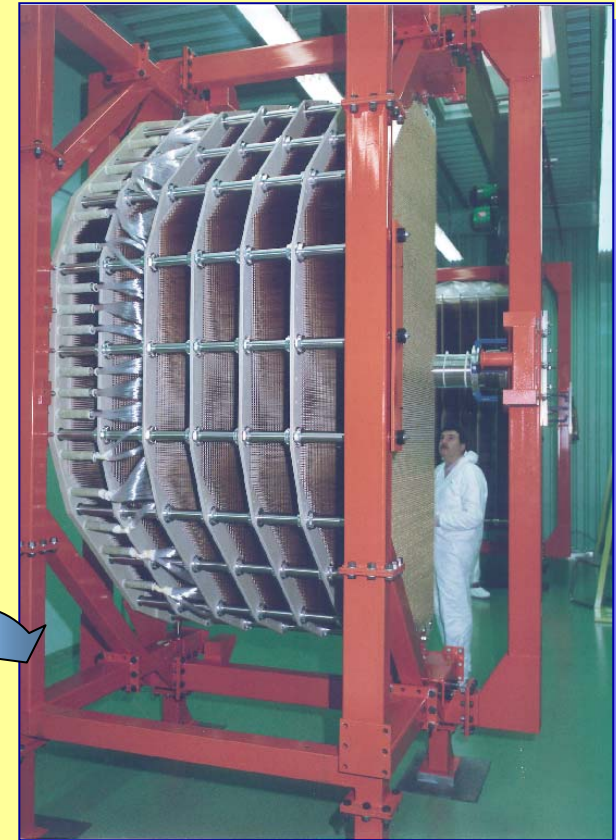
- ☰ 3100 CsI crystals
- ☰ 0,5 m depth ( $\sim 27 X_0$ )
- ☰ 0.7% resolution for 19 GeV  $\gamma$



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KTeV

NA48

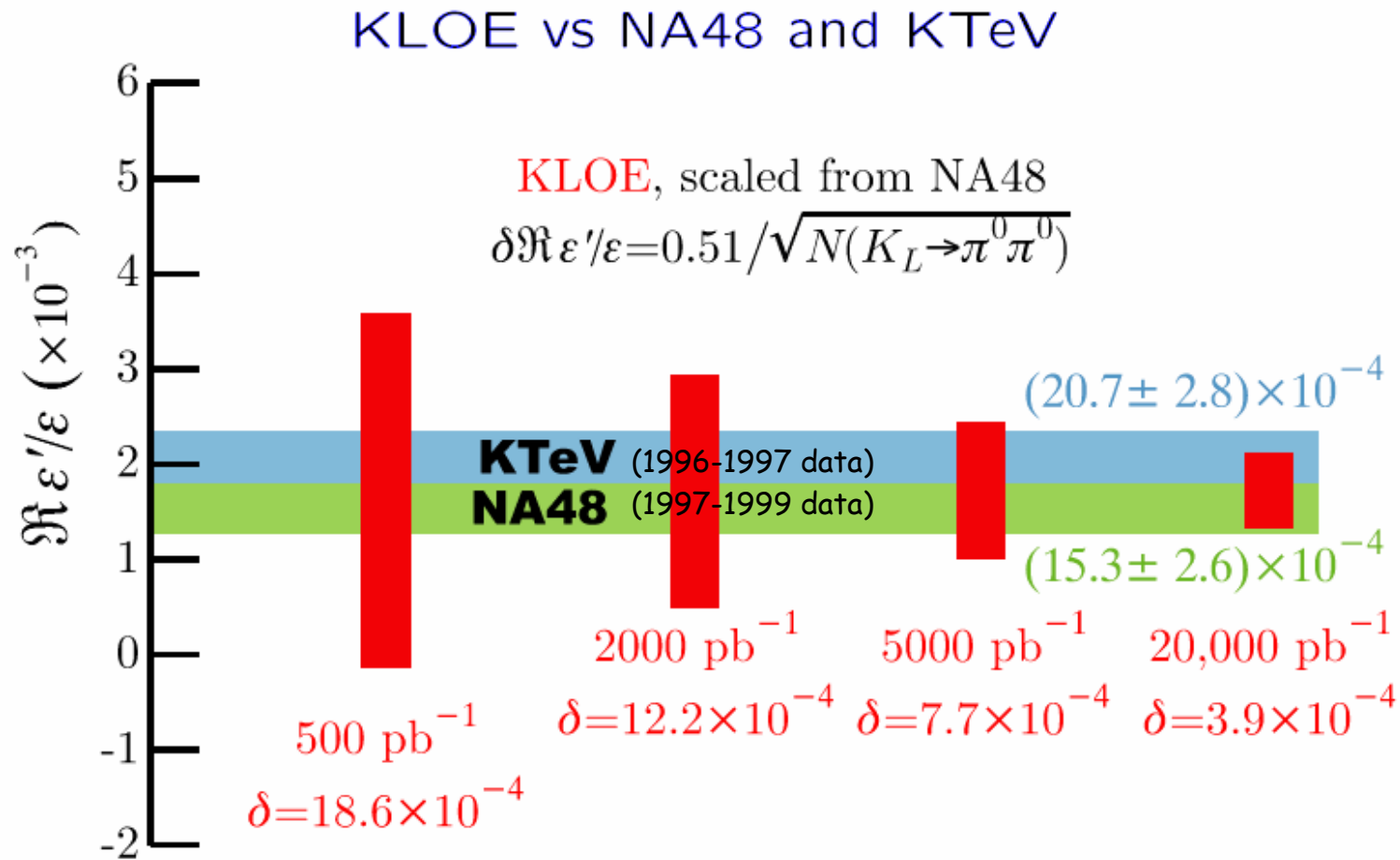


- ☰ 10 m<sup>3</sup> of LKr (13212 cells)
- ☰ 1.25 m depth ( $\sim 27 X_0$ )
- ☰ 1% resolution for 25 GeV  $\gamma$

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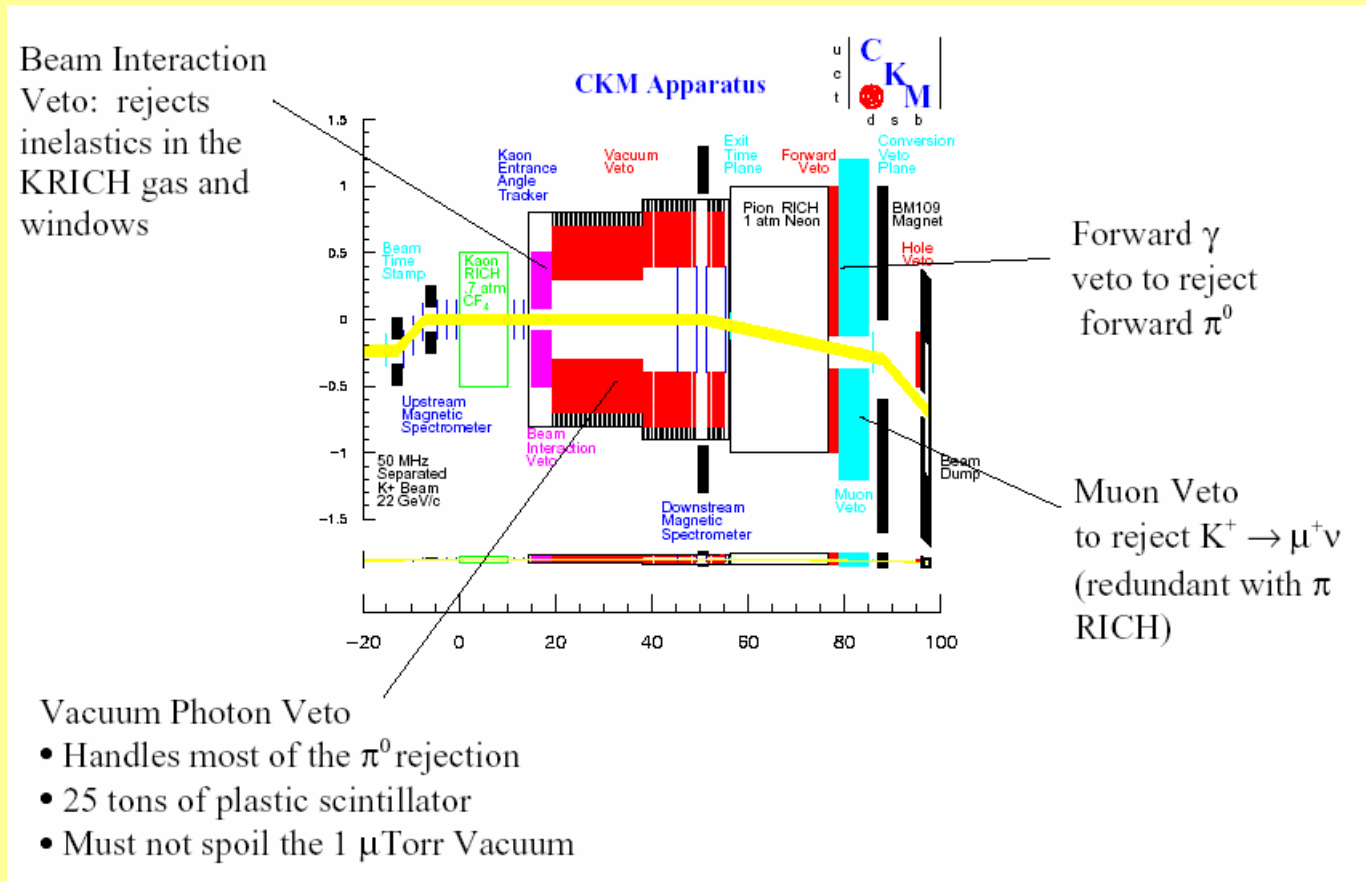
Experimental Progress  
in Kaon Physics/92

# KLOE vs NA48 and KTeV



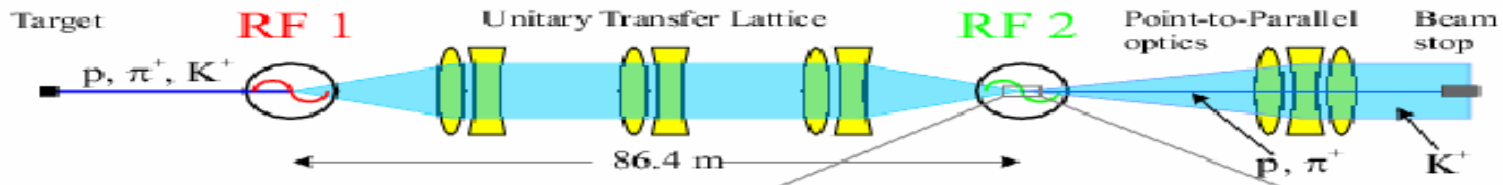
F.Bossi CSN1 5-2002

# CKM Experiment Veto System



# The CMK Beam

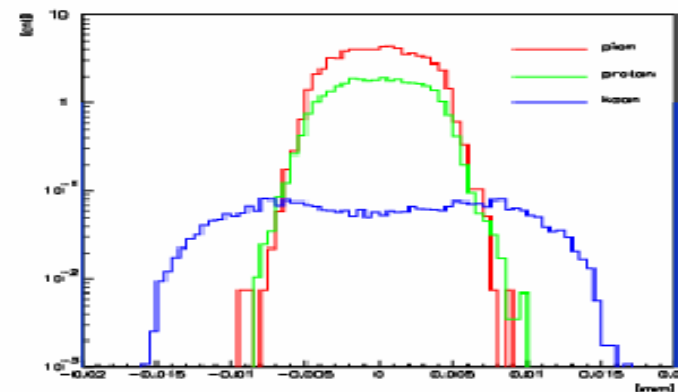
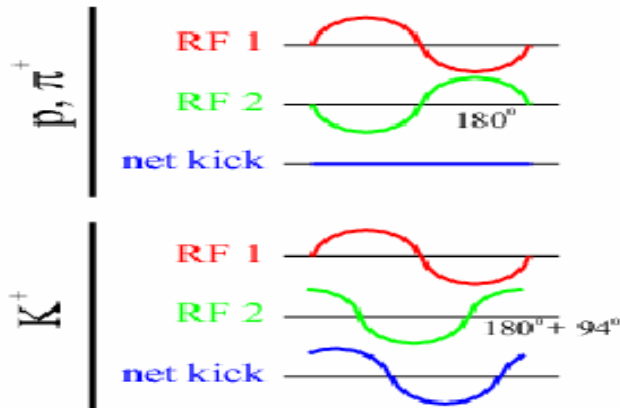
## Enriching the Kaon Content of the Beam



	$v/c$
$\pi^+$	0.99998
$K^+$	0.99975
$p$	0.99909

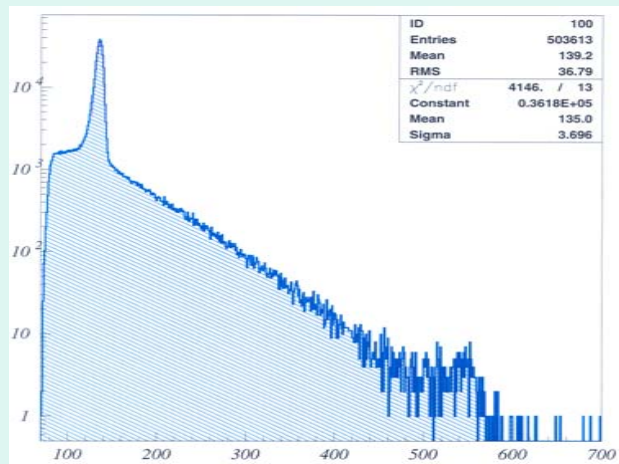
$p$	$K^+$	$\pi^+$
7.7 cm	2.01 cm	0 cm
256 ps	67 ps	0 ps
$360^\circ$	$94.1^\circ$	$0^\circ$

$$1/256 \text{ ps} = 3.91 \text{ GHz}$$



# E391a status

- Under completion: data taking in 2004
- engineering run falling 2002 with CsI detector



Two  $\gamma$  invariant mass  
( $\text{MeV}/c^2$ )

- ◆ DAQ using self-trigger of CsI
  - Same scheme of real run
  - Tuning / feed-back
- ◆ CsI calibration
  - Cosmic test in vacuum chamber
  - Cosmic test during stacking
  - Punch-through muon
  - Two gammas from single  $\pi^0$
  - Multi-gamma decay (Kpi2, Kpi3)
- ◆ KL decay data – same format of real run
  - Develop on-line/off-line software



# New sources of CPV

ICHCBH 2002 H.Nguyen

## New Sources of CP Violation

CKM CP Violation is too small to explain baryon asymmetry in the universe. Lots of possible new sources:

- Multi-Higgs-doublet and supersymmetry models
- Spontaneous CP violation
- Left-right symmetric models
- Strong CP violation
- Leptonic sector

"We are willing to stake our reputation on the prediction that dedicated and comprehensive studies of CP violation will reveal the presence of New Physics"

– Bigi and Sanda, *CP Violation*