

Semileptonic B Decays at BABAR



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Beauty 2005

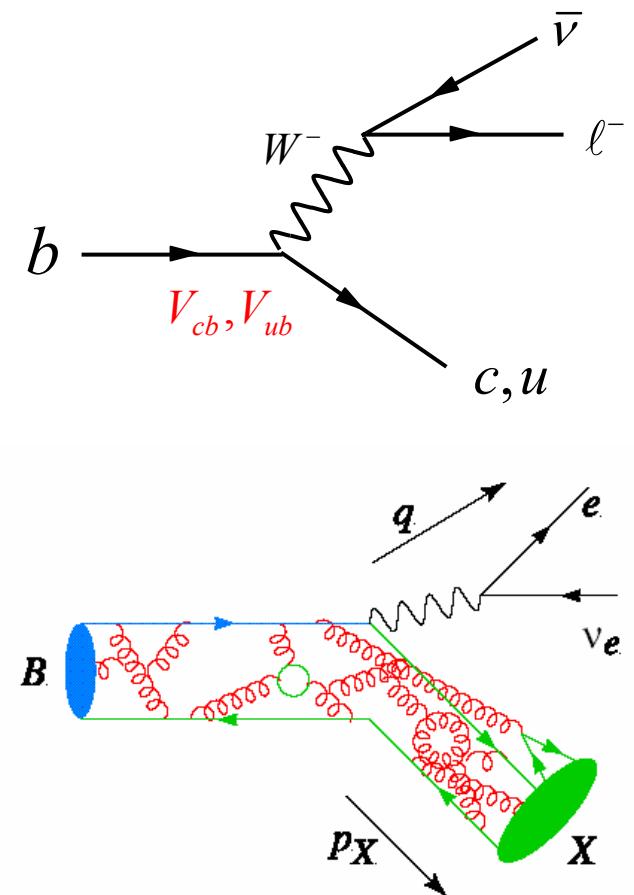
Assisi (Perugia), June 20–24, 2005

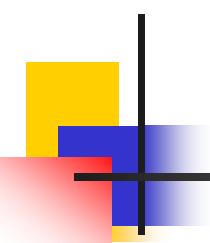
Semileptonic B Decays – Why are they Interesting

$$\Gamma(b \rightarrow c \ell \nu) = \frac{G_F^2}{192\pi^2} |V_{cb}|^2 m_b^2 (m_b - m_c)^3$$

$$\Gamma(b \rightarrow u \ell \nu) = \frac{G_F^2}{192\pi^2} |V_{ub}|^2 m_b^5$$

- Semileptonic decays
 - theoretically simple at parton level
 - rate depends on CKM elements $|V_{cb}|$ and $|V_{ub}|$, the quark masses m_b and m_c
 - the leptonic current factors out cleanly, thus one can probe strong interactions in B mesons
 - sensitive to QCD corrections, OPE
- A precise determination of $|V_{cb}|$ and $|V_{ub}|$ with reliable errors
important for
 - understanding B decay rates
 - testing of the unitarity of the CKM matrix and predictions of CP violation in B mesons





Semileptonic B decay studies at BABAR

	Inclusive decays	Exclusive decay modes
$b \rightarrow c \ell \nu$	$B \rightarrow X_c \ell \nu$ $ V_{cb} $, total decay rate, HQE parameters	$B \rightarrow D^* \ell \nu, B \rightarrow D \ell \nu, \dots$ Branching fractions, decay form factors, $ V_{cb} $
$b \rightarrow u \ell \nu$	$B \rightarrow X_u \ell \nu$ $ V_{ub} $, total decay rate, lepton momentum and hadron mass spectra	$B \rightarrow \pi \ell \nu, B \rightarrow \rho \ell \nu, \dots$ Branching fractions, decay form factors, $ V_{ub} $

2-nd B tagging: untagged, lepton tag, hadronic tag

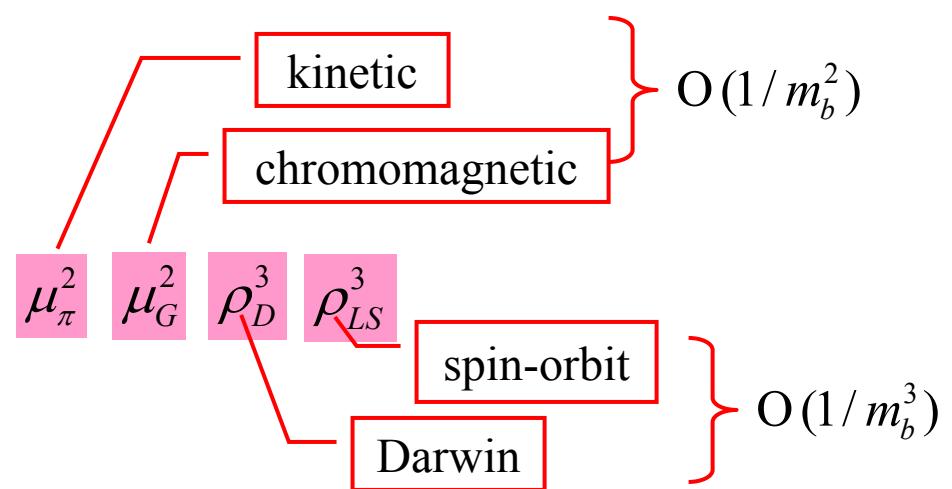
Inclusive $B \rightarrow X_c \ell \nu$ decays: HQE Expansions

- Heavy Quark Expansions, tool to correct for QCD effects
 - Expansion in terms of $1/m_b$ and $\alpha_s(m_b)$
 - Separate short- and long-distance effects at $\mu \sim 1$ GeV
 - Perturbative corrections calculable from $m_b m_c \alpha_s(m_b)$
 - Non-perturbative parameters cannot be calculated
- We choose calculation by Gambino & Uraltsev [hep-ph/0401063 & 0403166](#)

- Kinetic mass scheme to $O(1/m_b^3)$
- E_ℓ moments $O(\alpha_s^2)$
- m_X moments $O(\alpha_s)$

- 8 parameters to be fitted

$$|V_{cb}| \quad m_b \quad m_c \quad \mathcal{B}(B \rightarrow X_c \ell \nu)$$



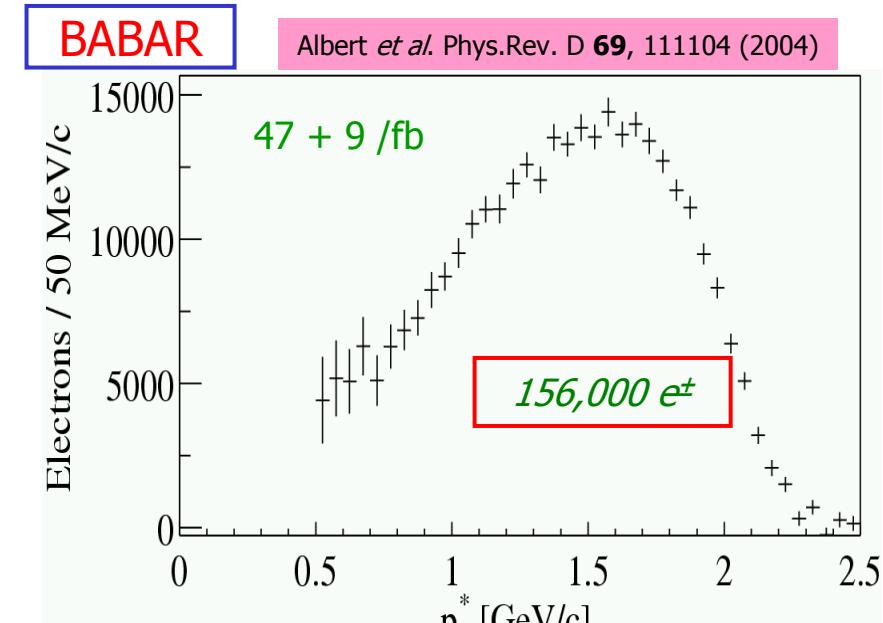
- Measure 8 moments, each as a function of minimum lepton energy E_ℓ

Measurement of Electron Energy Moments

- Inclusive e^\pm spectrum in 3×10^6 electron-tagged ($1.4 < p^* < 2.3 \text{ GeV}/c$) $B\bar{B}$ events
 - Corrected for detector effects*
 - Corrected for non-prompt electrons*
 - lepton charge correlation and MC
 - Corrected for $B^0 - \bar{B}^0$ mixing*
- Moments for $E_{cut} = 0.6 \dots 1.5 \text{ GeV}$
 - Corrected for the final state radiation*
 - Translated to B rest frame*
 - Subtracted $B \rightarrow X_u \ell \nu$ decays*

$$M_1^\ell = \frac{\int_{E_{cut}}^{\infty} E_\ell d\Gamma}{\int_{E_{cut}}^{\infty} d\Gamma}$$

$$M_n^\ell = \frac{\int_{E_{cut}}^{\infty} (E_\ell - M_1^\ell)^n d\Gamma}{\int_{E_{cut}}^{\infty} d\Gamma}, (n = 2, 3)$$



$$M_0^\ell = \frac{\int_{E_{cut}}^{\infty} d\Gamma}{\Gamma_B}$$

Partial BF

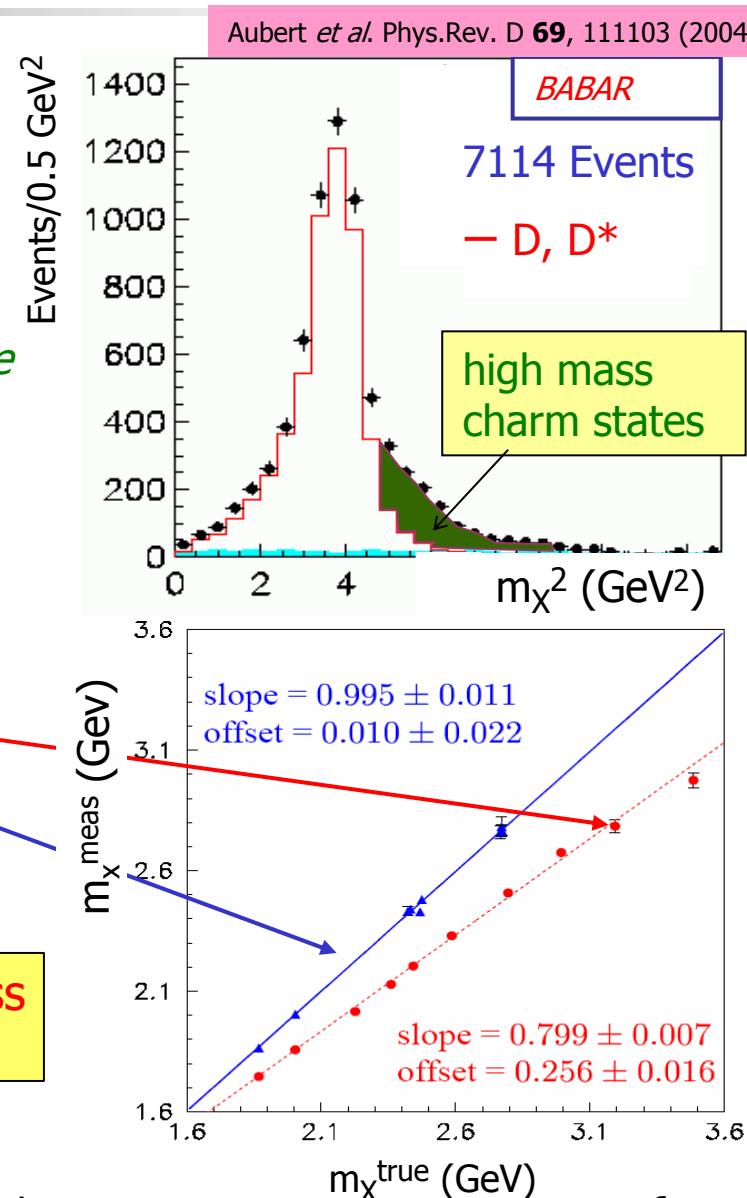
Lepton Energy Moments

Measurement of Hadron Mass Moments

- $B\bar{B}$ events tagged by a fully reconstructed hadronic B decays
 - e^\pm or μ^\pm with $E_\ell > E_{cut}$ ($0.9...1.6 \text{ GeV}/c$)
 - Lepton charge – B flavor correlation
 - Improve m_x measurement by kinematic fit to whole event, resolution $\sim 350 \text{ MeV}$
- To eliminate dependence of moments on uncertain BF and unknown masses of high mass charm mesons we calibrate m_x measurement
- Calibrate m_x based on MC simulation
 - Linear relation between m_x^{meas} and m_x^{true}
 - Validate calibration with excl. $B \rightarrow D^{(*)} \ell \nu$
- Moments corrected for detector effects and bkg

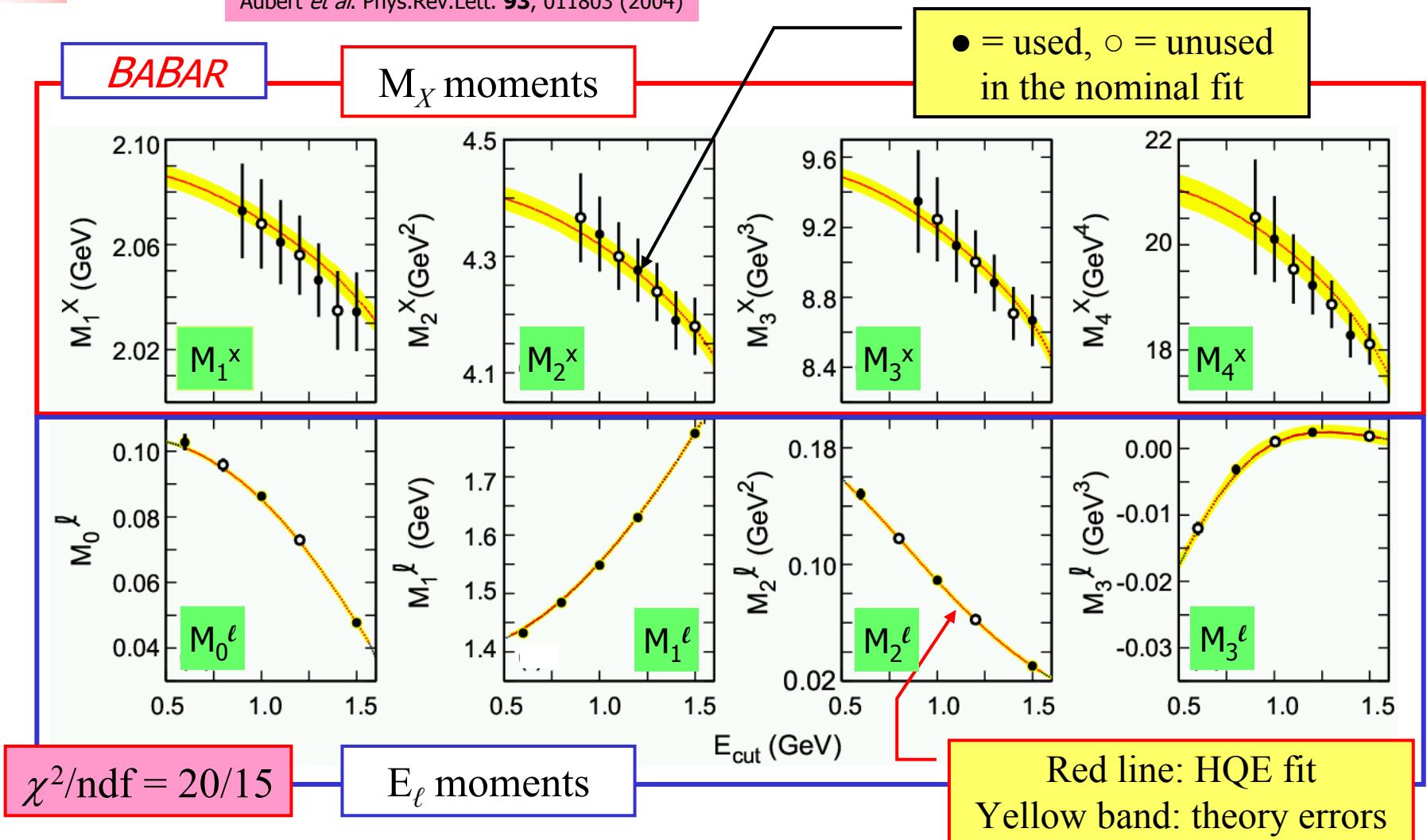
$$M_n^X = \frac{\int_{E>E_{cut}} m_X^n d\Gamma}{\int_{E_{cut}} d\Gamma}, (n = 1, 2, 3, 4)$$

Hadron mass moments



HQE Fits to Hadron Mass and Lepton Energy Moments

Aubert *et al.* Phys.Rev.Lett. **93**, 011803 (2004)



HQE Fit Results (kinetic mass scheme, scale $\mu=1\text{GeV}$)

$$|V_{cb}| = (41.4 \pm 0.4_{\text{exp}} \pm 0.4_{\text{HQE}} \pm 0.6_{\text{th}}) \times 10^{-3}$$

$$B_{c\ell\nu} = (10.61 \pm 0.16_{\text{exp}} \pm 0.06_{\text{HQE}})\%$$

$$m_b = (4.61 \pm 0.05_{\text{exp}} \pm 0.04_{\text{HQE}} \pm 0.02_{\alpha_s}) \text{GeV}$$

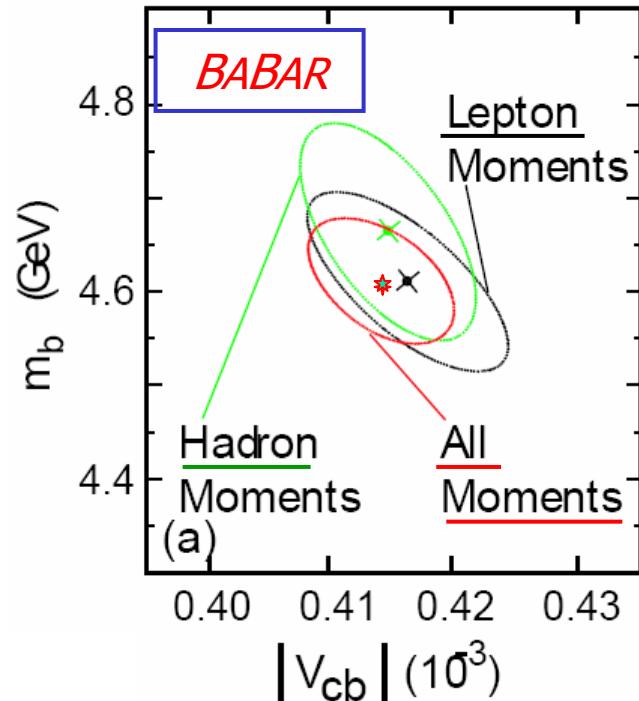
$$m_c = (1.18 \pm 0.07_{\text{exp}} \pm 0.06_{\text{HQE}} \pm 0.02_{\alpha_s}) \text{GeV}$$

$$\mu_\pi^2 = (0.45 \pm 0.04_{\text{exp}} \pm 0.04_{\text{HQE}} \pm 0.01_{\alpha_s}) \text{GeV}^2$$

$$\mu_G^2 = (0.27 \pm 0.06_{\text{exp}} \pm 0.03_{\text{HQE}} \pm 0.02_{\alpha_s}) \text{GeV}^2$$

$$\rho_D^3 = (0.20 \pm 0.02_{\text{exp}} \pm 0.02_{\text{HQE}} \pm 0.00_{\alpha_s}) \text{GeV}^3$$

$$\rho_{LS}^3 = (-0.09 \pm 0.04_{\text{exp}} \pm 0.07_{\text{HQE}} \pm 0.01_{\alpha_s}) \text{GeV}^3$$



- ❖ Separate fits to hadron and lepton moments give consistent results
- ❖ μ_G^2 and ρ_{LS}^3 are consistent with B-B* mass splitting and HQ sum rules
- ❖ Considerable improvement in precision for $|V_{cb}|$ ($\pm 2\%$) and $B_{c\ell\nu}$ (1.6%) and quark masses, as well as HQE parameters

|V_{ub}| from total $B \rightarrow X_u \ell \nu$ decay rate

- Total $b \rightarrow u \ell \nu$ decay rate from OPE:

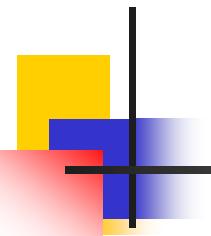
$$\Gamma(B \rightarrow X_u \ell \nu) = \frac{G_F^2 m_b^5}{192\pi^3} |V_{ub}|^2 \left\{ A_0 \left(1 - \frac{\mu_\pi^2 - \mu_G^2}{2m_b^2} \right) - 2 \frac{\mu_G^2}{m_b^2} + O\left(\frac{1}{m_b^3}\right) \right\}$$

- $|V_{ub}|$ from inclusive $\text{BF}(B \rightarrow X_u \ell \nu)$ (theory error $\sim 5\%$):

$$|V_{ub}| = 0.00424 \left(\frac{\mathcal{B}(\bar{B} \rightarrow X_u \ell \bar{\nu})}{0.002} \frac{1.604 \text{ ps}}{\tau_B} \right)^{1/2} \times (1.0 \pm 0.048(\text{OPE} + m_b)).$$

- Experimentally challenging due to charmed semileptonic background:

$$\frac{\Gamma(b \rightarrow u \ell \bar{\nu})}{\Gamma(b \rightarrow c \ell \bar{\nu})} \approx \frac{|V_{ub}|^2}{|V_{cb}|^2} \approx \frac{1}{50}$$



$|V_{ub}|$ from partial $B \rightarrow X_u \ell \nu$ decay rates

- Charmed semileptonic background can be controlled in limited regions of phase space
- Non-perturbative Shape Functions are used to extract $|V_{ub}|$ from partial branching fractions. The SF parameters are extracted from $b \rightarrow sg$ photon spectrum
- New approach to $|V_{ub}|$ extraction:
 - **Differential decay rate parametrization from BLNP**
Bosch, Lange, Neubert, and Paz, Nucl. Phys. B 699, 335 (2004);
Lange, Neubert, and Paz, hep-ph/0504071
 - **New source of information on SF parameters – moments of the $b \rightarrow c \ell \nu$ lepton spectrum**
Neubert, Phys.Lett. B 612, 13 (2005)

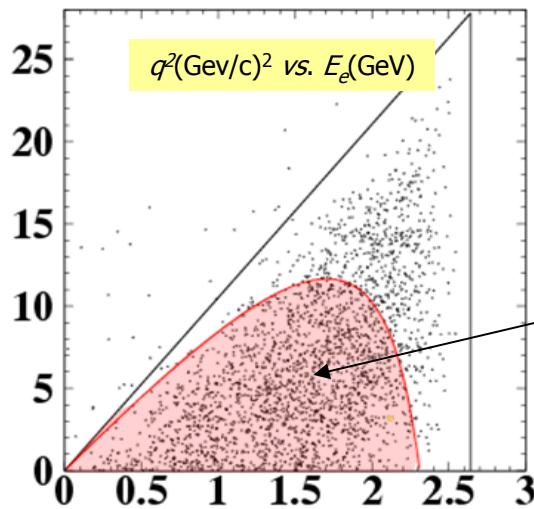
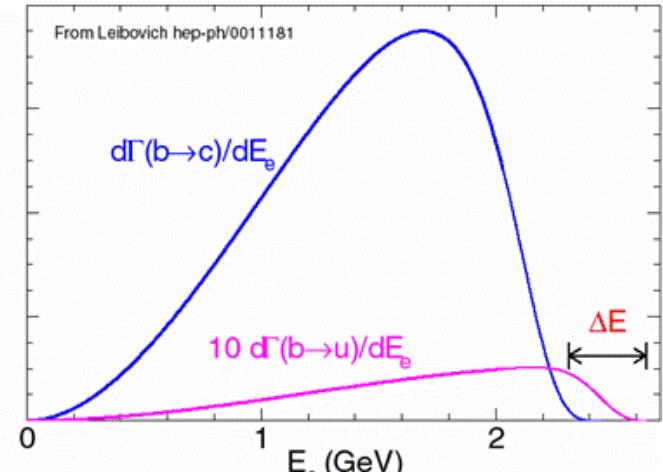
$|V_{ub}|$ from partial $B \rightarrow X_u \ell \nu$ decay rates

- Different types of partial decay rate measurements:

- electron endpoint hep-ex/0408075

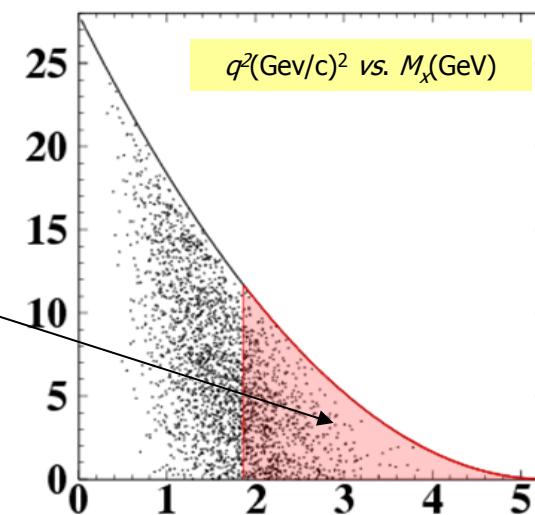
- E_e and q^2 regions with neutrino reconstruction hep-ex/0506036

- M_χ and q^2 regions hep-ex/0408068

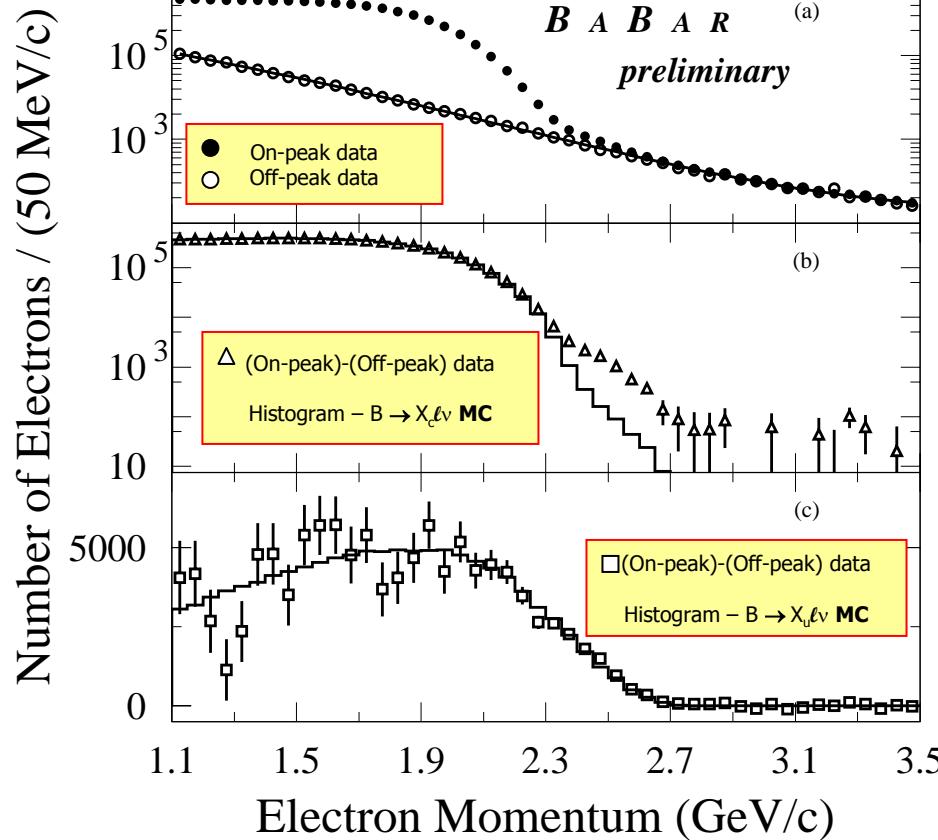


$$q^2 = (p_\ell + p_\nu)^2$$

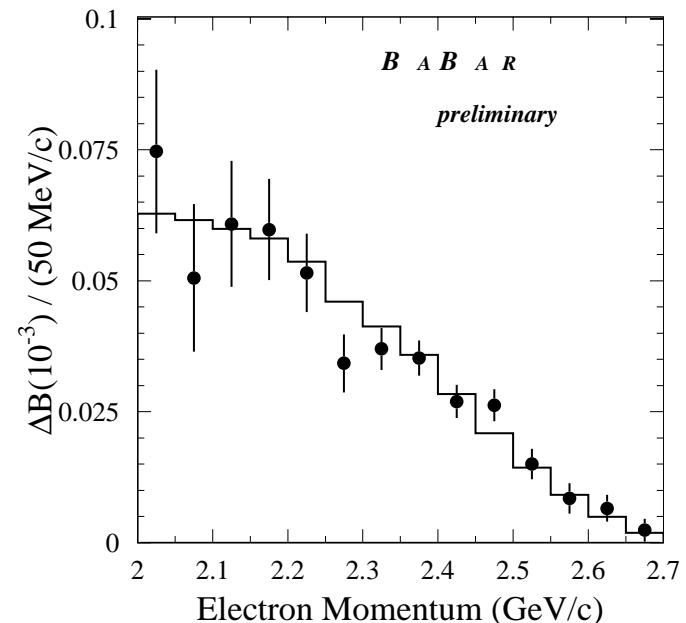
Kinematic regions
of $B \rightarrow X_c \ell \nu$ decays



$|V_{ub}|$ from $B \rightarrow X_u \ell \nu$ endpoint spectrum

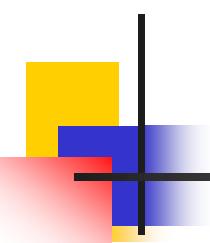


Signal extraction: subtraction of BB and continuum background



Differential $B \rightarrow X_u e \nu$ branching fraction as a function of electron momentum, corrected for detector effects and final-state radiation

- (On-peak)-(Off-peak) data
Histogram – $B \rightarrow X_u \ell \nu$ MC



Endpoint spectrum analysis: $|V_{ub}|$ extraction

- Determination of ΔBr for $p_e > p_{min}$
- Extrapolation to total charmless semileptonic decay Br using shape function parameters from $B \rightarrow X_s \gamma$ spectrum and calculations of DeFazio-Neubert^(*) and Kagan-Neubert^(**)
- Extraction of $|V_{ub}|$ using the OPE relation.
- BABAR preliminary results, based on BELLE shape function parameters and DFN approach (hep-ex/0408075):
$$\Delta Br(p_e > 2.0 \text{GeV}/c) = (0.531 \pm 0.032_{\text{stat}} \pm 0.049_{\text{syst}}) \times 10^{-3}$$
$$Br = (2.16 \pm 0.24_{\text{exp}} \pm 0.27_{\text{SF}}) \times 10^{-3}$$
$$|V_{ub}| = (4.40 \pm 0.24_{\text{exp}} \pm 0.28_{\text{SF}} \pm 0.21_{\text{OPE+mb}}) \times 10^{-3}$$
- Analysis based on BLNP calculation is being completed

(*) F.De Fazio and M.Neubert, JHEP 9906, **017** (1999)

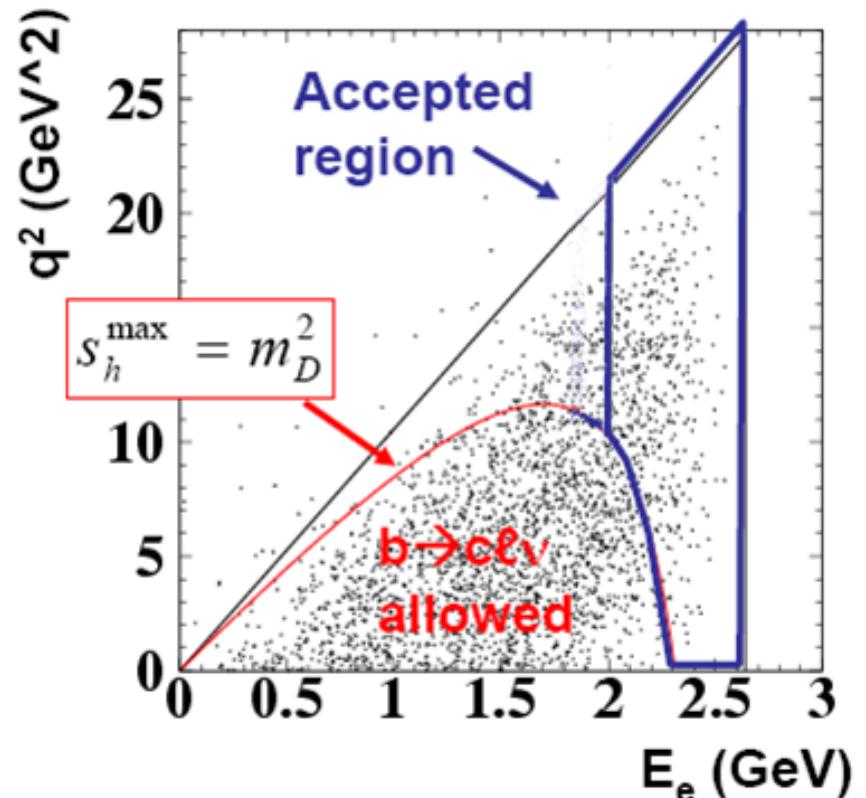
(**) A.L.Kagan and M.Neubert, Eur.Phys.J. C **7**, 5 (1999)

$q^2 - E_e$ analysis with neutrino reconstruction

- Event selection:
- High energy electron $E_e > 2\text{GeV}$
- Missing momentum used for neutrino parameters estimation
- Cuts on missing momentum magnitude and direction, and event shape
- Suppression of $b \rightarrow c\bar{e}\nu$ by $s_h^{\max} = m_D^2$:

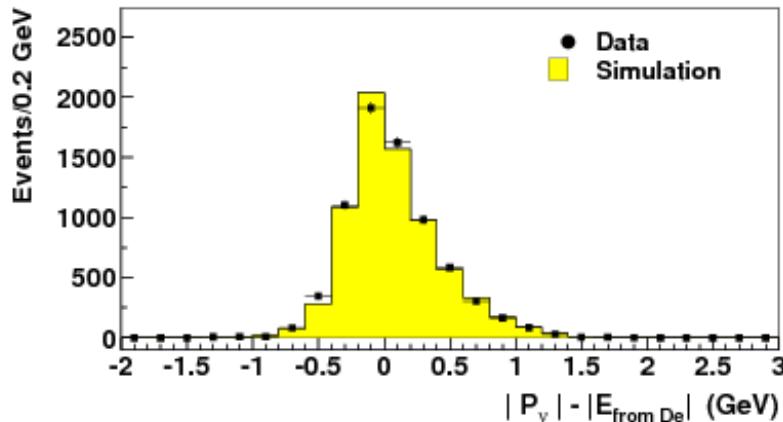
$$s_h^{\max} = m_B^2 + q^2 - 2m_B(E_e + \frac{q^2}{4E_e}),$$

(slightly modified for B -meson motion in the $\Upsilon(4S)$ rest frame)



Acceptance $\sim 14\%$, signal/bkg. ~ 0.6

$q^2 - E_e$ analysis results



Test of neutrino energy resolution using pure control sample of $B \rightarrow D^{(*)} e \nu$ events

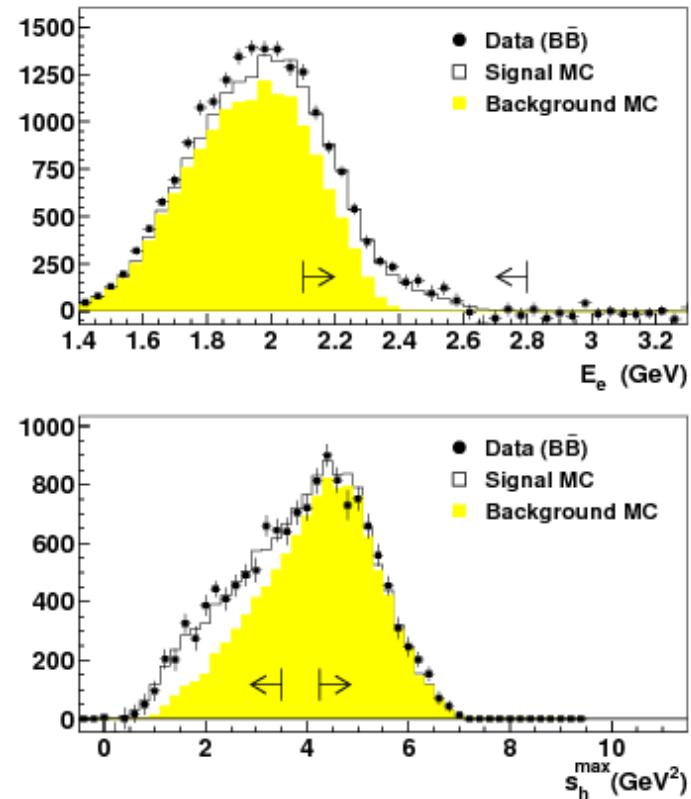
Signal extraction for $E_e > 2.0 \text{ GeV}$ and $s_h < 3.5 \text{ GeV}^2$,
 BB background normalization for $s_h > 4.25 \text{ GeV}^2$,

$$\Delta Br(E_e > 2.0 \text{ GeV}, s_h < 3.5 \text{ GeV}^2) = (3.54 \pm 0.33 \pm 0.34) \times 10^{-4}$$

$$|V_{ub}| = \sqrt{\Delta B / (\Delta \zeta \times \tau_B)}, \Delta \zeta - \text{normalized partial rate}^{(*)}, \tau_B = 1.604 \pm 0.023 \text{ ps}$$

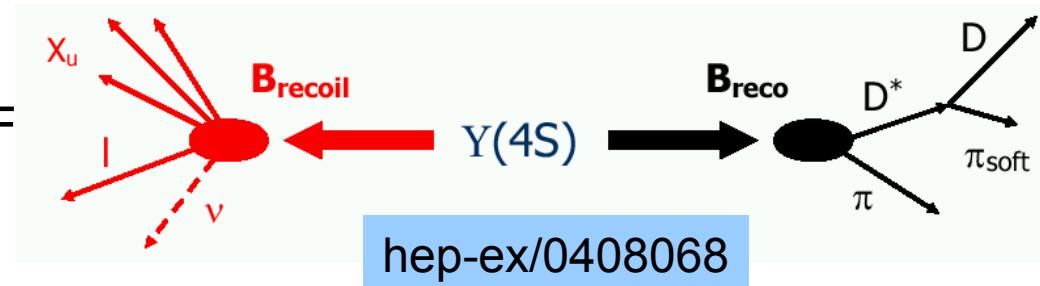
$$|V_{ub}| = (3.95 \pm 0.18 \pm 0.19^{+0.58}_{-0.42} \pm 0.25) \times 10^{-3}$$

(*) B.O.Lange, M.Neubert
and G.Paz, hep-ph/0504071

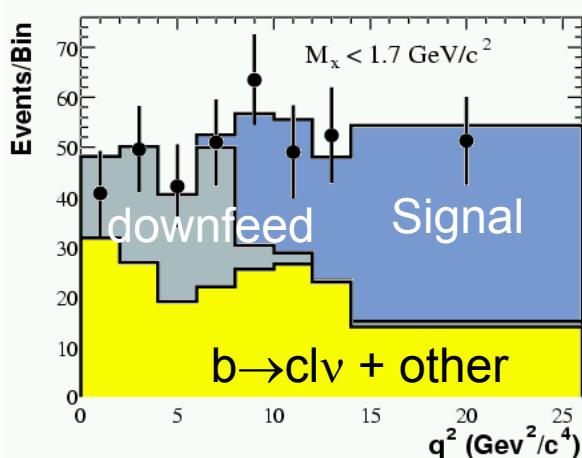


$M_x - q^2$ analysis

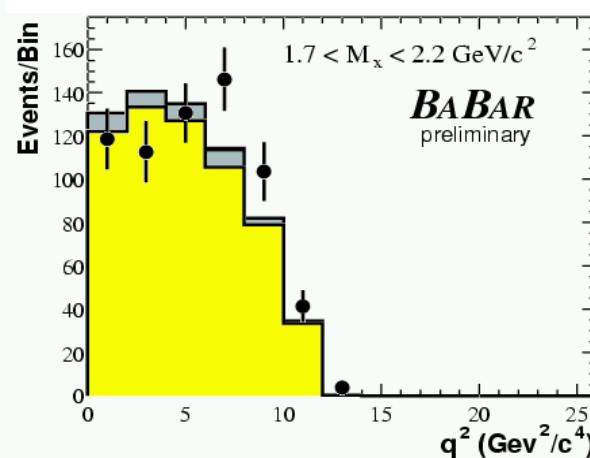
- Select events with a fully reconstructed $B \rightarrow D^{(*)}X$ decay (B_{reco})
- Study charmless semileptonic decay of B recoiling against B_{reco}
 - require lepton with $p_{\text{lep}}^* > 1 \text{ GeV}$
- 2D fit to measure partial BF
in $[M_x < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2]$



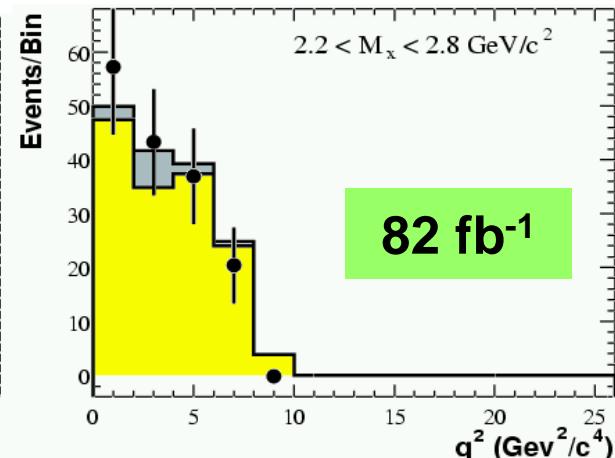
$M_x < 1.7 \text{ GeV}$



$1.7 < M_x < 2.2 \text{ GeV}$



$2.2 < M_x < 2.8 \text{ GeV}$



$M_x - q^2$ analysis: the results

BABAR
preliminary
(CKM 2005)

- M_x spectrum unfolding and fitting:

$$|V_{ub}| = (5.22 \pm 0.30_{\text{stat}} \pm 0.31_{\text{exp.syst}} \pm 0.21_{\text{fu}} \pm 0.25_{\text{OPE}}) \times 10^{-3} \quad (\text{DFN}^*)$$

- $M_x - q^2$ 2-dimentional fit:

$$\Delta Br(M_X < 1.7 \text{GeV}, q^2 > 8 \text{GeV}^2) = (8.96 \pm 1.43_{\text{stat}} \pm 1.44_{\text{syst}}) \times 10^{-4}$$

$$|V_{ub}| = (5.18 \pm 0.41_{\text{stat}} \pm 0.40_{\text{exp.syst}} \pm 0.22_{\text{fu}} \pm 0.25_{\text{OPE}}) \times 10^{-3} \quad (\text{DFN}^*)$$

$$|V_{ub}| = (4.98 \pm 0.40_{\text{stat}} \pm 0.39_{\text{exp.syst}} \pm 0.47_{\text{fu+OPE}}) \times 10^{-3} \quad (\text{BLL}^{**})$$

(*) F.De Fazio and M.Neubert, JHEP 9906, **017** (1999)
(**) Bauer, Ligeti and Luke, hep-ph/0111387

Inclusive $B \rightarrow X_u \ell \nu$ decay summary

Technique	$ V_{ub} \times 10^3$
$E_\ell > 2\text{GeV}$ (ICHEP2004)	$4.40 \pm 0.13_{\text{stat}} \pm 0.25_{\text{exp.syst}} \pm 0.28_{\text{fu}} \pm 0.21_{\text{OPE}}$
E_ℓ vs. q^2 (NEW)	$3.95 \pm 0.18_{\text{stat}} \pm 0.19_{\text{exp.syst}} + (^{+0.58}_{-0.42})_{\text{SF}} \pm 0.25_{\text{theo}}$
$M\chi$ vs. q^2 (CKM 2005)	$5.18 \pm 0.41_{\text{stat}} \pm 0.40_{\text{exp.syst}} \pm 0.22_{\text{fu}} \pm 0.25_{\text{OPE}}$
Average (using the procedure of HFAG)	$4.22 \pm 0.57 \quad \text{CL} = 0.45$

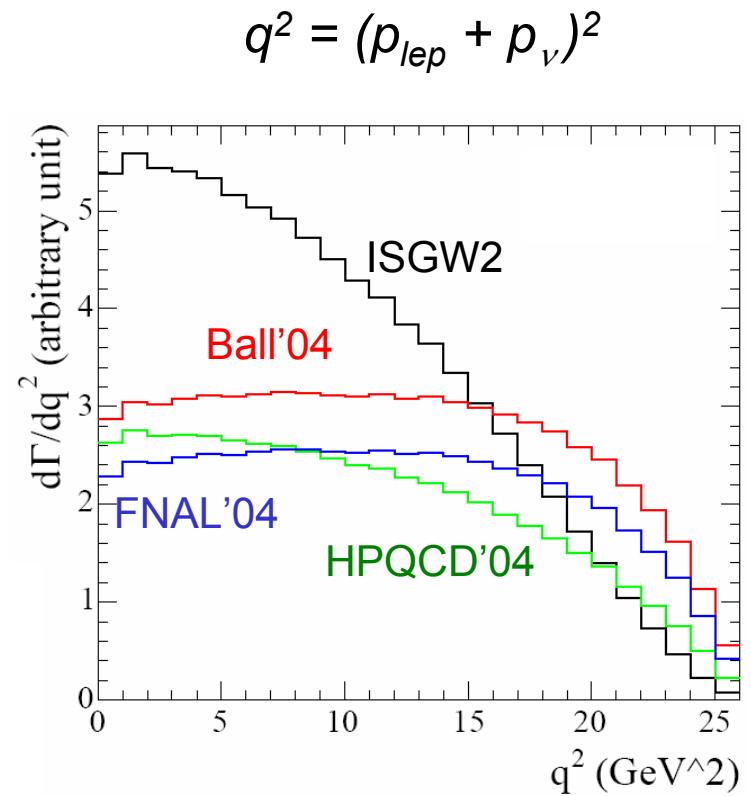
|Vub| from exclusive $B \rightarrow X_u \ell \nu$ decays

Strong nonperturbative effects included in form factors

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |\mathbf{k}_\pi|^3 |V_{ub}|^2 |f_+(q^2)|^2$$

$$|V_{ub}| = \sqrt{\frac{B(B \rightarrow \pi \ell \nu)}{x \tau_B}}$$

$$x = \frac{G_F^2}{48\pi^3} \int |\mathbf{k}_\pi|^3 |f_+(q^2)|^2 dq^2$$



Calculations

- Light-Cone Sum Rules: **Ball**, Zwicky (hep-ph/0406232)
- Unquenched Lattice QCD: **HPQCD** (hep-lat/0408019) and **FNAL** (hep-lat/0409116)
- ISGW2**: quark model

Exclusive decays, experimental techniques

- Different experimental approaches to measure BF:

Untagged analysis

Semileptonic Tags

Hadronic Tags

Neutrino reconstruction

$$B \rightarrow \pi \ell \nu, B \rightarrow \rho \ell \nu$$

Recoil of $B \rightarrow D^{(*)} \ell \nu$

$$B \rightarrow \pi \ell \nu$$

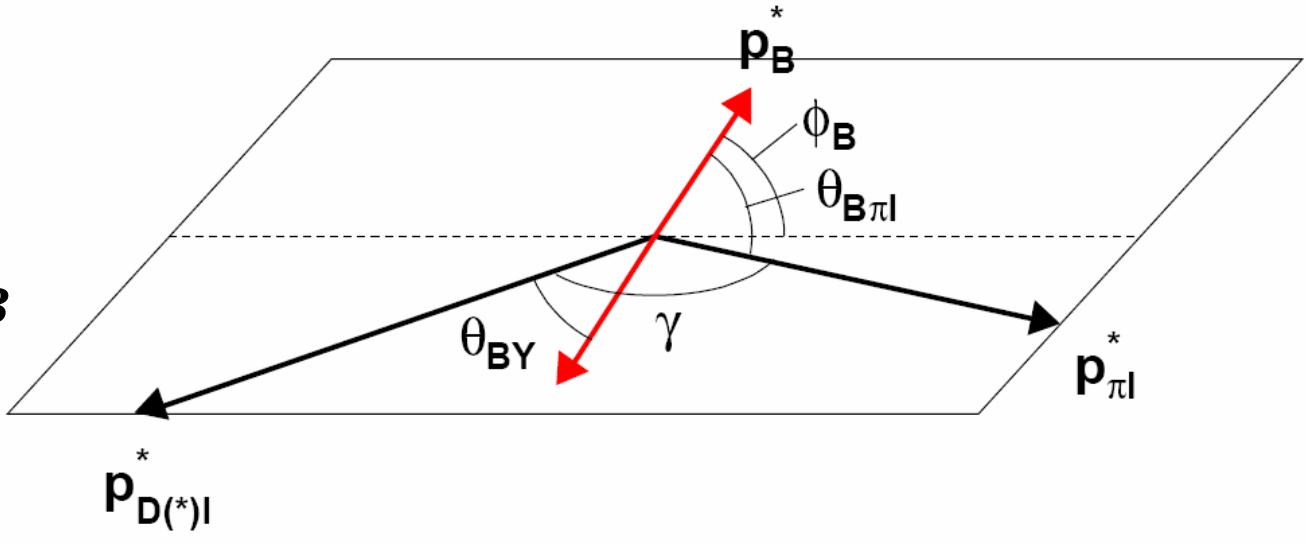
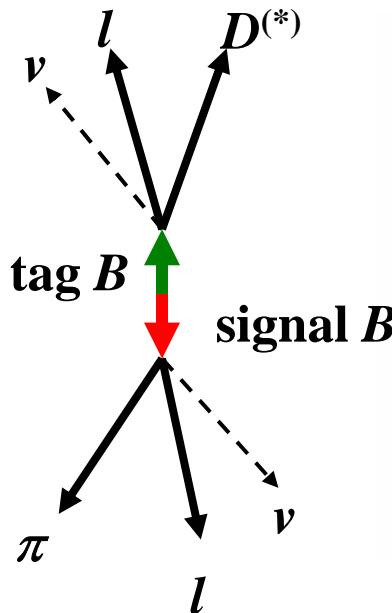
Recoil of $B \rightarrow D^{(*)} X$
9 channels ($\pi, \rho, \omega, \eta, \eta', a_0$)

PURITY

EFFICIENCY



Exclusive $B \rightarrow \pi \ell \nu$ decays, tagged analysis



- Use $B \rightarrow D^{(*)} \ell \nu$ decays of opposite B to "tag" event
- Use events with $B \rightarrow D^{(*)} \ell \nu$ decays on both sides to check tagging efficiency
- Measure $\Delta B(B^0 \rightarrow \pi^- \ell^+ \nu)$ in bins of q^2
- BF for $B^+ \rightarrow \pi^0 \ell^+ \nu$

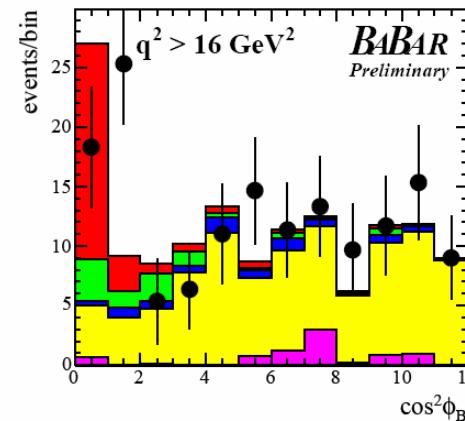
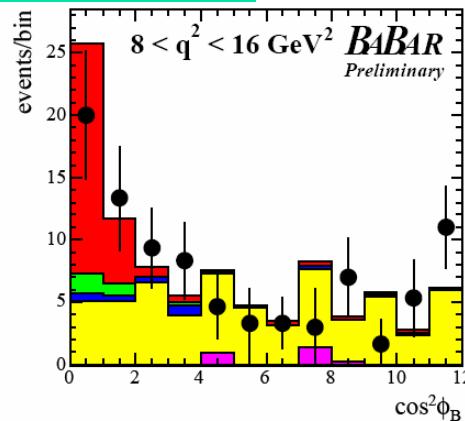
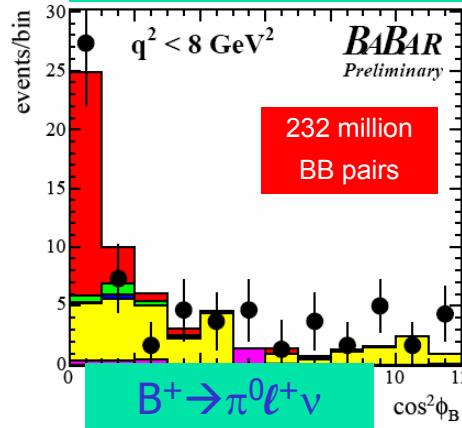
Discriminating Variables

- $B^0 \rightarrow \pi^- \ell^+ \nu$: angle between the B momentum and the plane defined by the $D^{(*)}\ell$ and $\pi \ell$ momenta: $\cos^2 \phi_B$
- $B^+ \rightarrow \pi^0 \ell^+ \nu$: angle between B and $\pi^0 \ell$ system: $\cos \theta_{B-\pi l}$

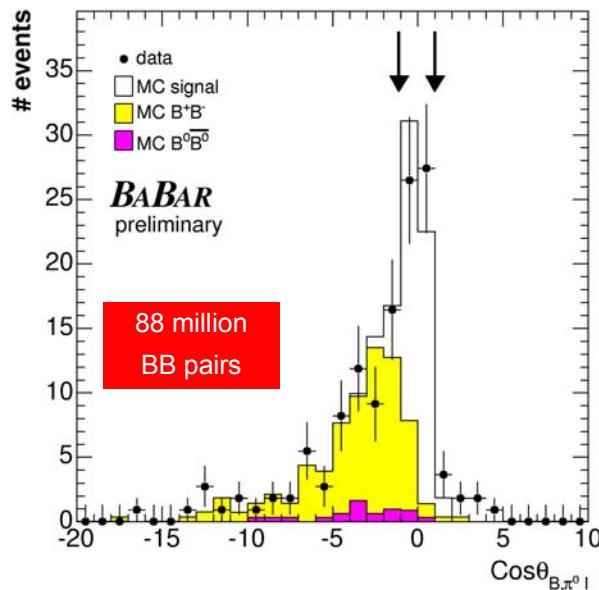
$B \rightarrow \pi \ell \nu$ tagged analysis: the results

BABAR
preliminary
(CKM 2005)

$B^0 \rightarrow \pi^- \ell^+ \nu$



- on-peak data
- signal MC
- $B \rightarrow \rho \ell \nu$ MC
- $b \rightarrow \gamma \ell \nu$ MC
- $B^0 B^0$ MC
- $B^+ B^-$ MC
- off-peak data



Branching Fraction Results

$$B(B^0 \rightarrow \pi^- \ell^+ \nu) = (1.03 \pm 0.25_{\text{stat}} \pm 0.13_{\text{syst}}) \times 10^{-4}$$

in 3 bins of q^2

$$B(B^+ \rightarrow \pi^0 \ell^+ \nu) = (1.80 \pm 0.37_{\text{stat}} \pm 0.23_{\text{syst}}) \times 10^{-4}$$

Exclusive $B \rightarrow \pi(\rho) \ell \nu$ decays, untagged analysis

- Reconstruct neutrino from full event + ensure good reconstruction quality

$$(\vec{p}_{\text{miss}}, E_{\text{miss}}) = (\vec{p}_{\text{beams}}, E_{\text{beams}}) - \left(\sum_i \vec{p}_i, \sum_i E_i \right)$$

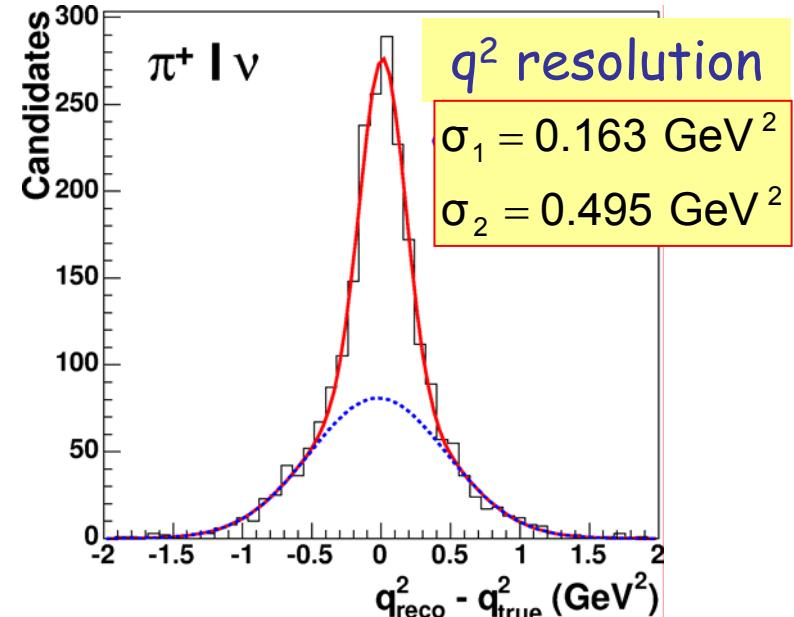
- Signal yield extracted from common fit to ΔE and m_{ES} in 5 q^2 bins for $\pi^+ \ell \nu$, and 3 bins for $\rho^- \ell \nu$ and $\rho^0 \ell \nu$ each

$$m_{ES} = \sqrt{s/4 - |\vec{p}_B^*|^2}$$

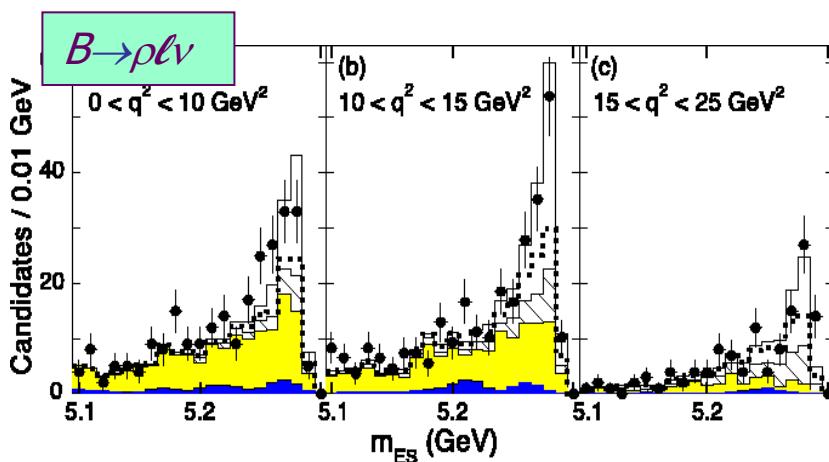
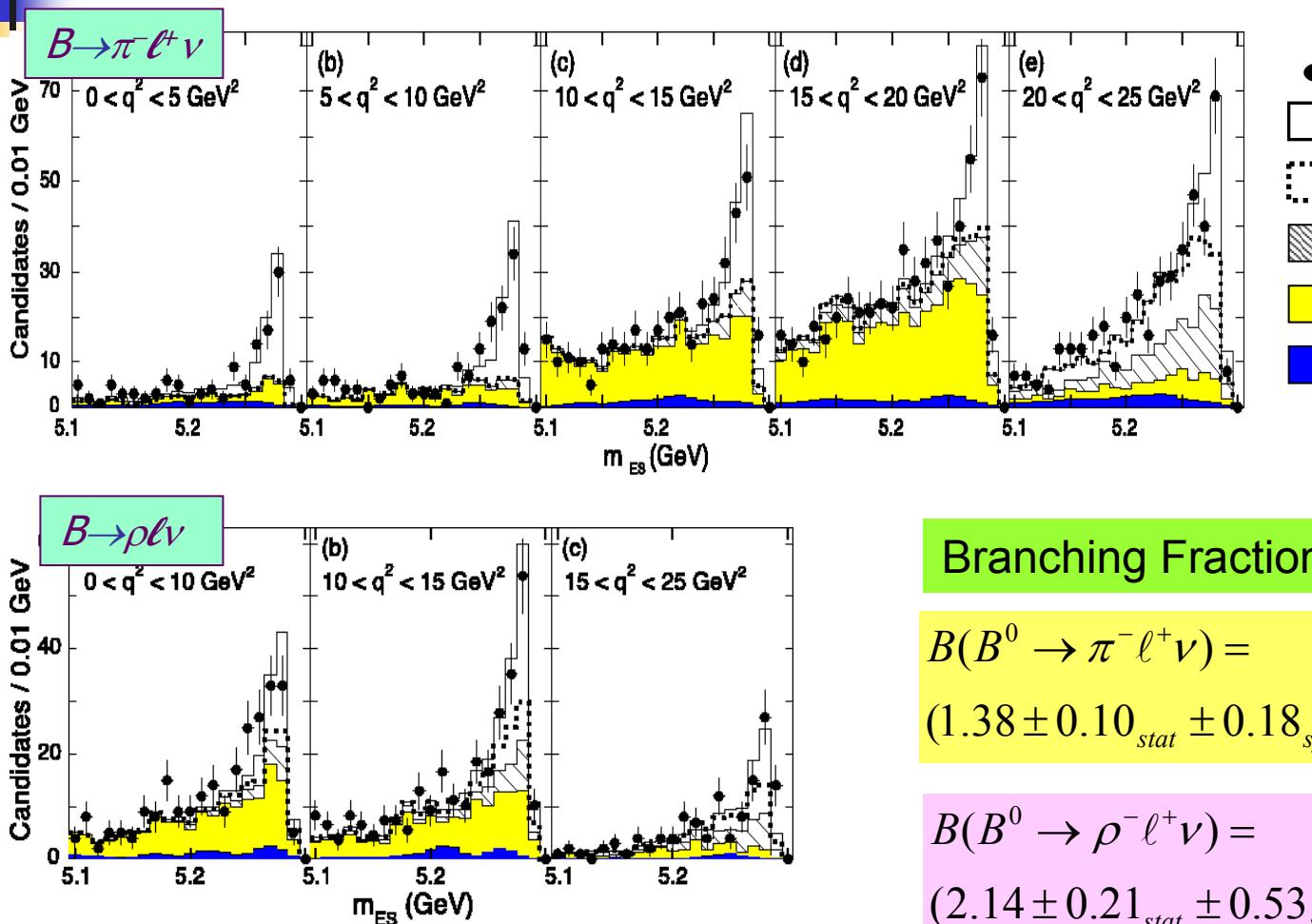
$$\Delta E = E_B^* - \sqrt{s}/2$$

$$q^2 = (p_l + p_\nu)^2$$

neutrino momentum scaled so
 $\Delta E=0 \rightarrow$ improved q^2 resolution



Untagged $B \rightarrow \pi(\rho) \ell^+ \nu$, signal extraction



Branching Fraction Results

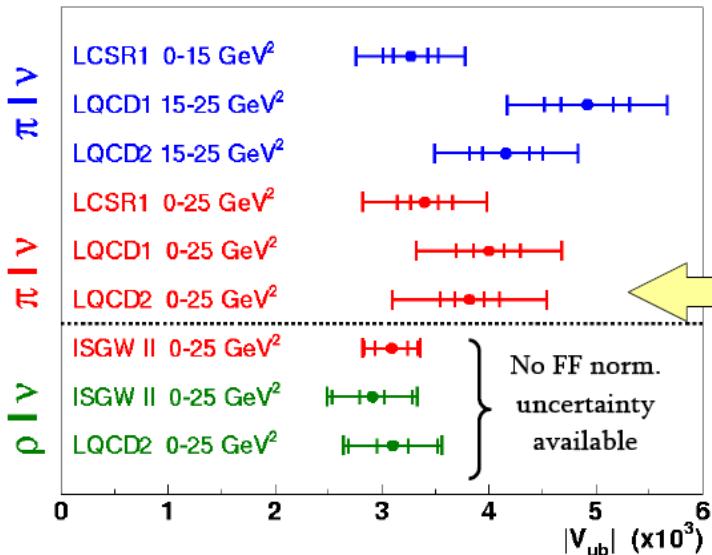
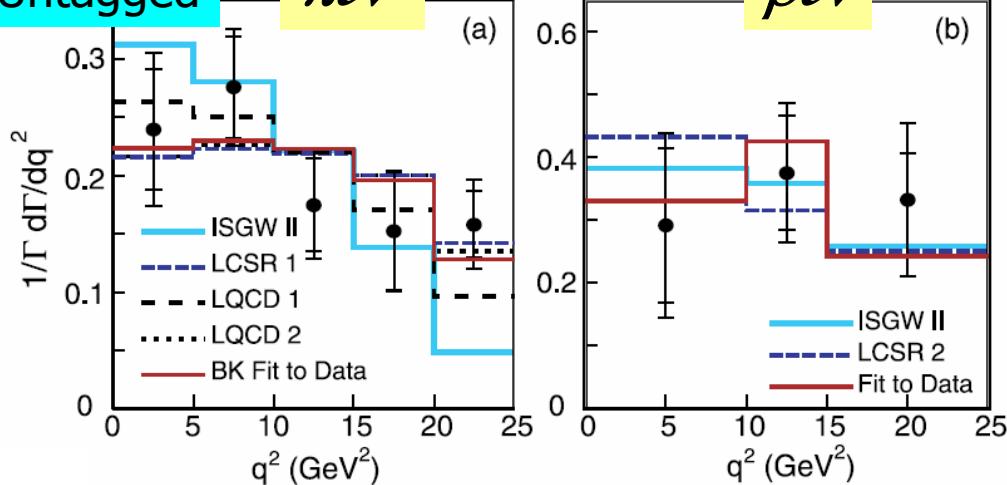
$B(B^0 \rightarrow \pi^- \ell^+ \nu) =$
 $(1.38 \pm 0.10_{\text{stat}} \pm 0.18_{\text{syst}} \pm 0.08_{\text{FF}}) \times 10^{-4}$

$B(B^0 \rightarrow \rho^- \ell^+ \nu) =$
 $(2.14 \pm 0.21_{\text{stat}} \pm 0.53_{\text{syst}} \pm 0.28_{\text{FF}}) \times 10^{-4}$

83 million
BB pairs

Form factor fits and $|V_{ub}|$ extraction

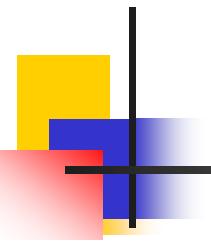
Untagged

 $\pi\ell\nu$ 

Extraction of $|V_{ub}|$

Quote LQCD2 as representative

LCSR $q^2 < 15 \text{ GeV}^2$, LQCD $q^2 > 15 \text{ GeV}^2$
or extrapolation to whole q^2 range



Summary

- ❖ HQE fits to moments hadronic mass and lepton energy moments:

Based on 80 fb^{-1} , $\sim 30\%$ of the current BABAR data, we have made significant advances in the analysis of semileptonic B decays.

$$|V_{cb}| = (41.4 \pm 0.4_{\text{exp}} \pm 0.4_{\text{HQE}} \pm 0.6_{\text{theory}}) \times 10^{-3} \quad \text{Br}(B \rightarrow X_c \ell \bar{\nu}) = (10.61 \pm 0.16_{\text{exp}} \pm 0.06_{\text{HQE}})\%$$

High precision measurement of m_b and m_c , and exp. determination of HQE parameters.

- ❖ Inclusive $B \rightarrow X_u \ell \bar{\nu}$ decays, three different approaches:

The most precise determination of $|V_{ub}|$

Measurement of partial semileptonic branching fractions for different kinematic regions

- ❖ Exclusive $B \rightarrow \pi(\rho) \ell \bar{\nu}$ decay modes in tagged and untagged samples:

Determination of $|V_{ub}|$

Begin to test decay form factor calculations

The analyses will be repeated for larger data samples and more precise shape function parameters derived from $B \rightarrow X_s \gamma$ photon spectrum