

# Factorization and Transverse Momentum in SIDIS at JLab

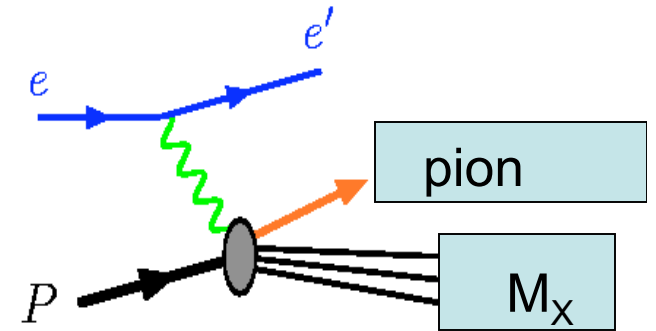
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Fifth International Conference on Perspectives in Hadronic Physics  
*Trieste, Italy, May 2006*

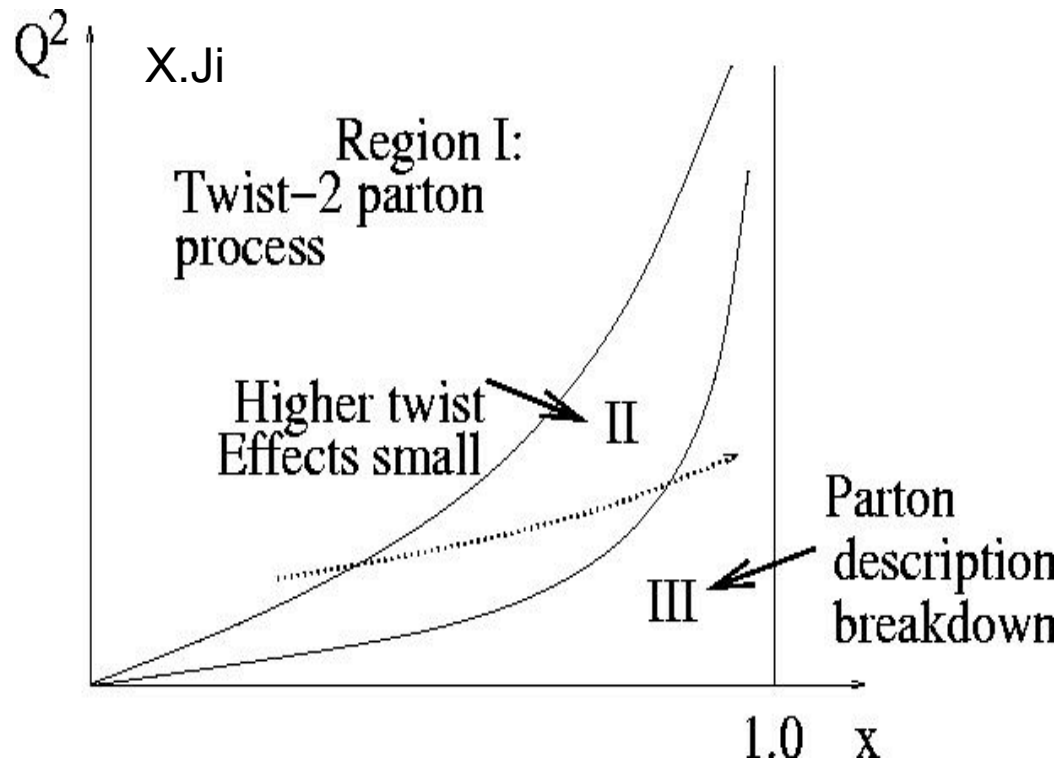
- **Semi-inclusive processes**
- **Factorization as a test of quark-hadron duality**
- **Factorization tests in unpolarized SIDIS**
- **Factorization tests in polarized SIDIS**
- **Transverse momentum in fragmentation**

# Semi-inclusive DIS



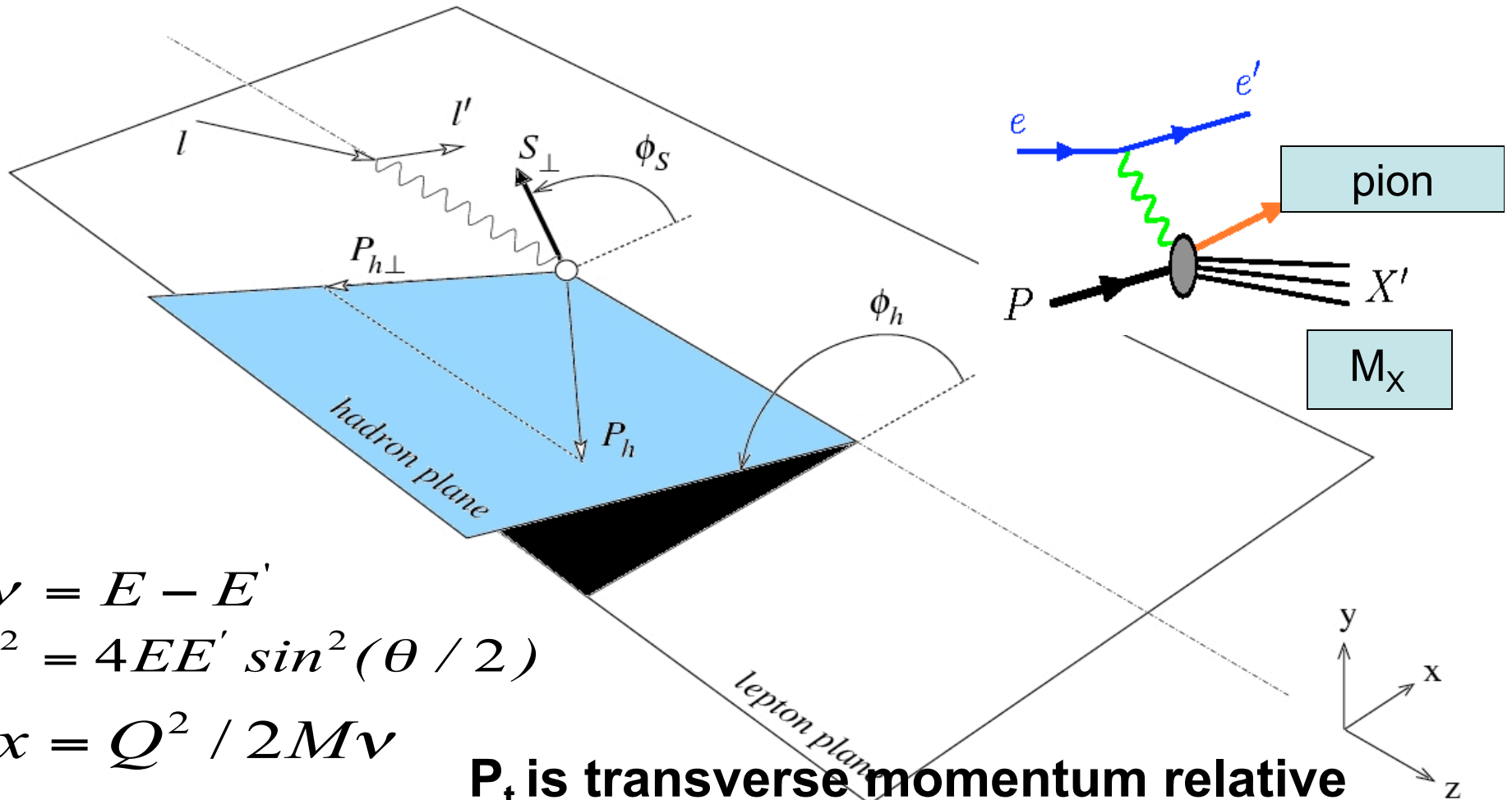
Main focus of SIDIS studies:

- orbital motion of quarks ( $p_t$ ,  $\phi$  dependence)
- parton distributions (separate valence, sea)



- Where region I/II boundary?
- Can useful information come from Region II?

# SIDIS kinematic plane and relevant variables



$$\nu = E - E'$$

$$Q^2 = 4EE' \sin^2(\theta / 2)$$

$$x = Q^2 / 2M\nu$$

$$y = \nu / E$$

$$z = E_h / \nu$$

$P_t$  is transverse momentum relative to virtual photon

$W^2 = M^2 + Q^2(1/x - 1)$  is invariant mass of total hadronic final state

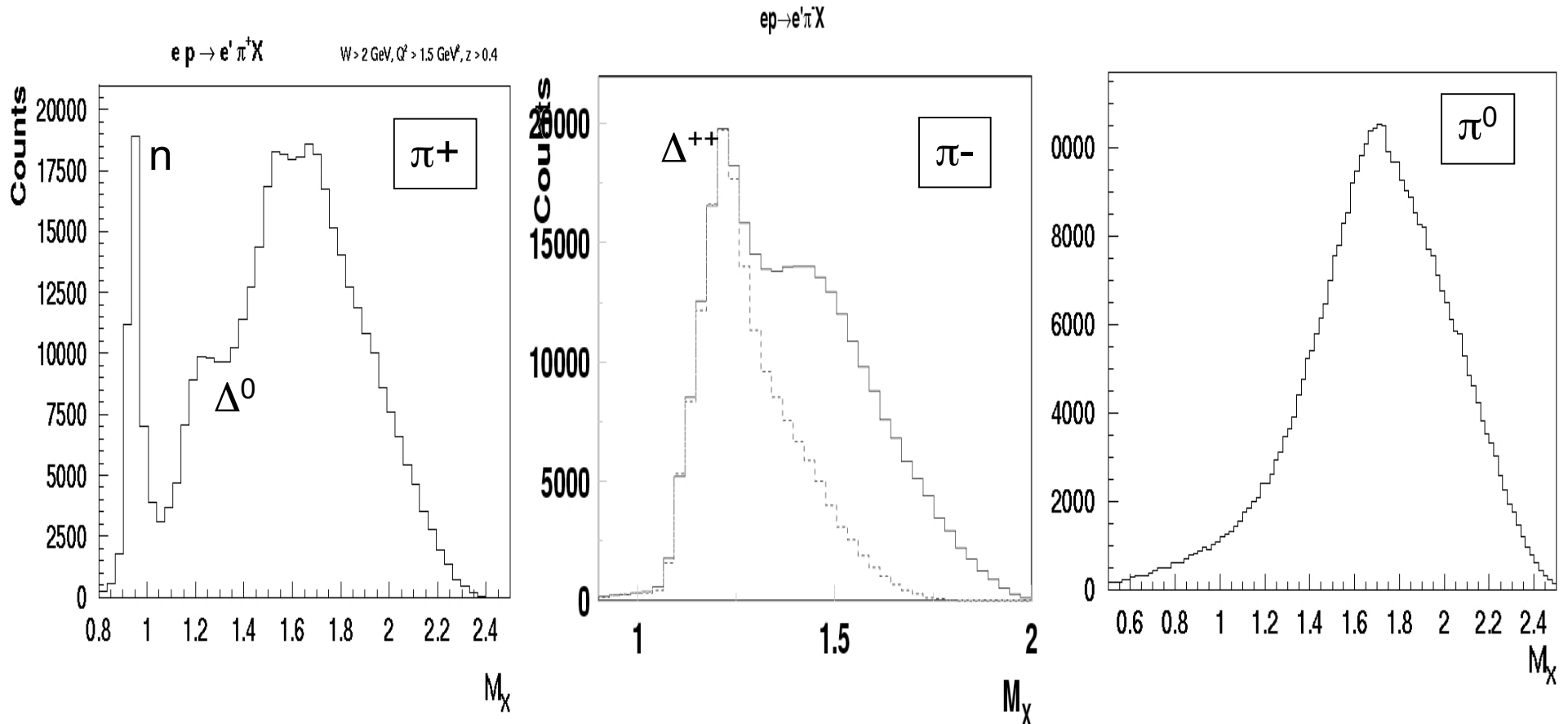
# Factorization

**Basic idea: hit a single quark in nucleon which then hadronizes into a jet with negligible interaction with remenant quarks.**

# Factorization

**Cross sections factorize into product of parton distribution functions (depend on  $x$  and  $p_t$ ) (i.e.  $u(x,p_t)$ ,  $d(x,p_t)$ ) and current fragmentation functions (depend on  $z$  and  $p_t$ ) (i.e.  $D^+(z,p_t)$ ,  $D^-(z,p_t)$ ). High  $z$  used to distinguish current fragmentation from target fragmentation.**

# Missing mass of pions in $ep \rightarrow e' \pi X$



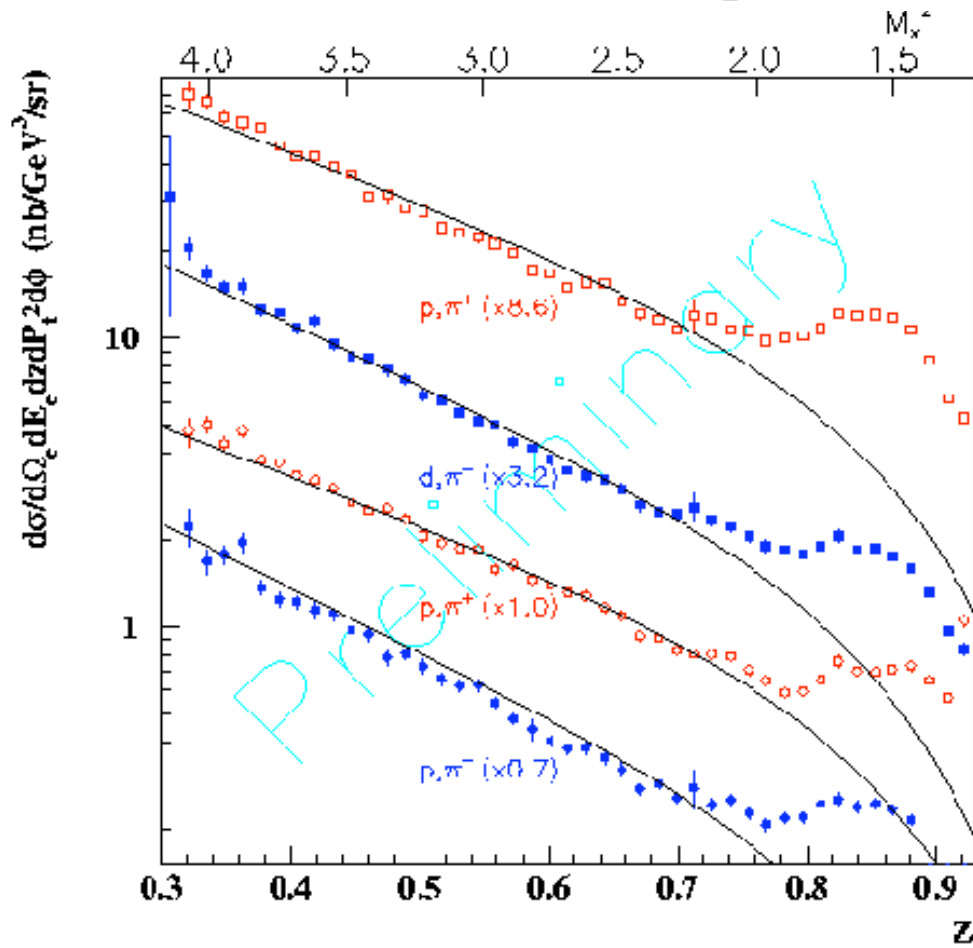
**Duality question: will factorization work if  $M_X < 2 \text{ GeV}$ , even though Delta(1232) resonance Visible? For  $\pi^-$ , guess need  $M_X > 1.4 \text{ GeV}$ .**

# EXPERIMENT E00-108 at JLAB

- **Unpolarized electrons  $E=6$  GeV**
- **Scattered electrons  $E'=1.6$  GeV, 25 to 40 deg. Average  $Q^2$  2.5 GeV<sup>2</sup>.**
- **$W$  about 2.5 GeV, but  $M_x < 2$  GeV.**
- **Detected positive and negative pions near 12 degrees**
- **Proton and deuteron targets**
- **Made scans in  $x, z$ .  $p_t < 0.2$  GeV**

# Z-Dependence of cross sections

$$\sigma^{eH \rightarrow ehX} = \sum_q f^{H \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$



$X=0.3, Q^2=2.5 \text{ GeV}^2, W=2.5 \text{ GeV}$

**Good agreement with prediction using CTEQ5M PDFs and Binnewies fragmentation functions, except for  $z > 0.7$ , or  $M_x > 1.4 \text{ GeV}$ .**

# In more detail, form super-ratios

$$\sigma^{eH \rightarrow ehX} = \sum_q f^{H \rightarrow q} \otimes \sigma^{eq \rightarrow eq} \otimes D^{q \rightarrow h}$$

Simple LO picture in valence region:

$$\sigma_p(\pi^+) = 4u(x, p_t)D^+(z, p_t) + d(x, p_t)D^-(z, p_t)$$

$$\sigma_p(\pi^-) = 4u(x, p_t)D^-(z, p_t) + d(x, p_t)D^+(z, p_t)$$

$$\sigma_d(\pi^+) = [u(x, p_t) + d(x, p_t)] [4D^+(z, p_t) + D^-(z, p_t)]$$

$$\sigma_d(\pi^-) = [u(x, p_t) + d(x, p_t)] [4D^-(z, p_t) + D^+(z, p_t)]$$

$$R_{pd+} = [\sigma_p(\pi^+) + \sigma_p(\pi^-)] / [\sigma_d(\pi^+) + \sigma_d(\pi^-)]$$

$$= [4u(x, p_t) + d(x, p_t)] / 5[u(x, p_t) + d(x, p_t)]$$

$$= \sigma_p(x) / \sigma_d(x) \quad \text{for any } z, x, p_t \text{ (if } d$$

**and u have same  $p_t$  dependence)!**

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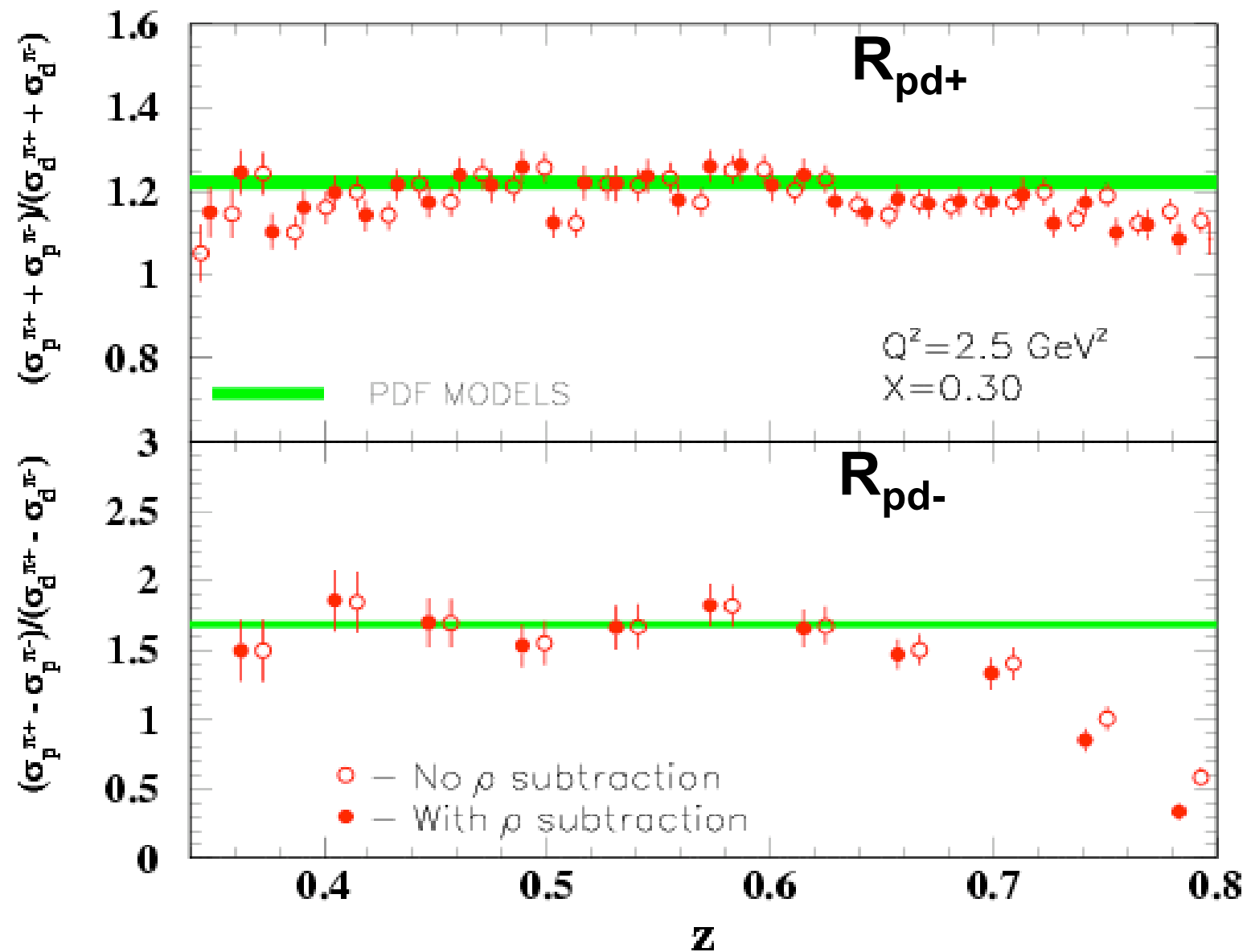
$$\sigma_d(\pi^+) = [u(x, p_t) + d(x, p_t)] [4D^+(z, p_t) + D^-(z, p_t)]$$

$$\sigma_d(\pi^-) = [u(x, p_t) + d(x, p_t)] [4D^-(z, p_t) + D^+(z, p_t)]$$

$$R_{pd} = [\sigma_p(\pi^+) - \sigma_p(\pi^-)] / [\sigma_d(\pi^+) - \sigma_d(\pi^-)]$$

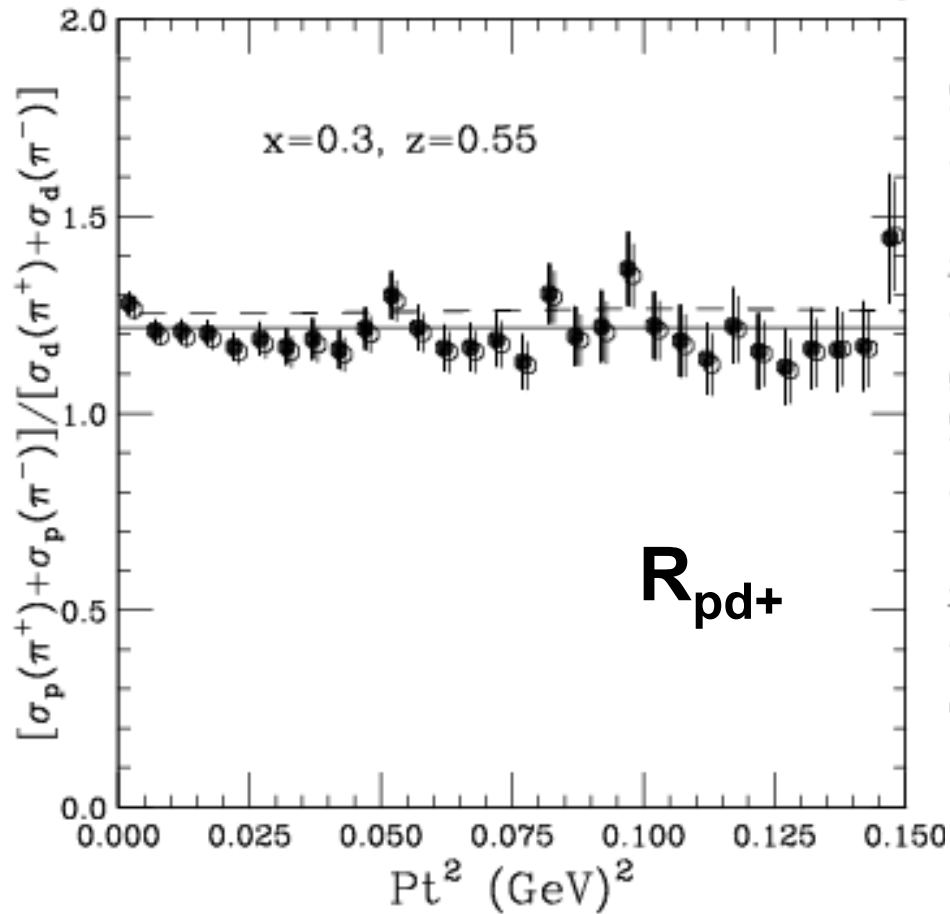
$$= [4u_v(x, p_t) - d_v(x, p_t)] / 3[u_v(x, p_t) + d_v(x, p_t)]$$

**for any z, x!**

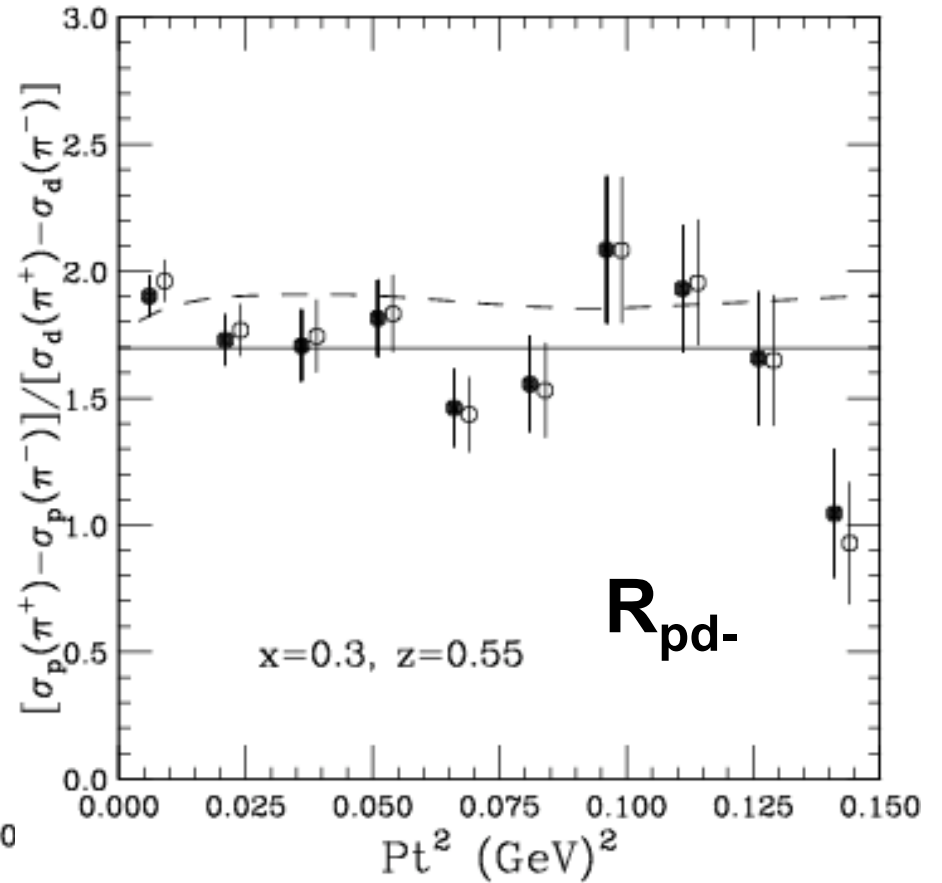


**Both ratios agree PDF models for  $z < 0.7$  ( $N_x > 1.4 \text{ GeV}$ )**

JLab E00-108 Hall C Preliminary

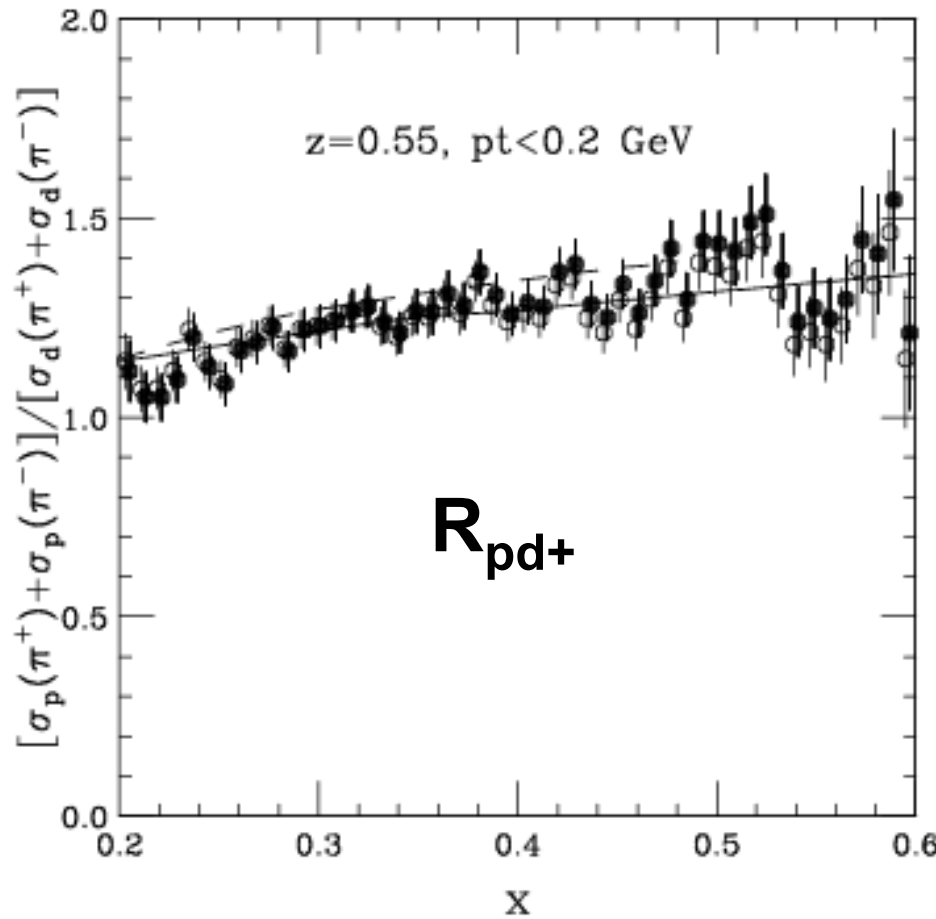


JLab E00-108 Hall C Preliminary

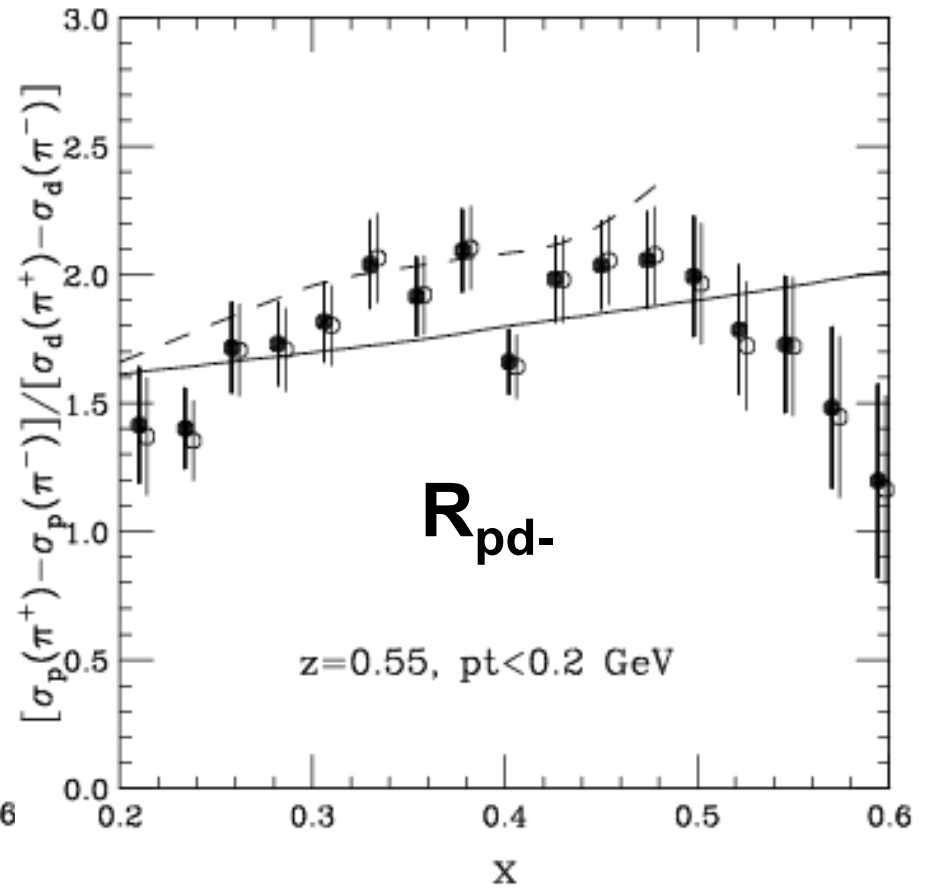


**Both ratios independent of  $p_t$  (to 0.3 GeV) for  $z=0.55$**

JLab E00-108 Hall C Preliminary

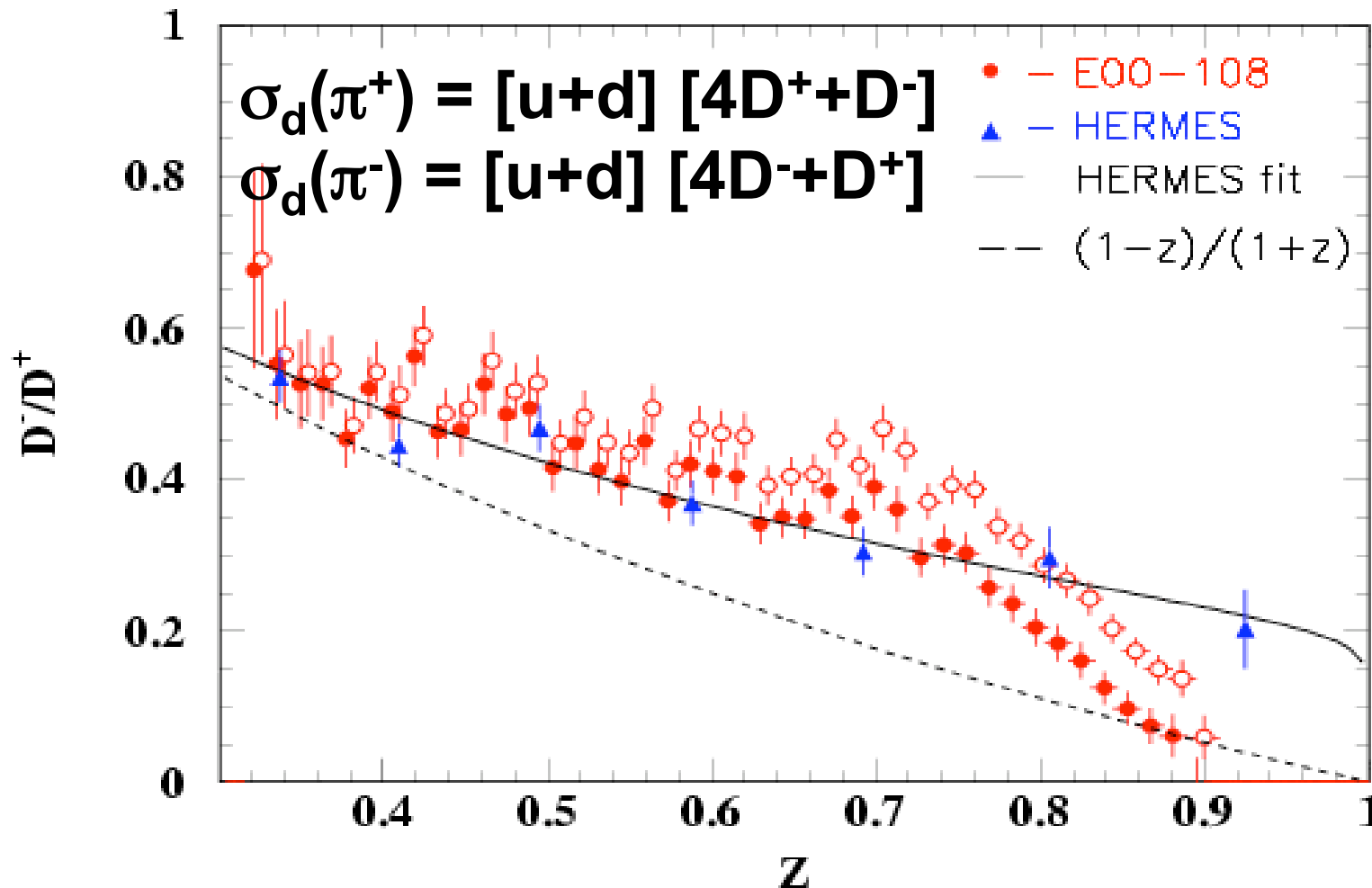


JLab E00-108 Hall C Preliminary



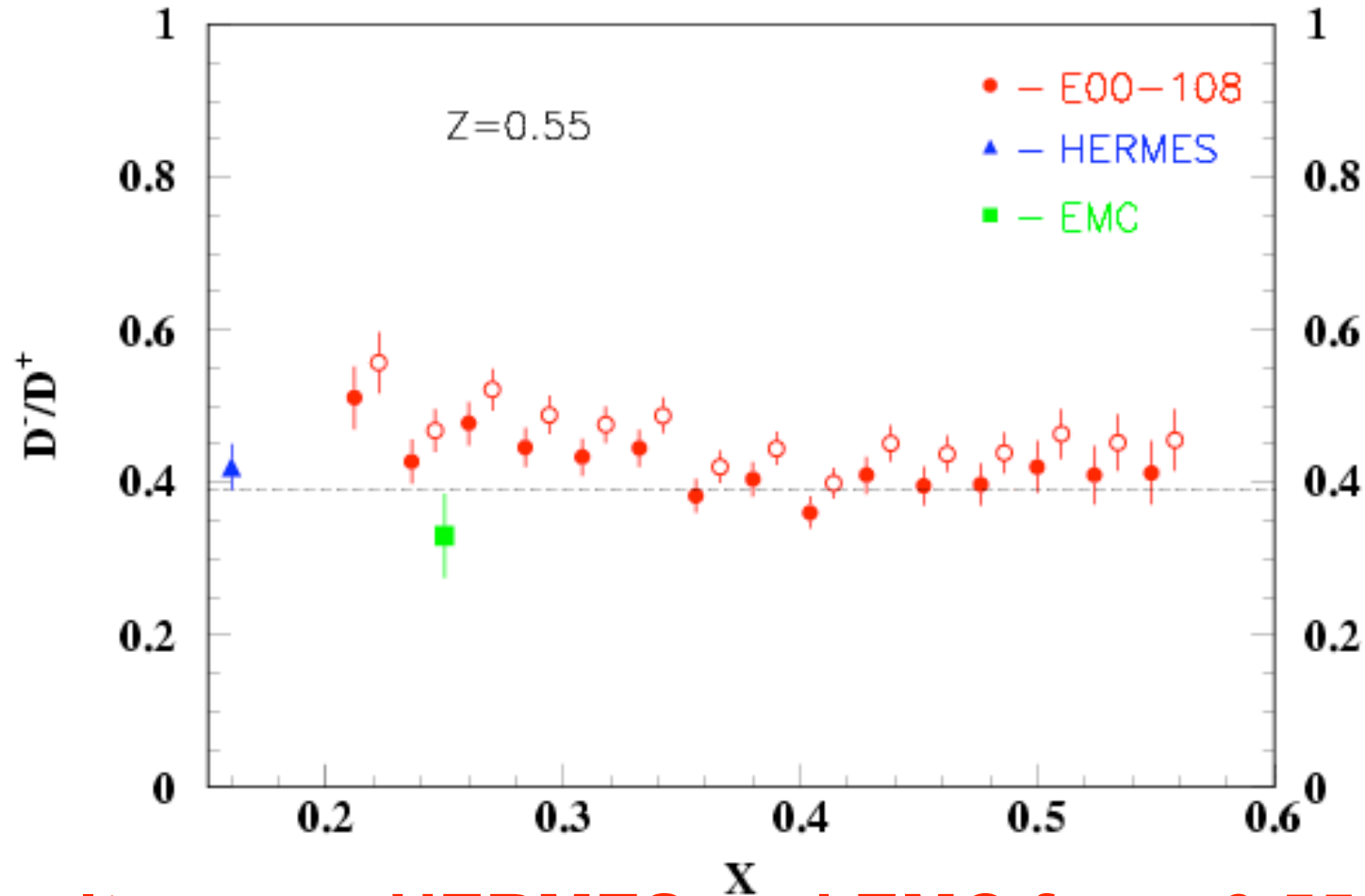
**Both ratios agree PDF models  $0.2 < x < 0.5$  for  $z=0.55$**

## Another test: $D^-/D^+$ from Deuteron $\pi^+$ to $\pi^-$ ratio



Results agree HERMES (higher  $W$ ,  $M_x > 2$  GeV) up to  $z=0.7$  ( $M_x > 1.4$  at  $pt=0$ ), after rho correction

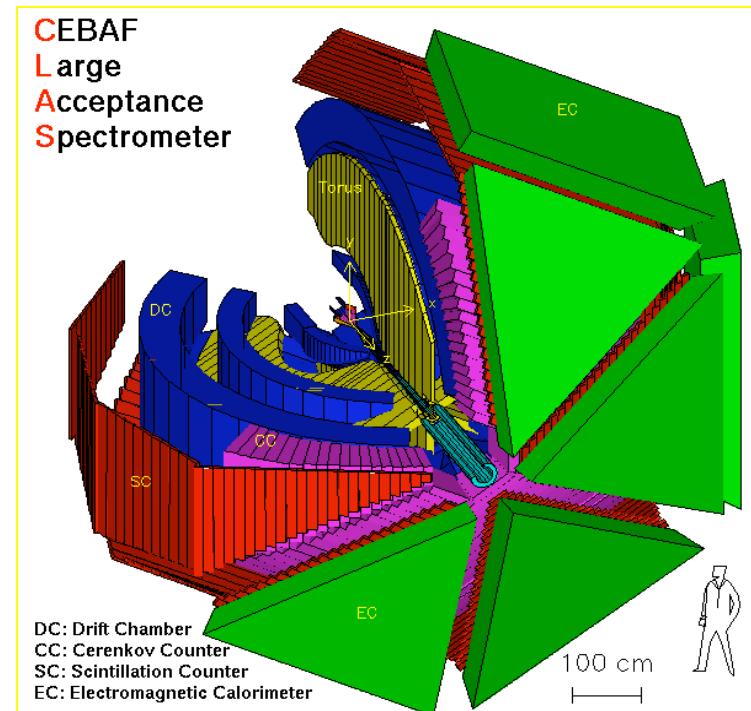
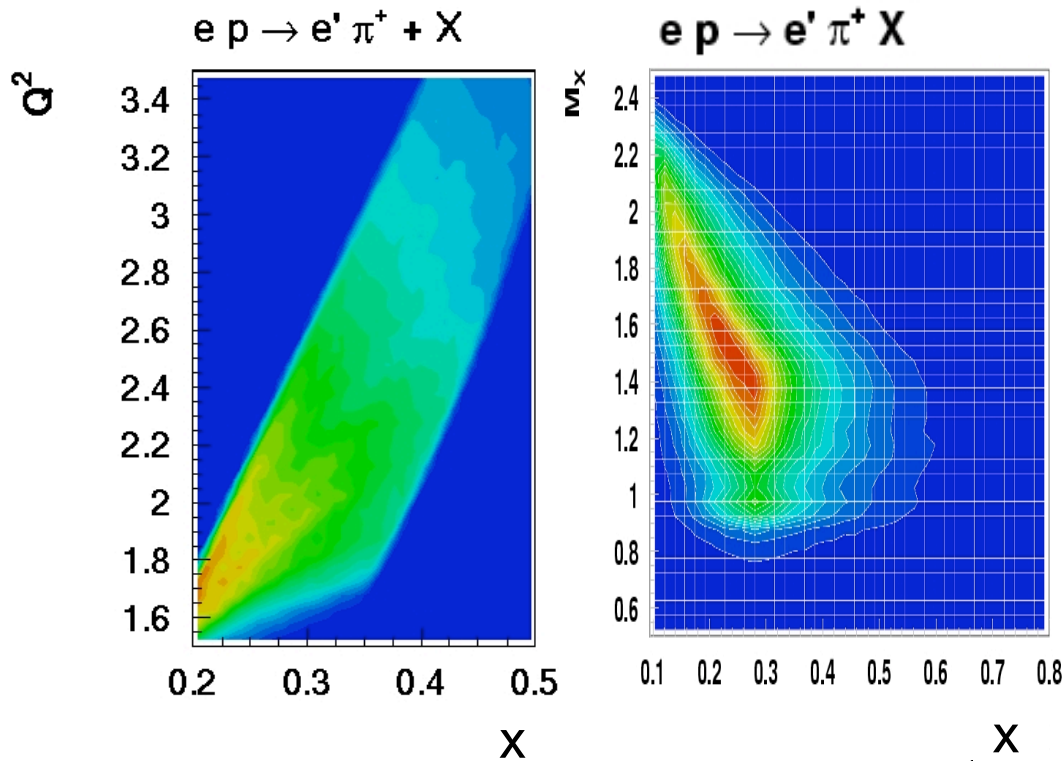
## Another test: $D^-/D^+$ from Deuteron $\pi^+$ to $\pi^-$ ratio



Results agree HERMES and EMC for  $z=0.55$  and  $x>0.35$ . Maybe some  $x$  dependence  $x<0.35$  (sea quark correction?)

# Now to polarized SIDIS at JLAB using CLAS

Scattering of 5.7 GeV polarized electrons off polarized  $\text{NH}_3$ ,  $\text{ND}_3$

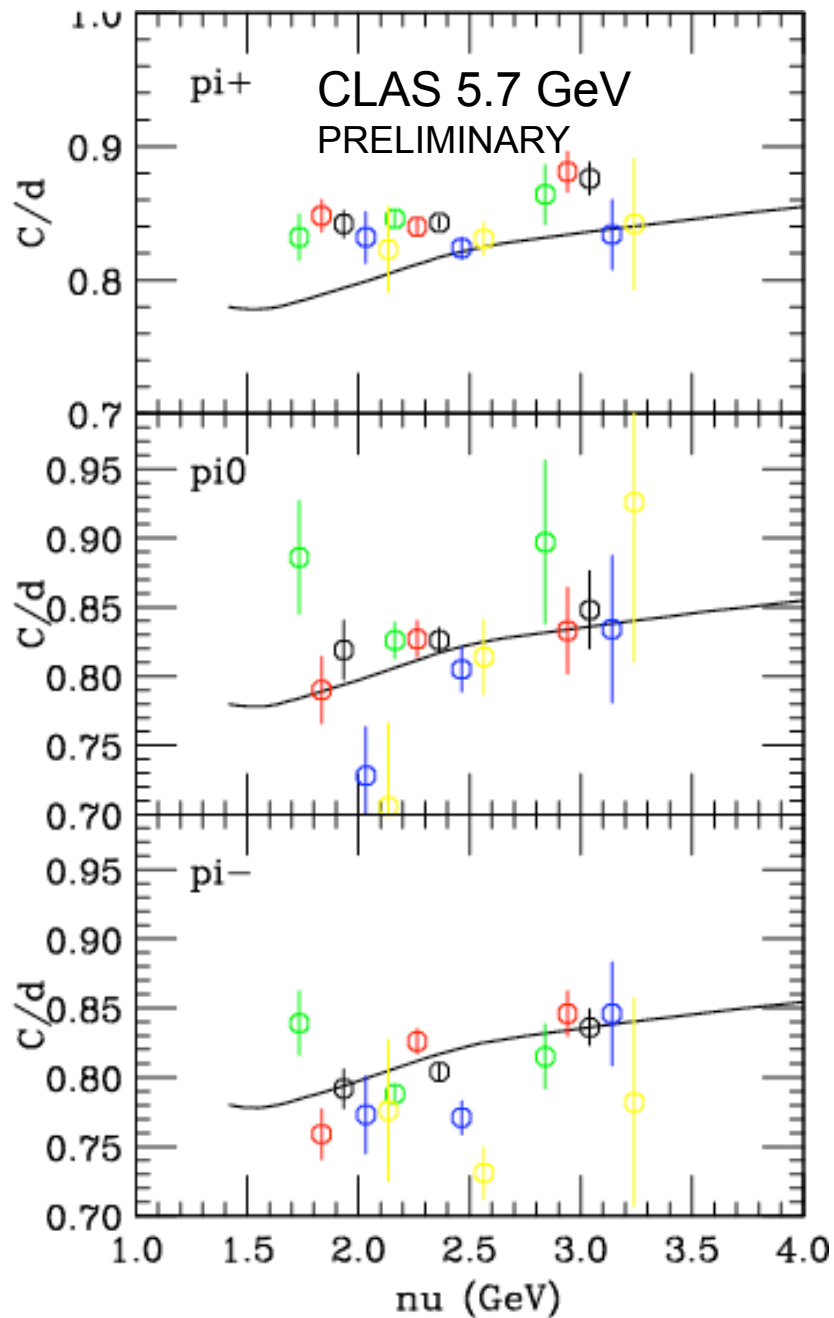


➤ ~8M  $\pi^+$  in SIDIS kinematics

## Determination of $g_1/F_1$ (approximately $A_1$ )

$$A_1^p \approx \frac{1}{P_B P_T f D_{LL}(y)} \frac{N^{+-} - N^{++}}{N^{+-} + N^{++}}$$

- Target polarization about 0.7 (0.3) for  $\text{NH}_3$  ( $\text{ND}_3$ )
- Longitudinal beam polarization  $P_B$  about 0.7
- Dilution factor  $f$  varies from 0.1 to 0.3: used Lund model for n/p ratio (agrees with Hall C data) and preliminary Hall B data for A-dependence
- Depolarization factor  $D_{LL}(y)$  evaluated assuming  $R = \sigma_L / \sigma_T$  same as for inclusive.
- Assumed  $A_{\text{perp}} = 0$  (not measured)
- “ $\pi^+$ ” and “ $\pi^-$ ” include some  $K^+$ ,  $K^-$  for  $P > 1.5$  GeV
- $\pi^0$  events cleanly identified with two photons

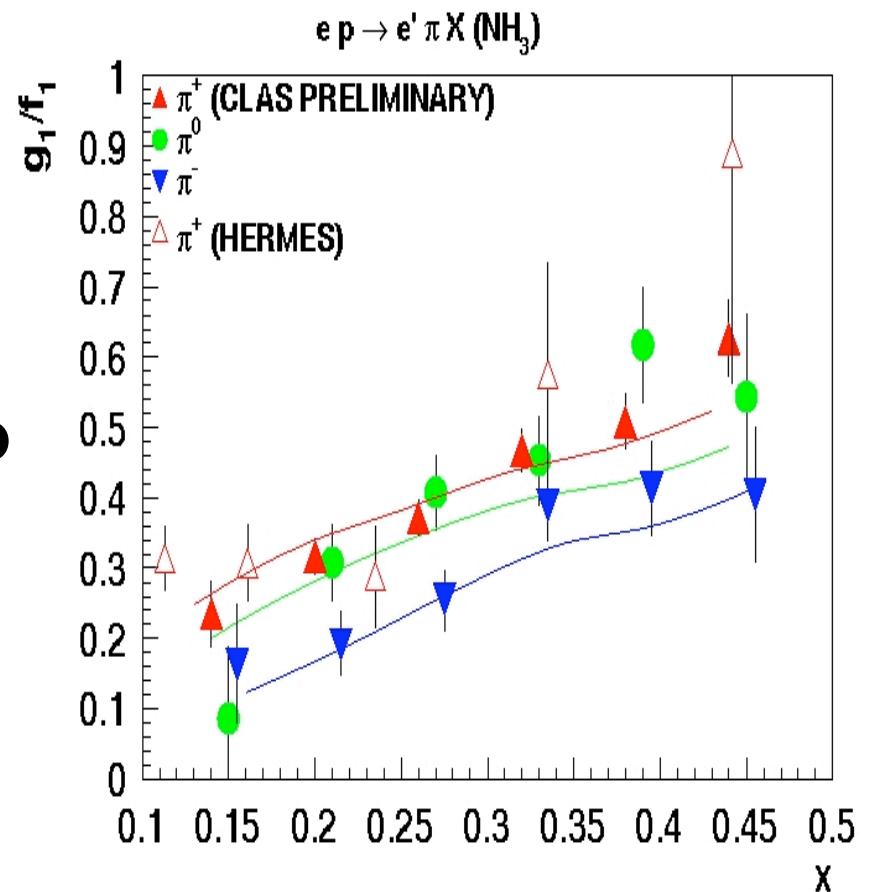


**To obtain fraction of events from polarized protons (deuterons) in NH<sub>3</sub> (ND<sub>3</sub>), used recent data for deuteron to carbon ratio in SIDIS from E02-104 (Will Brooks). Few representative points shown. MUCH MORE DATA coming soon over wide kinematic range. Studies quark propagation in cold<sub>18</sub> QCD matter.**

# x-dependence of SIDIS proton $g_1/F_1$

- Good agreement with HERMES  $\pi^+$  data at higher  $W$ .
- x-dependence follows PEPSI (Lund) Monte Carlo using GRSV polarized PDFs (LO)
- Magnitude also in good agreement with simulation

$W > 2 \text{ GeV}, Q^2 > 1.1 \text{ GeV}^2, 0.4 < z < 0.7$



# Polarized SIDIS: factorization tests

Simple picture in valence region:

$$\sigma_p(\pi^+) = 4u(x)D^+(z) + d(x)D^-(z)$$

$$\sigma_p(\pi^-) = 4u(x)D^-(z) + d(x)D^+(z)$$

$$\delta\sigma_p(\pi^+) = 4\delta u(x)D^+(z) + \delta d(x)D^-(z)$$

$$\delta\sigma_p(\pi^-) = 4\delta u(x)D^-(z) + \delta d(x)D^+(z)$$

$$(g_1/F_1)_{+-} = [\delta\sigma_p(\pi^+) + \delta\sigma_p(\pi^-)] / [\sigma_p(\pi^+) + \sigma_p(\pi^-)]$$

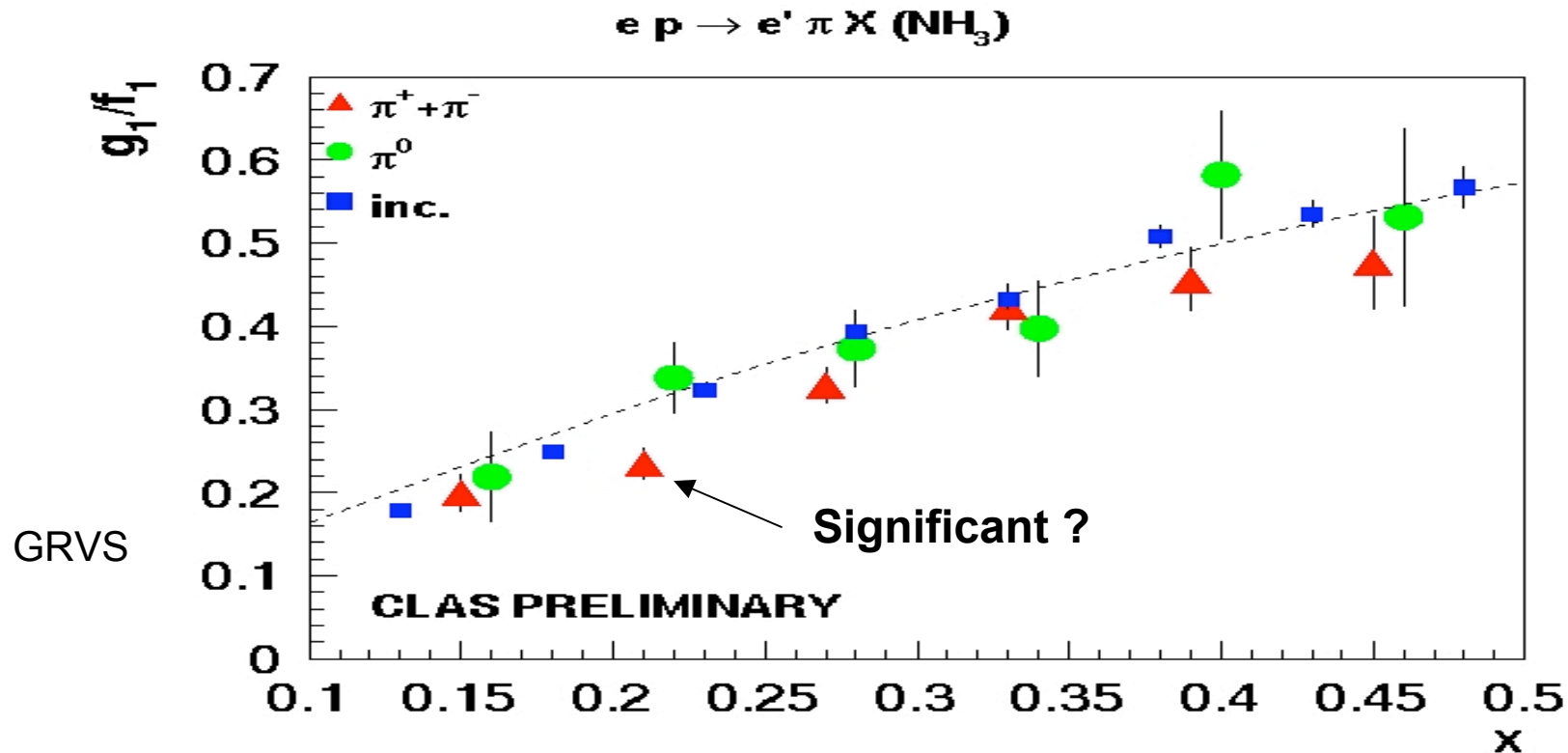
$$= [4\delta u(x) + \delta d(x)] / [4u(x) + d(x)]$$

$$= (g_1/F_1)_{\text{inclusive}}$$

Similarly for  $\pi^0$   $(g_1/F_1)_0 = (g_1/F_1)_{\text{inclusive}}$

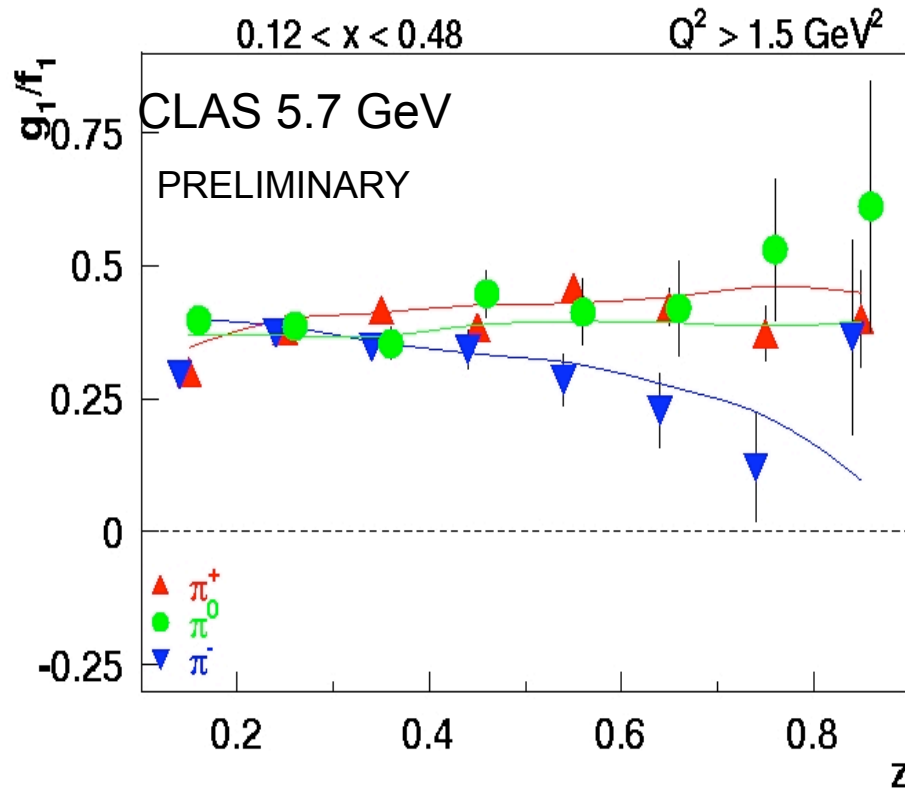
**$(g_1/F_1)_{+-}$  and  $(g_1/F_1)_0$  should be independent of  $z$  and  $p_t$ , and equal to inclusive  $(g_1/F_1)$**

# Polarized SIDIS factorization test



$g_1/F_1$  for inclusive, for the sum of  $\pi^+ \pi^-$ , and for  $\pi^0$  are fairly consistent with each other in the range  $0.4 < z < 0.7$ , as expected in LO if factorization works. Cuts used:  $M_X > 1.4 \text{ GeV}$ ,  $Q^2 > 1.1 \text{ GeV}^2$ ,  $W > 2 \text{ GeV}$

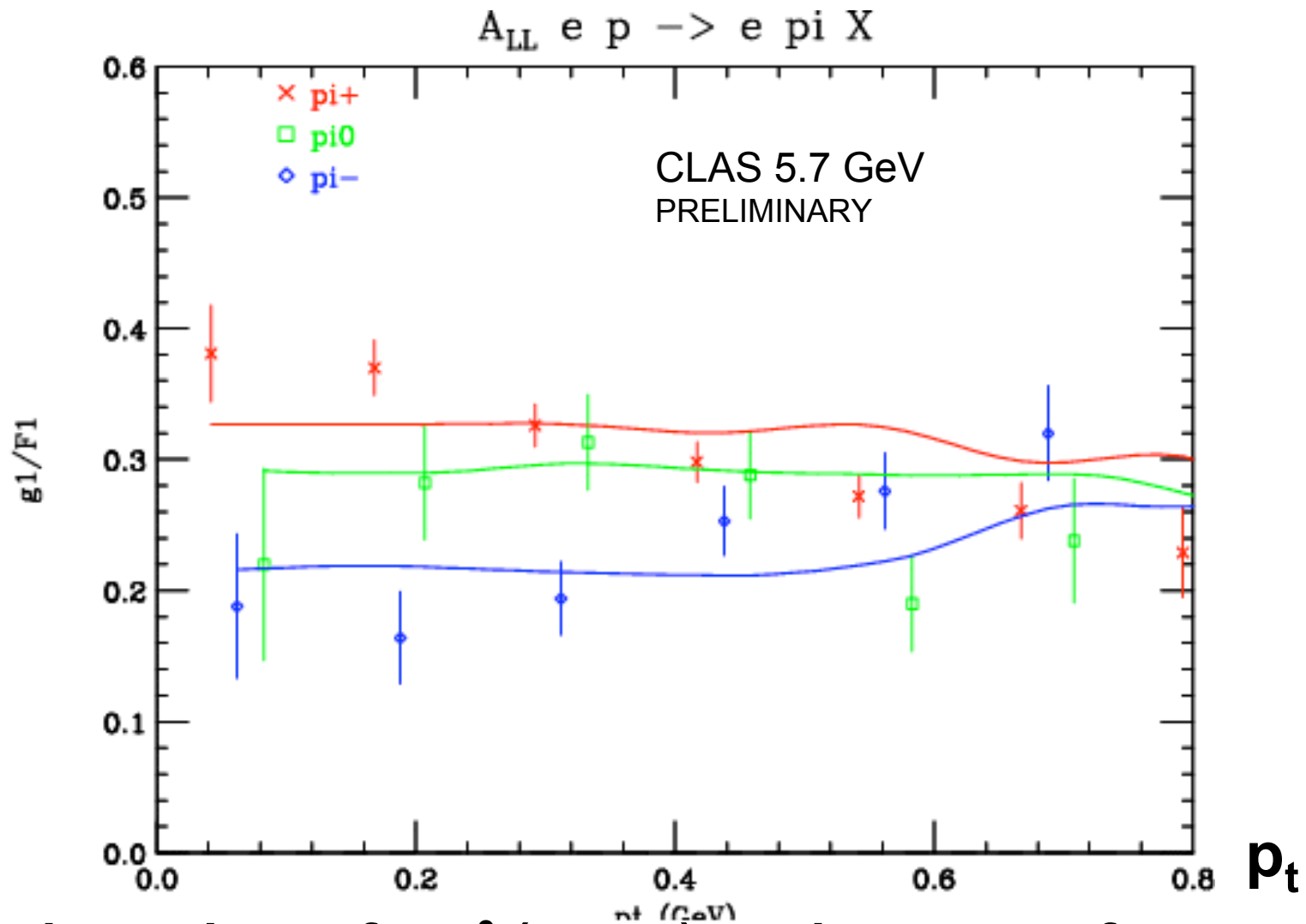
## z-dependence of SIDIS proton $g_1/F_1$



**No significant z-dependence seen for  $\pi^0$  and  $\pi^+ + \pi^-$  for  $0.3 < z < 0.7$ , as expected if factorization holds**

**Good agreement with PEPSI predictions including dropoff at high z for  $\pi^-$ , due to increasing importance of  $\delta d(x)$ , in turn due to increase of  $D^+/D^-$  with increasing z**

# $p_t$ -dependence of SIDIS proton $g_1/F_1$



No  $p_t$  dependence for  $\pi^0$  (green), and average of  $\pi^+$  (red) and  $\pi^-$  (blue) as expected if factorization works

## $p_t$ -dependence of SIDIS proton $g_1/F_1$

**BUT**,  $A_1 \pi^+$  **decreases** with  $p_t$  at fixed  $x, Q^2, z$  while

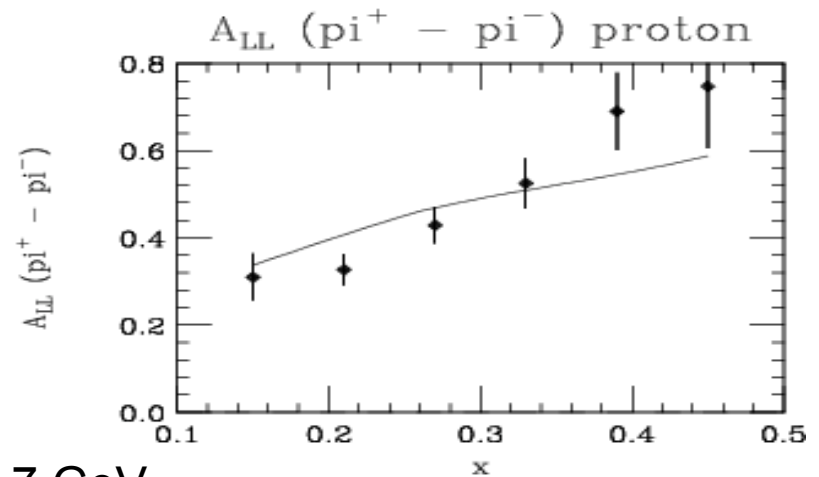
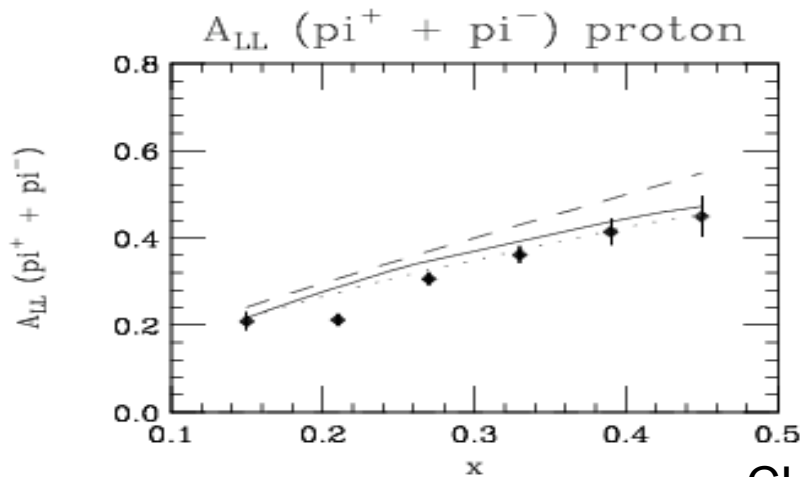
$A_1 \pi^-$  **increases**.  
 $\delta\sigma_p(\pi^+) = 4\delta u(x, p_t)D^+(z, p_t) + \delta d(x, p_t)D^-(z, p_t)$   
 $\delta\sigma_p(\pi^-) = 4\delta u(x, p_t)D^-(z, p_t) + \delta d(x, p_t)D^+(z, p_t)$

Hard to explain in terms of different  $p_t$  distributions for quarks, or influence of exclusive processes like diffractive rho production.

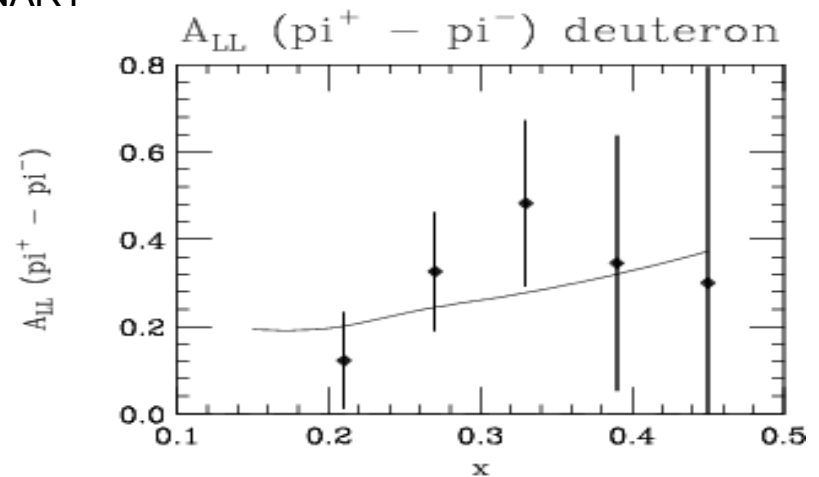
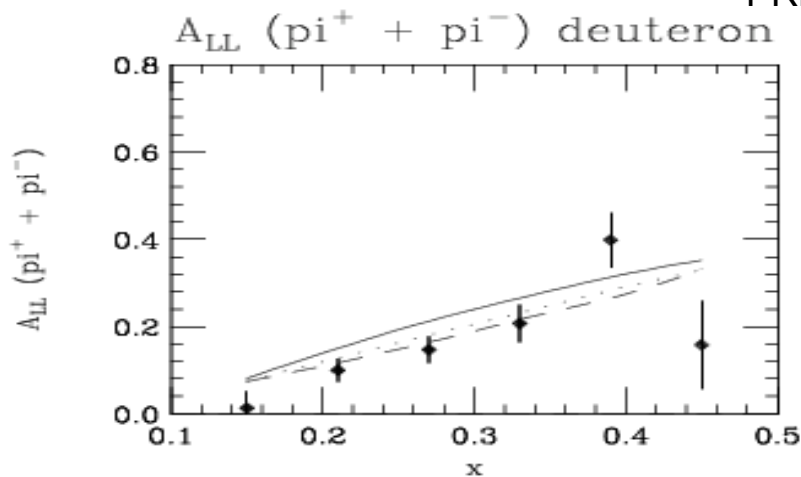
Simplest explanation is that  $D^-/D^+$  increases with  $p_t$  (i.e. unfavored fragmentation has a wider transverse momentum dependence) **First hint?**

This effect magnified in  $g_1$  compared to  $F_2$  due to  $\delta d$  negative while  $\delta u$  positive

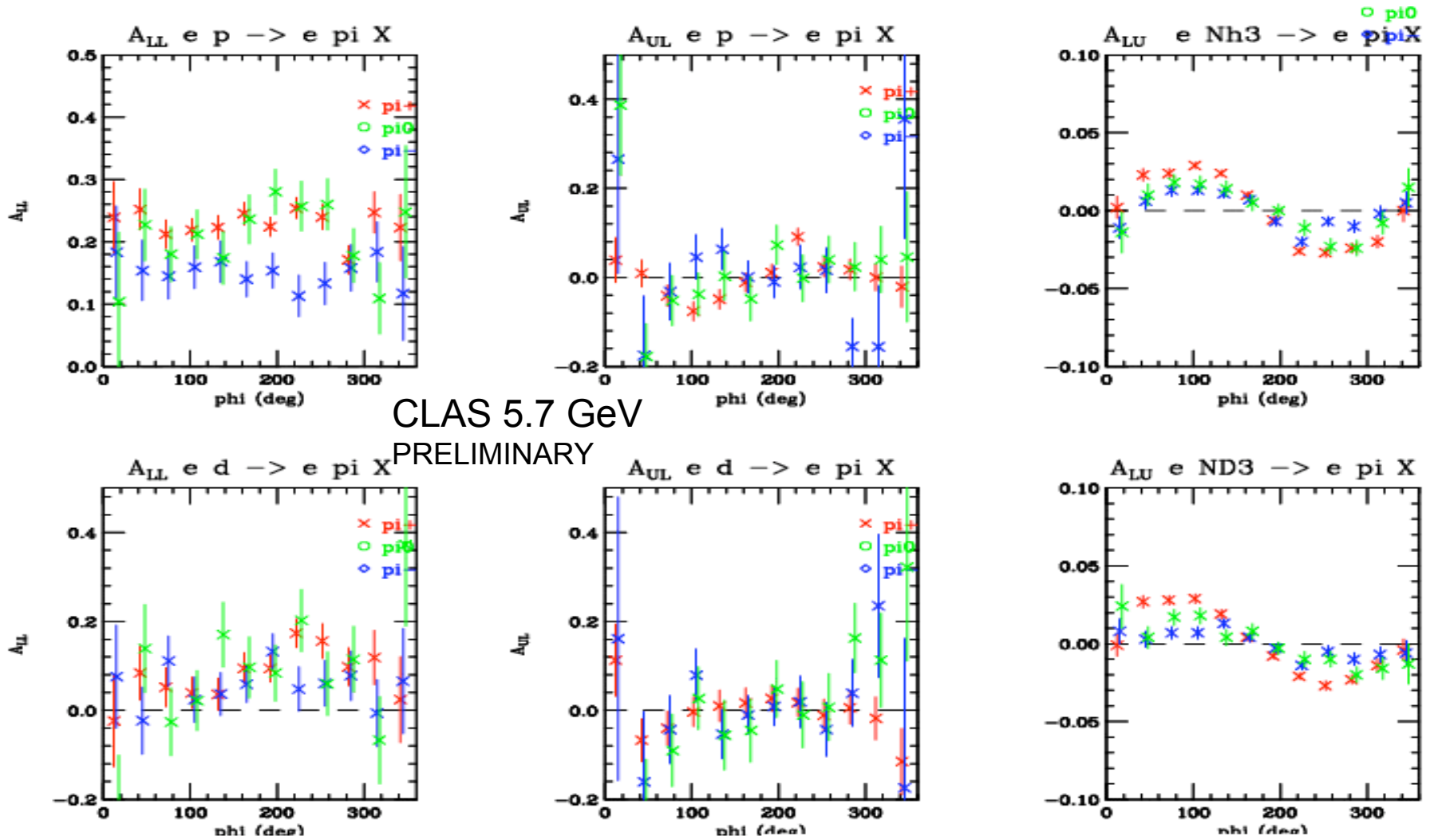
In region where factorization tests hold, can use data to constrain polarized PDFs in NLO analysis (separate out polarized up, down valence and sea quark parton distributions).



CLAS 5.7 GeV  
PRELIMINARY



In region where factorization tests hold, analyze phi dependence of  $A_{LL}$ ,  $A_{LU}$ , and  $A_{UL}$  to learn about orbital motion of quarks, polarized fragmentation, and higher twist contributions.



# Future

- **20x more  $\pi^+$ ,  $\pi^-$ , 40x more  $\pi^0$  for  $g_1/F_1$  at 6 GeV on proton (using CLAS)**
- **3x more  $\pi^+$  and  $\pi^-$   $g_1/F_1$  data on deuteron (Hall C)**
- **Jlab upgrade to 11 GeV electrons combined with major upgrade to CLAS will allow huge increase in kinematic coverage and statistical accuracy in SIDIS!**

# Summary

- Factorization in SIDIS pion production seems to work for  $0.3 < z < 0.7$ ,  $W > 2$  GeV,  $M_x > 1.4$  GeV,  $0.2 < x < 0.5$ ,  $Q^2 > 1$  GeV<sup>2</sup>.
- Opens opportunity for studies of PDF's, and orbital motion of quarks
- Jlab upgrade will allow definitive measurements for  $x > 0.1$
- Last but not least, is  $p_t$  dependence of fragmentation function different for favored and unfavored?