

Assisi, 23 June 2005

Leptonic and EW Penguins

BEAUTY 2005

*10th International Conference on
B-Physics at Hadron Machines*

Francesca Di Lodovico

*Queen Mary, University of London
on behalf of the BaBar Collaboration*



Outline

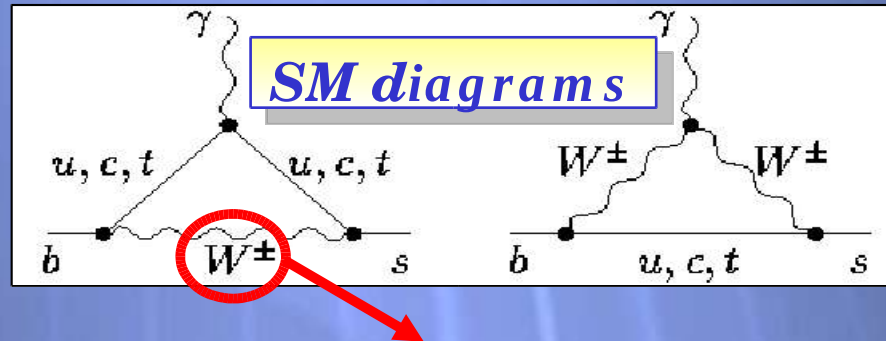
Radiative and EW decays

- $b \rightarrow s \gamma$
- $B \rightarrow \rho/\omega \gamma$
- $B \rightarrow K^{(*)} l l$

Leptonic Decays

- $B \rightarrow l \nu$
- $B \rightarrow K(\pi) \nu \bar{\nu}$
- $B \rightarrow \nu \bar{\nu} (\gamma)$

$b \rightarrow s \gamma$ Decays



New physics: additional diagrams with $H, \chi^\pm \dots$

- **Standard Model**

- $b \rightarrow s \gamma$ not allowed at tree level (FCNC)
- via a one-loop penguin diagram with t or W

- **New physics**

- new particles may appear in the loop
- modification of SM expectations (both rate and phase)

- In SM, V-A coupling produces an approximately left-handed photon
- New physics (SUSY, L-R symmetry, etc.) can enter with right-handed couplings

Photon spectrum in $b \rightarrow s \gamma$

Reflects the dynamics of the b inside B

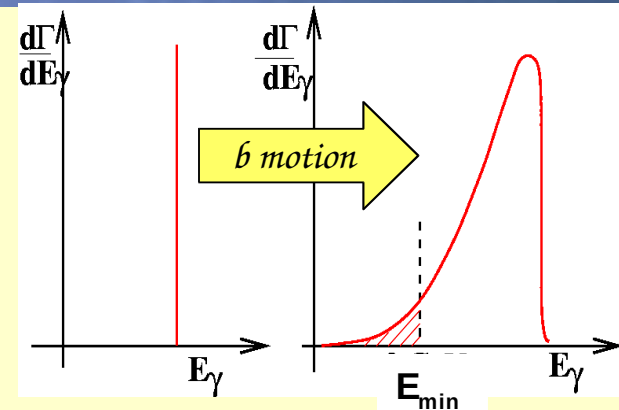
- Specified by parameters of the Operator Product Expansion (OPE)*

- Mass of the b -quark (m_b)*
- Fermi-momentum squared of the b -quark (μ_π^2)*

Needed for the measurement of $|V_{ub}|$ from inclusive semileptonic $b \rightarrow u l \nu$ decays

- OPE parameters determined by moments of the E_γ spectrum*

Smaller theory uncertainties on m_b and μ_π^2 at lower E_γ



Moments of the E_γ spectrum

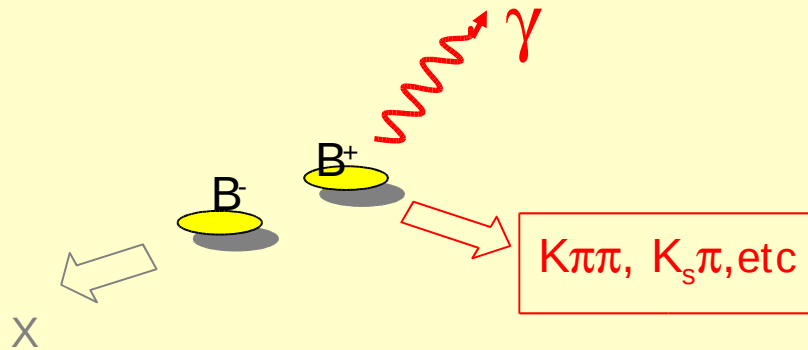
$$m_N = \langle (E_\gamma - \langle E_\gamma \rangle)^N \rangle$$

OPE parameters

$$\begin{aligned} \bar{\Lambda}(\mu) &= M_B - m_b(\mu) \\ \lambda_1(\mu) &= -\mu_\pi^2(\mu) \end{aligned}$$

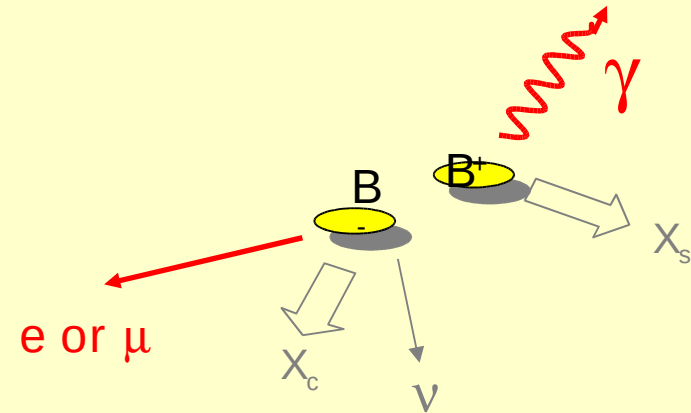
$b \rightarrow s \gamma$ Experimental Techniques

Sum of Exclusive Modes



- **Sum of 38 modes with $K^{(0)}$, $\pi^{(0)}$, η , KKK**
- **Kinematic constraints of fully-reconstructed B meson effectively reduces background**
- **Photon energy measured directly in B rest frame superior resolution**
- **Primary systematic from missing modes (fragmentation)**

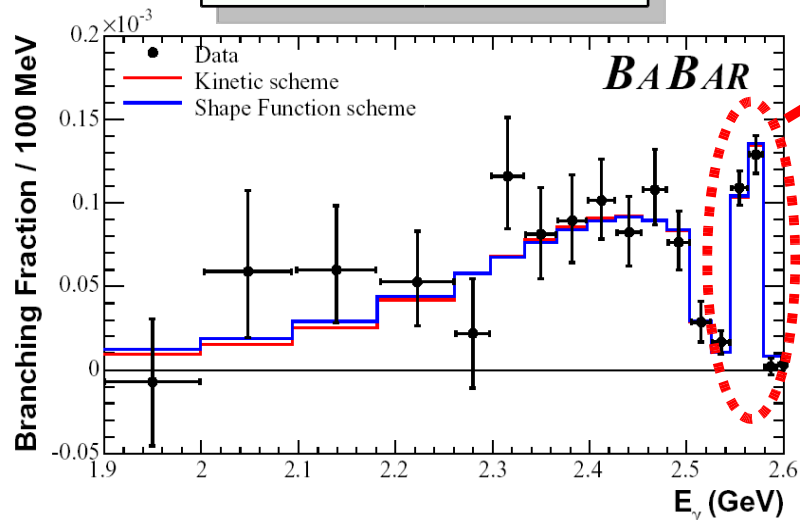
Inclusive with tag of recoil B



- **Reconstruct high-energy photon (1.8-2.8 GeV)**
- **Suppress huge background with lepton tagging**
- **Main systematic from subtraction of $B\bar{B}$ background**
- **Subtract $b \rightarrow d \gamma$ (~4%)**

$b \rightarrow s \gamma$ Preliminary Results

Semi-exclusive



Peak corresponding to K^* resonance
($M(X_s) < 1.1 \text{ GeV}/c^2$)

$$\mathcal{B}(E_\gamma > 1.6 \text{ GeV}) = (3.38 \pm 0.19^{+0.64}_{-0.41} \pm 0.07^{+0.07}_{-0.08}) \cdot 10^{-4}$$

SM expectation (Nucl. Phys B 631, 219 (2002)):

$$\mathcal{B}(b \rightarrow s \gamma E_\gamma > 1.6 \text{ GeV}) = (3.57 \pm 0.30) \cdot 10^{-4}$$

PRL 93 021804 (2004)

$$A_{CP}(b \rightarrow s \gamma) = (0.025 \pm 0.050 \pm 0.015)$$

“simpler” version of the analysis

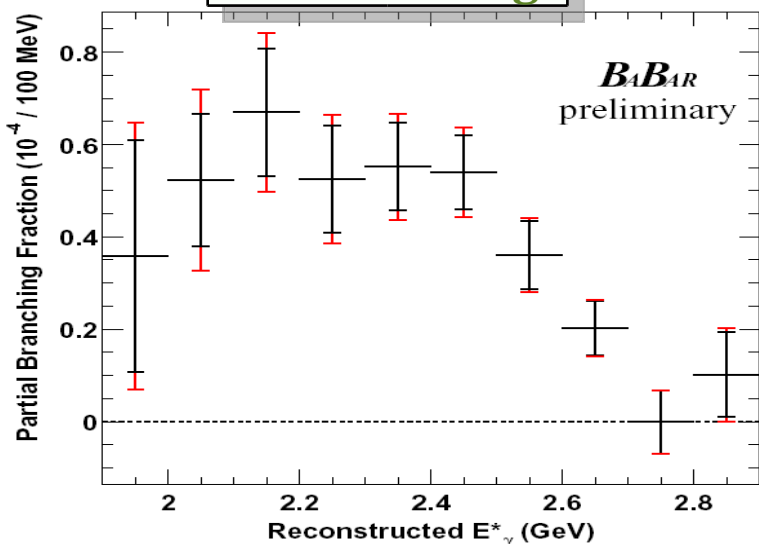
$$\text{SM expectation: } A_{CP}(b \rightarrow s \gamma) < 1\%$$

$$A_{CP}(b \rightarrow s \gamma + b \rightarrow d \gamma) = (-0.010 \pm 0.115 \pm 0.017)$$

$$\text{SM expectation } A_{CP}(b \rightarrow s \gamma + b \rightarrow d \gamma) \sim 0$$

A total of 89M $B\bar{B}$ events are used

Inclusive tag



$b \rightarrow s \gamma$ Preliminary Results

Fitting the photon spectrum:

Semi-exclusive

Scheme	$\bar{\Lambda}$ (GeV)	μ_π^2 (GeV ²)
Kinetic	$0.59^{+0.05}_{-0.04}$	$0.30^{+0.05}_{-0.04}$
Shape Function	0.63 ± 0.04	$0.19^{+0.05}_{-0.04}$

Kinetic Scheme: Nucl. Phys. B 710 371 (2005)

Shape Function Scheme: Nucl. Phys. B 699, 335 (2004)

Studying separately the B^0 versus $B^\pm$ states:

Semi-exclusive

- No isospin breaking from the dominant penguin diagram

- Isospin breaking effects at order $/m_b$

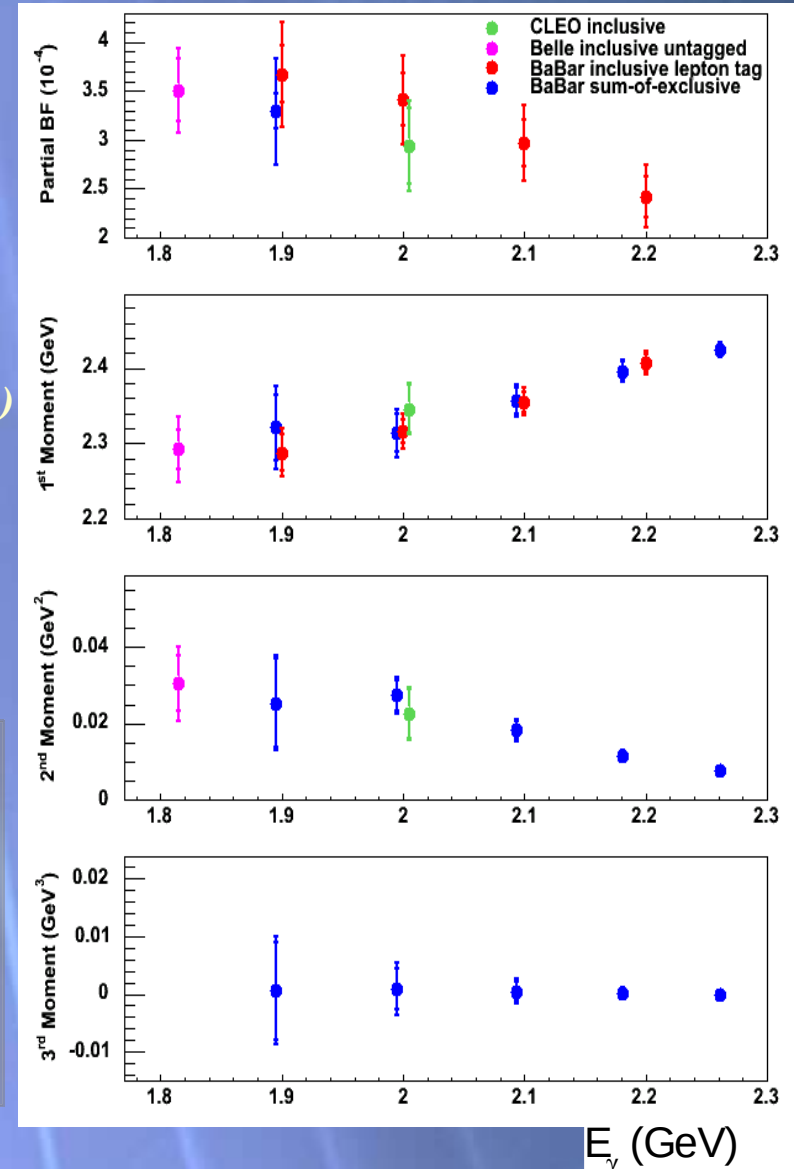
- In the $K^* \gamma$ mode: $\Delta_{0^\pm} \approx 5 - 10 \%$

$$\Delta_{0^\pm} \equiv [\Gamma(B^0) - \Gamma(B^\pm)] / [\Gamma(B^0) + \Gamma(B^\pm)]$$

$$= -0.006 \pm 0.058^{+0.009}_{-0.008} \pm 0.024 (*)$$

(*): Errors sta t.+syst.+uncertainty on B production ratio

Photon Moments:

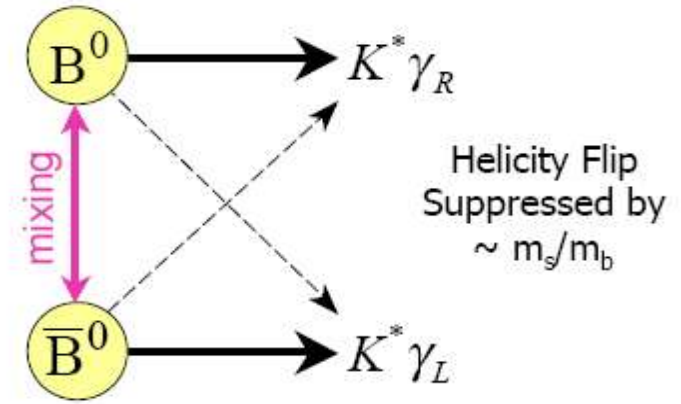


Time Dependent CPV in $B^0 \rightarrow K_s \pi^0 \gamma$

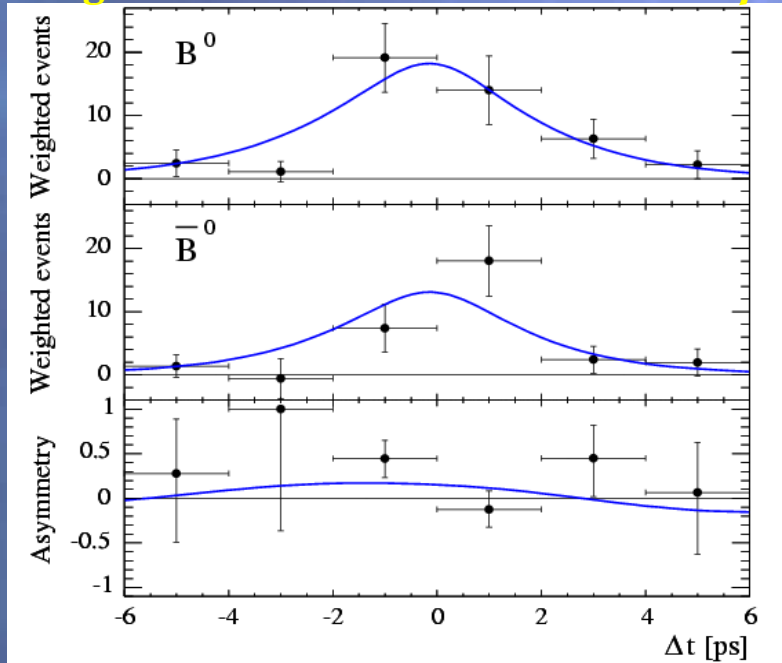
As in $B^0 \rightarrow J/\psi K_s$ interference between mixed and non-mixed decay to same final state required for CPV (Phys. Rev. Lett. 79, 185 (1997))

In the SM, mixed decay to $K^ \gamma$ requires wrong photon helicity, thus CPV is suppressed:*

In SM: $C = -A_{CP} \sim -1\%$ $S \sim -2(m_s/m_b) \sin 2\beta \sim -4\%$



Background-subtracted distribution for Δt



A total of 232M $B\bar{B}$ events are used

Measuring Δt of $K^(\rightarrow K_s \pi^0) \gamma$ events requires novel beam-constrained vertexing technique (Phys. Rev. Lett. 93 131805 (2004))*

$$0.8 < m_{K\pi} < 1.0 \text{ GeV}/c^2$$

$$S = -0.21 \pm 0.40 \pm 0.05$$

$$C = -0.40 \pm 0.23 \pm 0.04$$

$$m_{K\pi} > 1.1 \text{ GeV}/c^2$$

$$S = 0.9 \pm 1.0 \pm 0.2$$

$$C = -1.0 \pm 0.5 \pm 0.2$$

$B \rightarrow K \pi \pi \gamma$

Motivations:

method to measure photon polarization in $b \rightarrow s \gamma$ (Phys. Rev. Lett. 88 051802 (2002)).

→ *requires three-body decay*

→ *requires interference, such as $(K \pi^+) \pi^0 \gamma \leftrightarrow (K \pi^0) \pi^+ \gamma$*

$B \rightarrow K \pi^+ \pi^0 \gamma$ has not been observed

Analysis Strategy

Select candidates in four modes:

→ $K^+ \pi^- \pi^+ \gamma$

→ $K^+ \pi^- \pi^0 \gamma$

→ $K_S \pi^+ \pi^- \gamma$

→ $K_S \pi^+ \pi^0 \gamma$

● *Main background from other $b \rightarrow s \gamma$ events*

● *$e^+ e^- \rightarrow q \bar{q}$ reduced using shape variables in a Fisher Discriminant*

● *To extract signal perform likelihood unbinned fit to ΔE , m_{ES} distributions*

Branching fractions and mass spectra of $B \rightarrow K\pi\pi\gamma$

Preliminary

$$\mathcal{B}(B^+ \rightarrow K^+ \pi^- \pi^+ \gamma) = (2.95 \pm 0.13 \pm 0.20) \times 10^{-5}$$

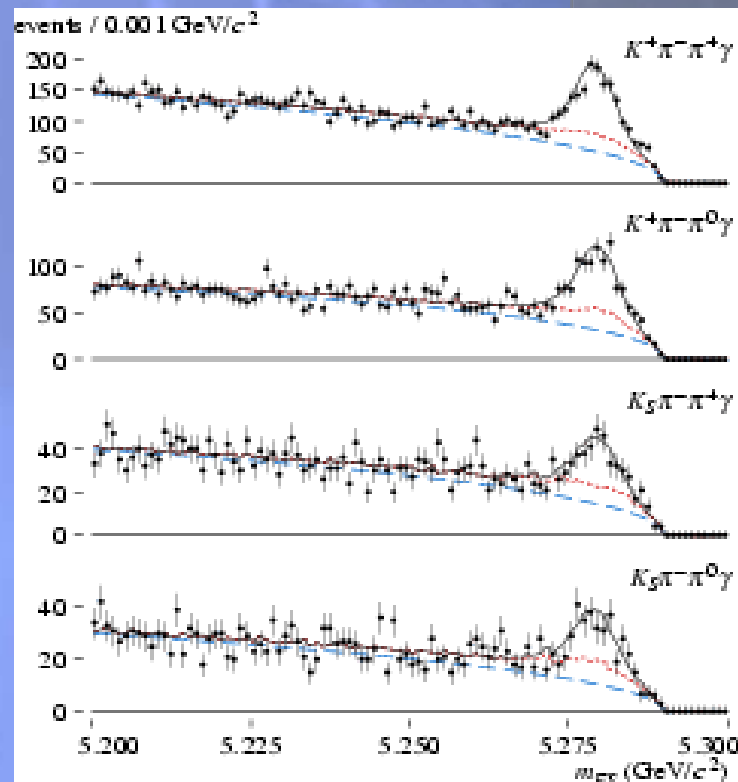
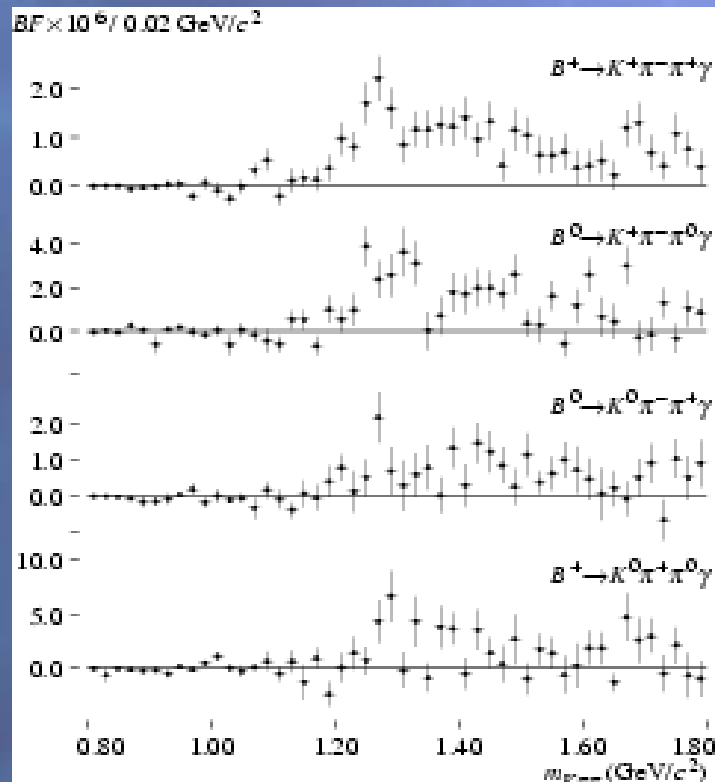
$$\mathcal{B}(B^0 \rightarrow K^+ \pi^- \pi^0 \gamma) = (4.07 \pm 0.22 \pm 0.31) \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K^0 \pi^+ \pi^- \gamma) = (1.85 \pm 0.21 \pm 0.12) \times 10^{-5}$$

$$\mathcal{B}(B^+ \rightarrow K^0 \pi^+ \pi^0 \gamma) = (4.56 \pm 0.42 \pm 0.31) \times 10^{-5}$$

First measurement

First measurement



232M $B\bar{B}$

Search for $B \rightarrow \rho/\omega \gamma$

Simplest and most “common” $b \rightarrow d\gamma$ exclusive decays are $\rho\gamma$ and $\omega\gamma$

Ratio of exclusive $b \rightarrow d\gamma$ and $b \rightarrow s\gamma$ decay rates measures $|V_{td}/V_{ts}|$

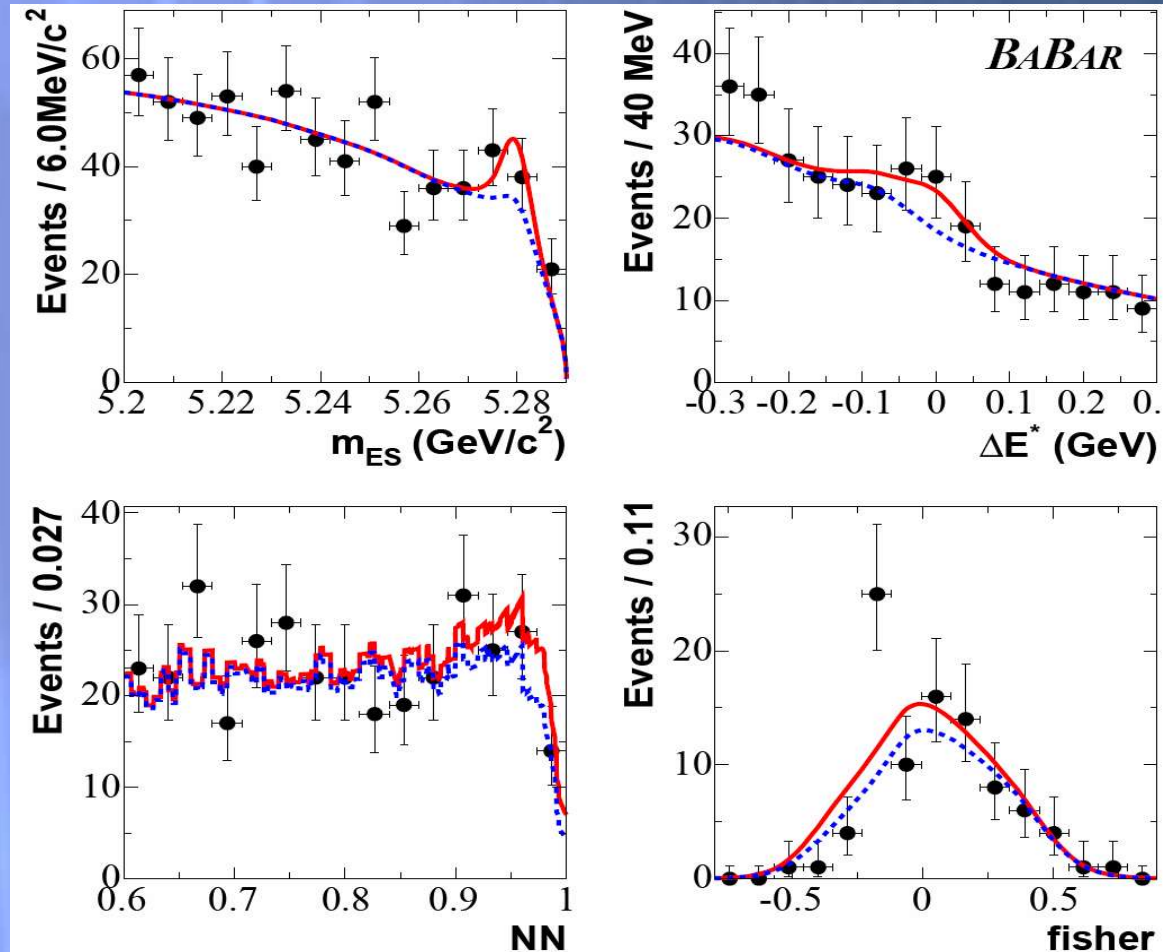
Simultaneous fit to all three samples with the constraint:

$$\begin{aligned} \text{Combined } \mathcal{B}(\rho, \omega\gamma) &\equiv \\ \mathcal{B}(\rho^+\gamma) &= \\ 2(\tau_+/\tau_0) \mathcal{B}(\rho^0\gamma) &= \\ 2(\tau_+/\tau_0) \mathcal{B}(\omega\gamma) \end{aligned}$$

$$\mathcal{B} < 1.2 \cdot 10^{-6} \text{ 90\% CL}$$

Fitting individual $\mathcal{B}$:

- $\mathcal{B}(\rho^\pm\gamma) < 1.8 \cdot 10^{-6} \text{ 90\% CL}$
- $\mathcal{B}(\rho^0\gamma) < 0.4 \cdot 10^{-6} \text{ 90\% CL}$
- $\mathcal{B}(\omega\gamma) < 1.0 \cdot 10^{-6} \text{ 90\% CL}$



A total of 211M $B\bar{B}$ events are used

CKM matrix constraint

*SU(3) breaking of
form factors $\xi = 1.2 \pm 0.1$*

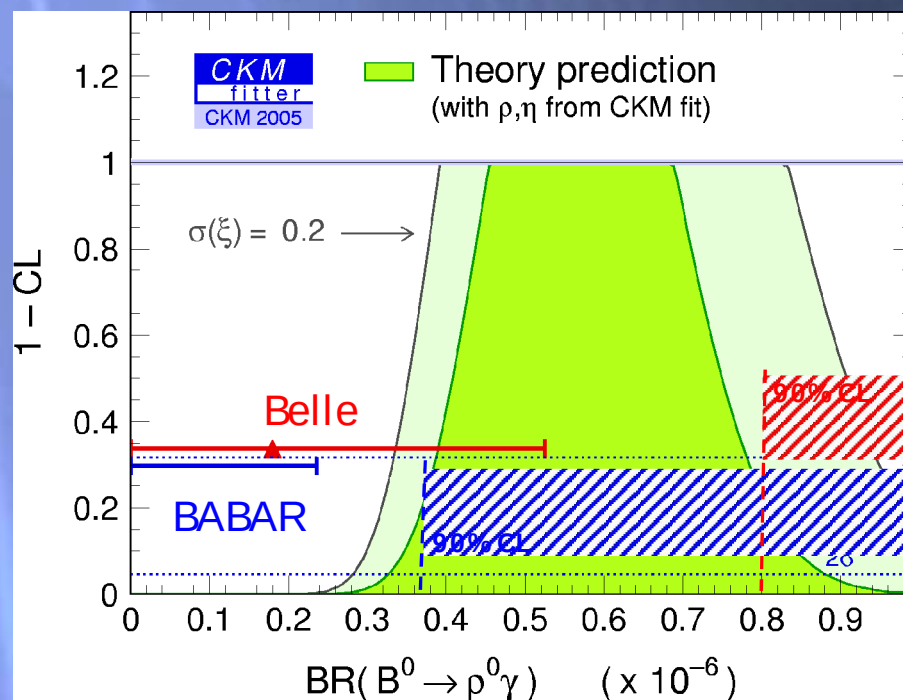
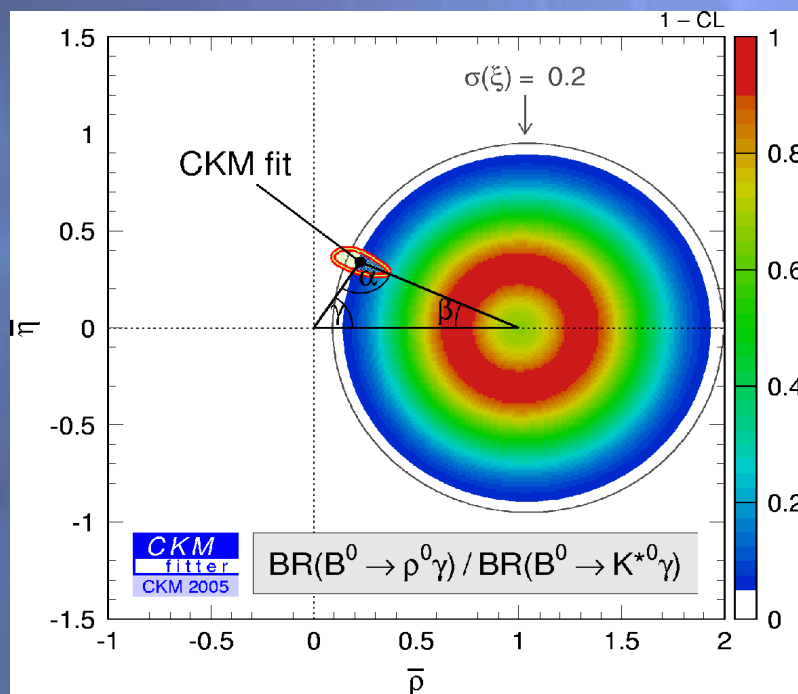
Subleading contribution $\Delta < 0.4$

$$\frac{\text{BR}(B^0 \rightarrow \rho^0 \gamma)}{\text{BR}(B^0 \rightarrow K^{*0} \gamma)} \propto \frac{1}{2} \left| \frac{V_{td}}{V_{ts}} \right|^2 \xi^{-2} (1 + \Delta)$$

Eur.Phys.J. C23 (2002) 89

Nucl. Phys. B 621 459 (2002) and later papers

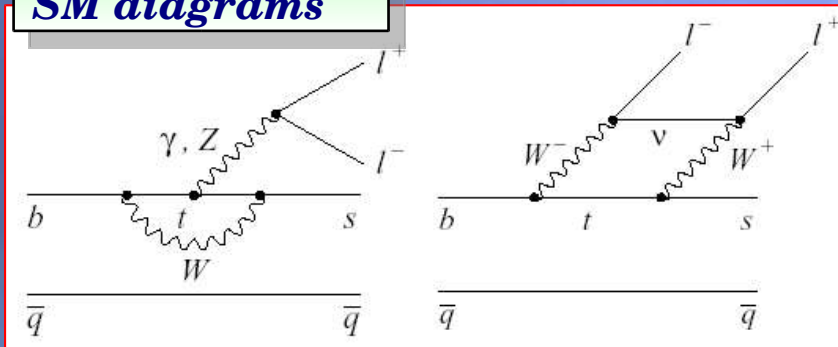
$B \rightarrow \rho \gamma$ observation should be imminent!



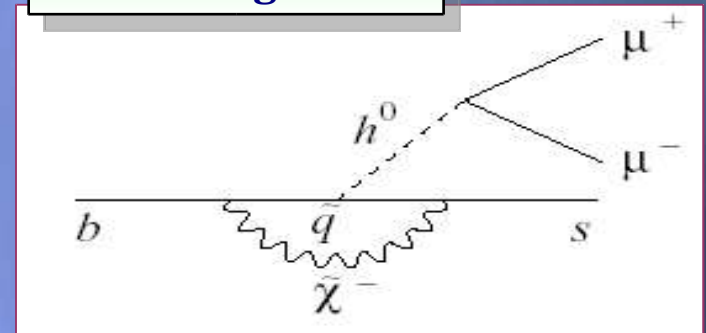
*Penguins are starting to provide meaningful CKM constraint
Reduction of theory errors necessary to be competitive with $B_d B_s$ mixing*

Measurement of $B \rightarrow K^{(*)} l^+ l^-$

SM diagrams



SUSY diagram



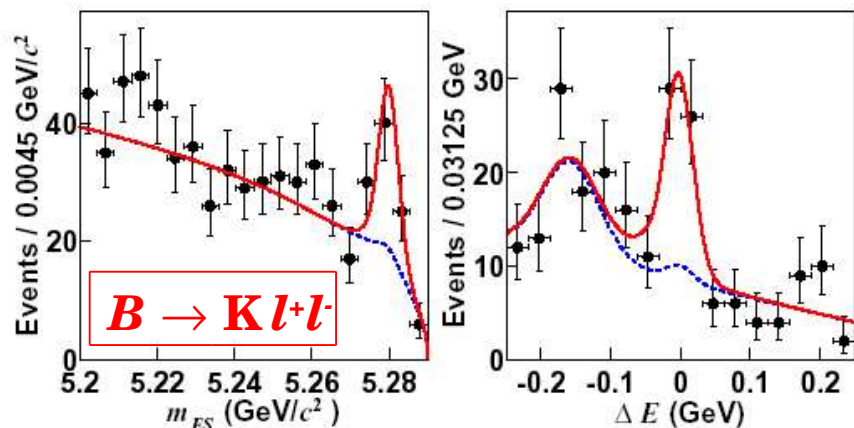
Suppressed decays in the SM:

- $\mathcal{B} \sim 10^{-6}$
- Negligible direct CP asymmetry
- $R_K = \mathcal{B}(B \rightarrow K \mu^+ \mu^-) / \mathcal{B}(B \rightarrow K e^+ e^-) \approx 1$
- $R_{K^*} = \mathcal{B}(B \rightarrow K^* \mu^+ \mu^-) / \mathcal{B}(B \rightarrow K^* e^+ e^-) \approx 0.75$

Contribution from a pole in EM penguin for the $e^+ e^-$ final state at $q^2 \sim 0$

- New Physics can change*
- the Branching Fraction
 - direct CP violation
 - forward-backward dilepton asymmetry

$B \rightarrow K^{(*)} l^+ l^-$ preliminary results

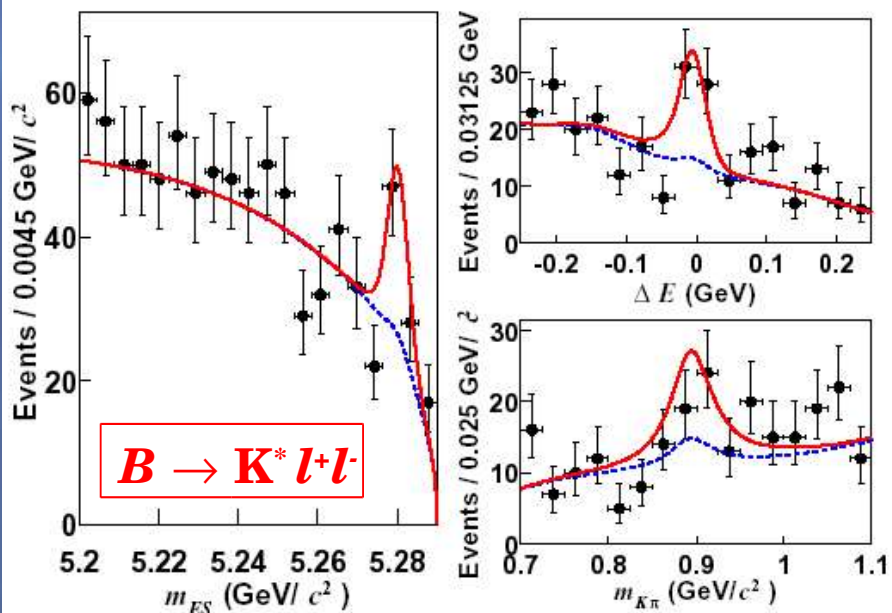


$$BF(B \rightarrow K l^+ l^-) = (0.34 \pm 0.07 \pm 0.03) \cdot 10^{-6}$$

$$BF(B \rightarrow K^* l^+ l^-) = (0.78^{+0.19}_{-0.17} \pm 0.12) \cdot 10^{-6}$$

$$R_K = 1.06 \pm 0.48 \pm 0.05$$

$$R_{K^*} = 0.93 \pm 0.46 \pm 0.12$$



$$A_{CP}(B^+ \rightarrow K^+ l^+ l^-) = -0.08 \pm 0.22 \pm 0.11$$

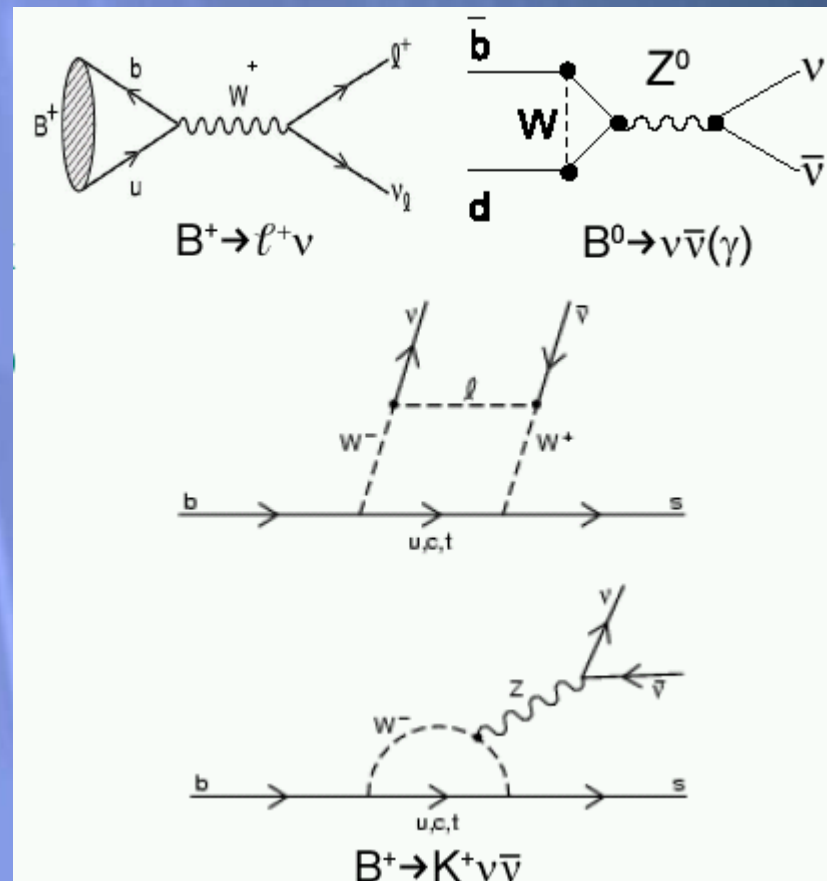
$$A_{CP}(B \rightarrow K^* l^+ l^-) = 0.03 \pm 0.23 \pm 0.12$$

A total of 229M $B\bar{B}$ events are used

Rare Leptonic Decays

$B \rightarrow l \nu$, $B \rightarrow (K/\pi) \nu \bar{\nu}$, $B \rightarrow \nu \bar{\nu} (\gamma) \dots$

- *Proceeding through W-boson annihilation or electroweak penguin and box diagrams in the SM*
- *Are theoretically clean*
- *Are suppressed in the SM by CKM matrix elements, helicity suppression or by penguin/loop domination*
- *Probe for new physics*



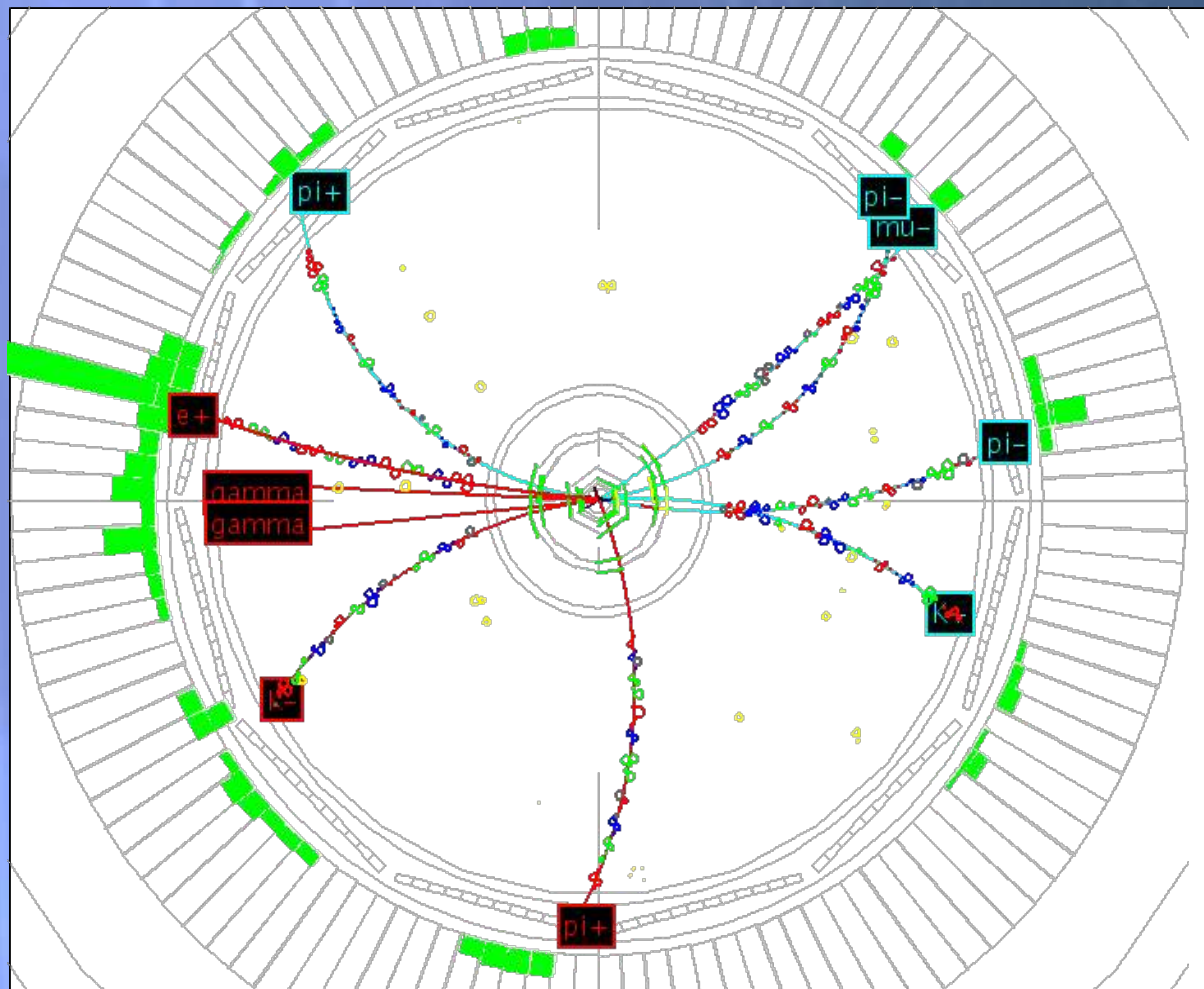
Event Reconstruction Method

Reconstruct (“tag”) one B in either a semileptonic or leptonic final state:

- $B \rightarrow D^{(*)} X$, X is a combination of $K_{(s)}$, π , π^0
- $B \rightarrow D^{(*)} l \nu$

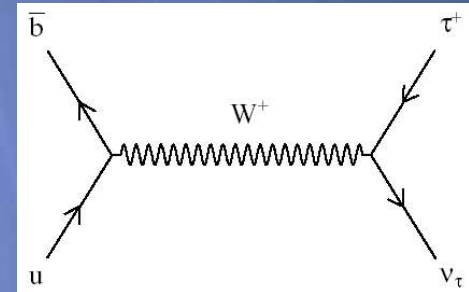
Statistically independent samples

Constrain the “recoil” to determine its consistency with a signal hypothesis



Search for $B^+ \rightarrow \tau^+ \nu$

- **$B^+ \rightarrow \tau^+ \nu$ in the SM**
 - Via quark annihilation into a W^+ boson
 - Decay with μ or e are helicity-suppressed
- **New physics in $B^+ \rightarrow \tau^+ \nu$**
 - Charged Higgs boson (H) as propagator: SUSY, two-Higgs doublet models
 - Enhancement of the $\mathcal{B}$
 - Up to a factor of 5
- **Experimentally challenging due to neutrinos in the final state**



$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left[1 - \frac{m_\tau^2}{m_B^2} \right]^2 \tau_B f_B^2 |V_{ub}|^2$$

Sensitive to $f_B^2 |V_{ub}|^2$
SM expectation $\approx 9 \cdot 10^{-5}$



- **Measurement of f_B**
 Assuming $|V_{ub}|$ known from semileptonic decays
- **Measurement of $|V_{ub}|^2 / |V_{td}|^2$**
 From $\mathcal{B}(B \rightarrow \tau^+ \nu) / \Delta m$ (*)
 – Gives a constraint on the CKM unitarity triangle

(*) Δm = mass difference between the heavy and light states of the neutral B

Search for $B^+ \rightarrow \tau^+ \nu$

E_{extra} for selected events

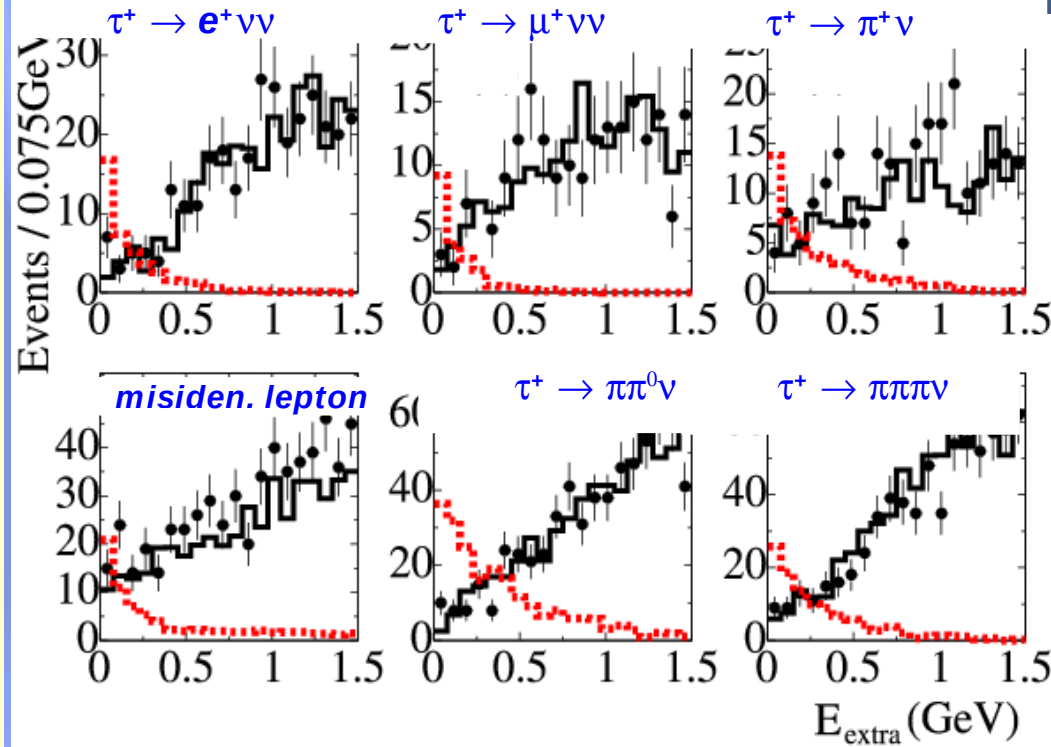
- Exclusive reconstruction with a semileptonic B “tag”

- Search for a $B \rightarrow \tau^+ \nu$ signal in the rest of the event:

$\tau^+ \rightarrow e^+ \nu \nu, \mu^+ \nu \nu, \pi^+ \nu, \pi^+ \pi^0 \nu, \pi^+ \pi^- \pi^+ \nu$

- Main background from hadronic or semileptonic B decays with correctly tagged events

- Key variable: residual energy in the calorimeter (E_{extra}): to peak at very low values for signal



A total of 232M $B\bar{B}$ events are used

$B^+ \rightarrow \tau^+ \nu$: preliminary results

<i>Expected background</i>	130.9 ± 9.3
<i>Observed events</i>	150 ± 12

$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) < 2.8 \times 10^{-4} \text{ @ 90\% C.L.}$$

Combined with a previous result with hadronic tag ($\mathcal{B}(B^+ \rightarrow \tau^+ \nu) < 4.2 \times 10^{-4}$)

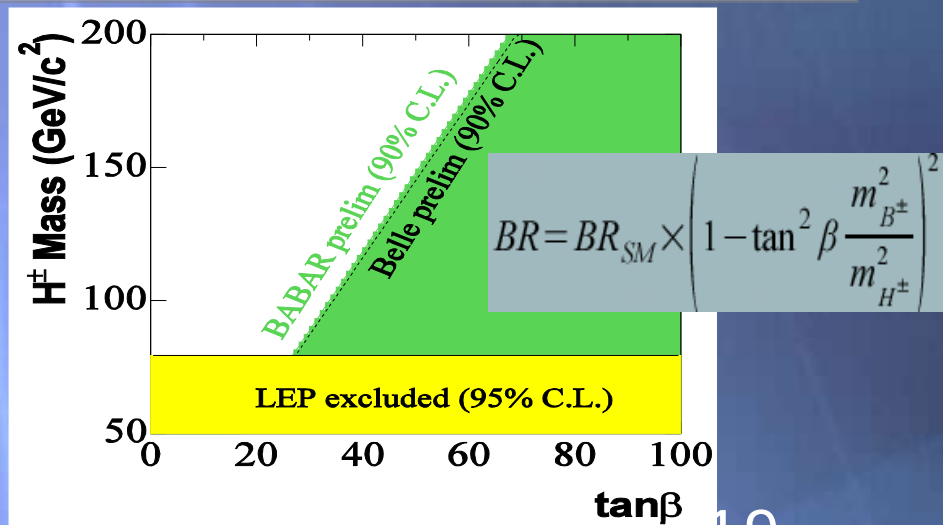
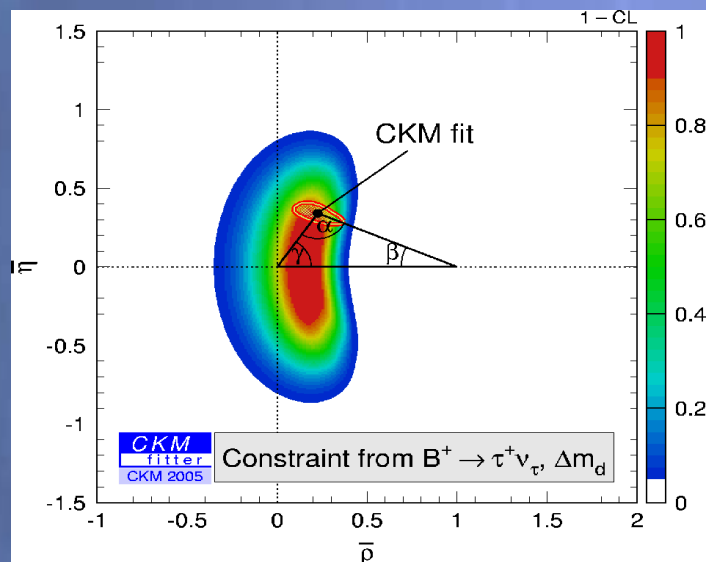
$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) < 2.6 \times 10^{-4} \text{ @ 90\% C.L.}$$

$$f_B < 0.41 \text{ GeV @ 90\% C.L.}$$

Constraint on the CKM parameters in agreement with present CKM fit

Constraint on the charged Higgs boson in the two charged Higgs-Doublet model:

$$\tan\beta / m_{H^\pm} < 0.34 \text{ (GeV/c}^2\text{)}$$

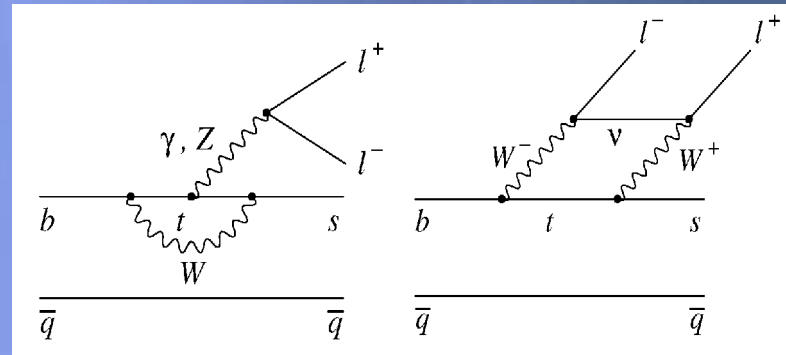


Search for $B \rightarrow K(\pi)\nu\bar{\nu}$

- Flavour Changing neutral-current process, allowed via EW box/penguin diagrams.**

- SM: $\mathcal{B}(B \rightarrow K\nu\bar{\nu}) \sim 4 \cdot 10^{-6}$**

- $b \rightarrow d\nu\bar{\nu}$ is suppressed over $b \rightarrow s\nu\bar{\nu}$ by $|V_{tb}|/|V_{ts}|$**

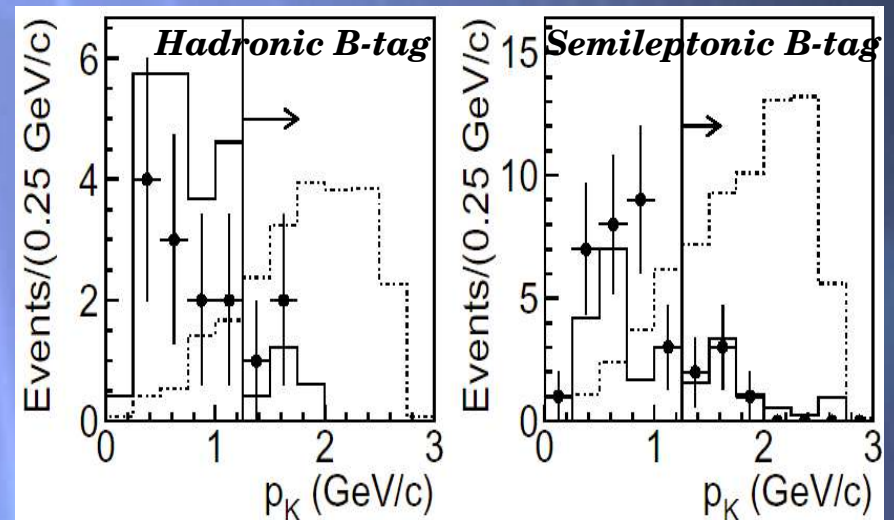


BaBar Analysis strategy

- Use hadronic and semileptonic B-tags**

- Ask for only a track for signal B**

- Event shape variables used to suppress $e^+e^- \rightarrow q\bar{q}$, $\tau^+\tau^-$**



	$K\nu\bar{\nu}$	$\pi\nu\bar{\nu}$
Expected background	3.8 ± 1.1	24.1 ± 3.6
Observed events	3	21
$\mathcal{B} <$ @ 90%CL	$5.2 \cdot 10^{-5} (*)$	$1.0 \cdot 10^{-4}$

89M $B\bar{B}$

(*) Hadronic and Semileptonic Tags

Search for $B \rightarrow \nu \bar{\nu} (\gamma)$

- $B \rightarrow \nu \bar{\nu}$ is strongly helicity m_ν^2/m_B^2 suppressed
- .. whereas for such decays with a photon in the final state
- SM $BF(B \rightarrow \nu \bar{\nu} \gamma) \sim 10^{-9}$
- Both are below the sensitivity of existing experiments
- Clear observation would be a sign of new physics
- No direct searches so far

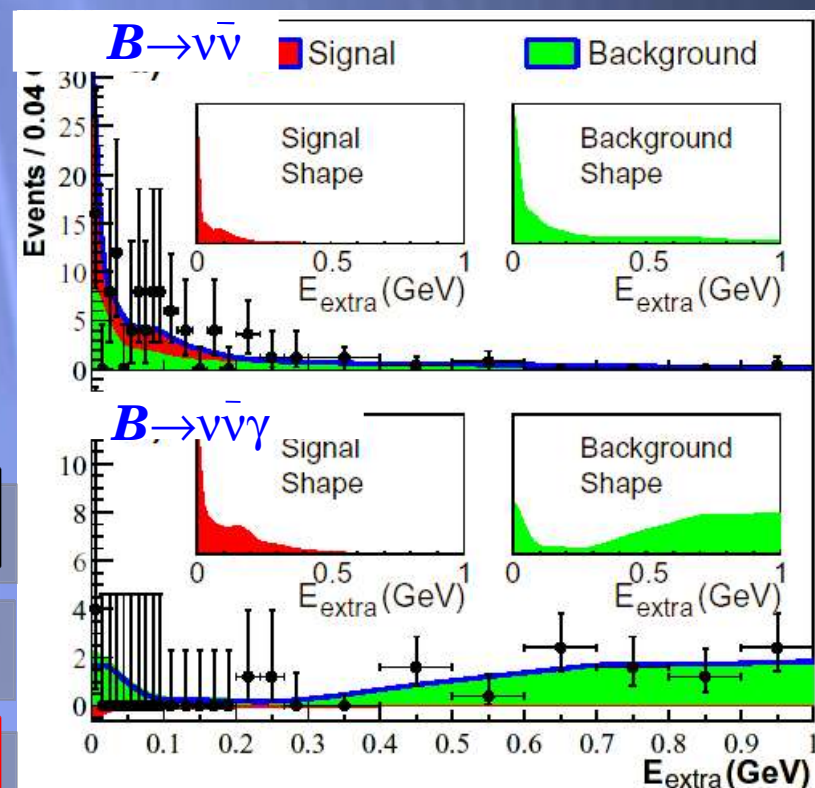
BaBar Analysis strategy

- Use Semileptonic tag
- Ask for no tracks in signal B
- Background dominated by B decays with lost or unreconstructed particles

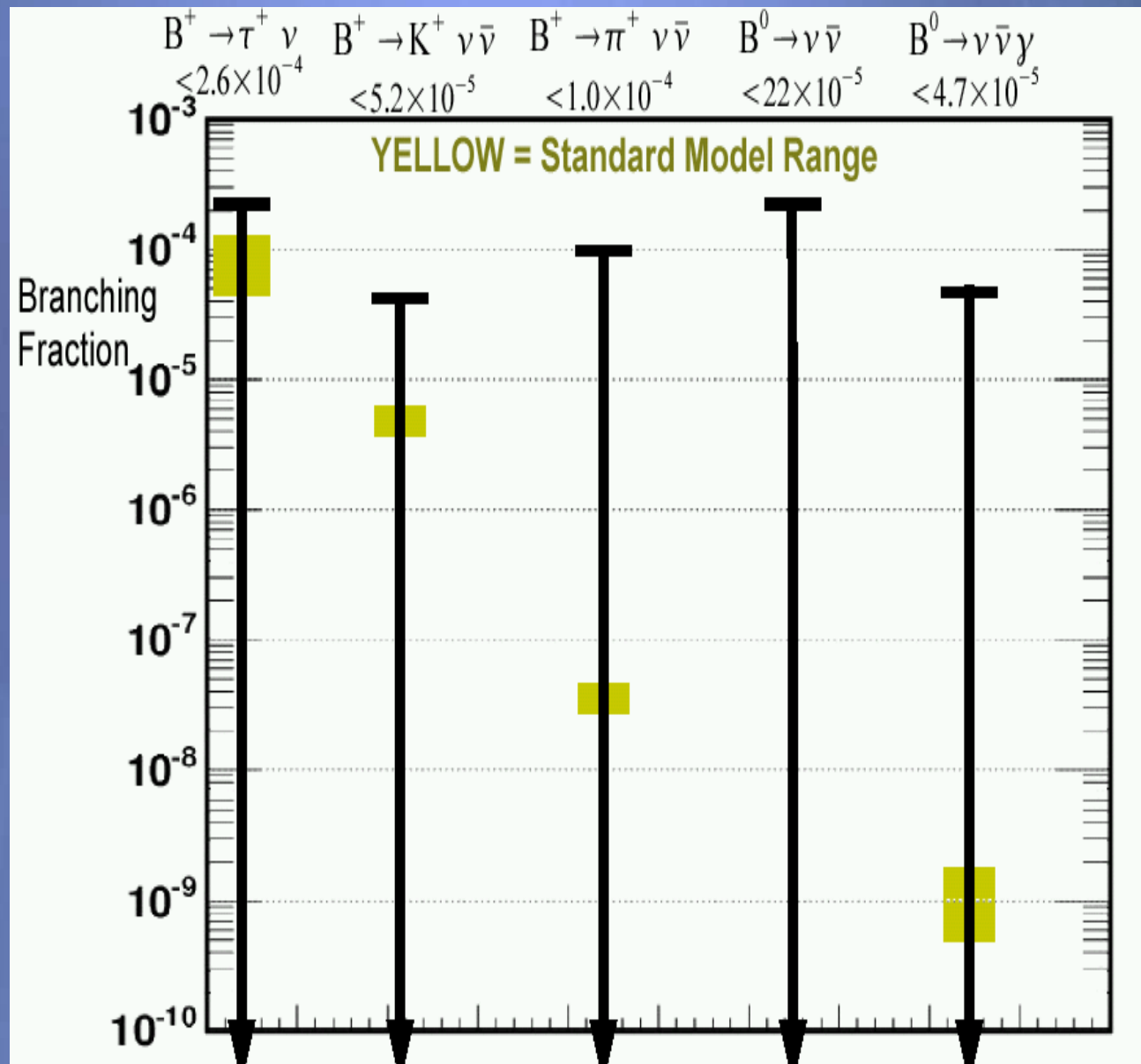
$$\mathcal{B}(B^+ \rightarrow \nu \bar{\nu}) < 22 \times 10^{-5} \quad @ 90\% \text{ C.L.}$$

$$\mathcal{B}(B^+ \rightarrow \nu \bar{\nu} \gamma) < 4.7 \times 10^{-5} \quad @ 90\% \text{ C.L.}$$

89M $B\bar{B}$



Summary of Leptonic Decays



Conclusions

New preliminary results from BaBar on electro-weak penguins and leptonic decays

- *Favourite place to search for new physics beyond the SM*
- *Useful for precise determinations of theoretical parameters m_b , μ_π^2*
- *Looking forward to more statistics and finding $B \rightarrow \rho/\omega \gamma$ and leptonic decays!*

Spare Slides

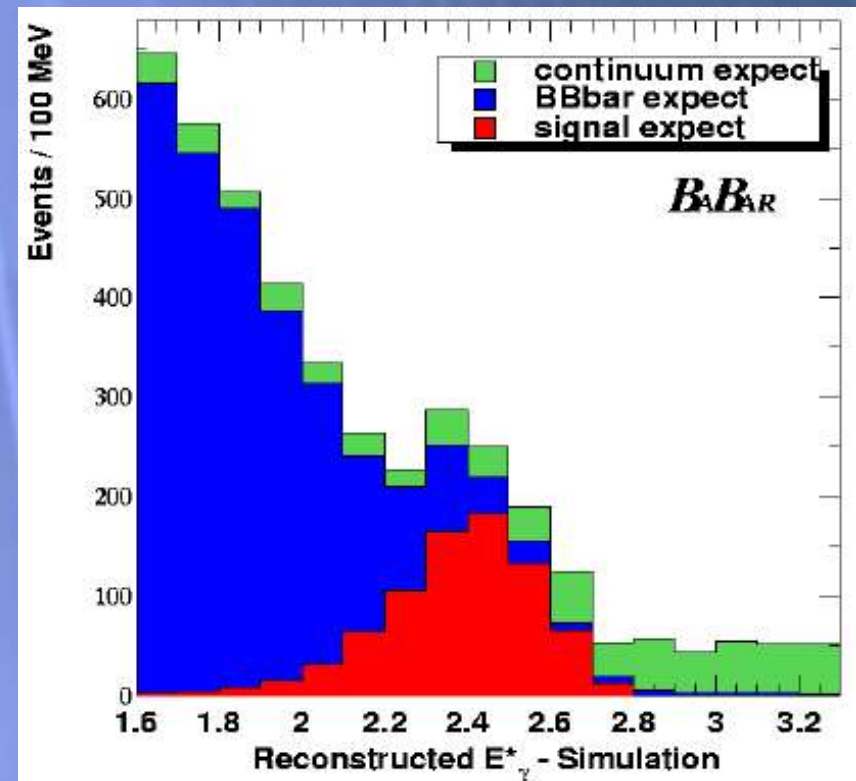
$b \rightarrow s\gamma$ Background contributions

Continuum Background

- *Neural Network*
- $M_{ES}, \Delta E$
- *Lepton tagging*
- *Event-shape cuts*
- *Off-peak data subtraction*

BB Background

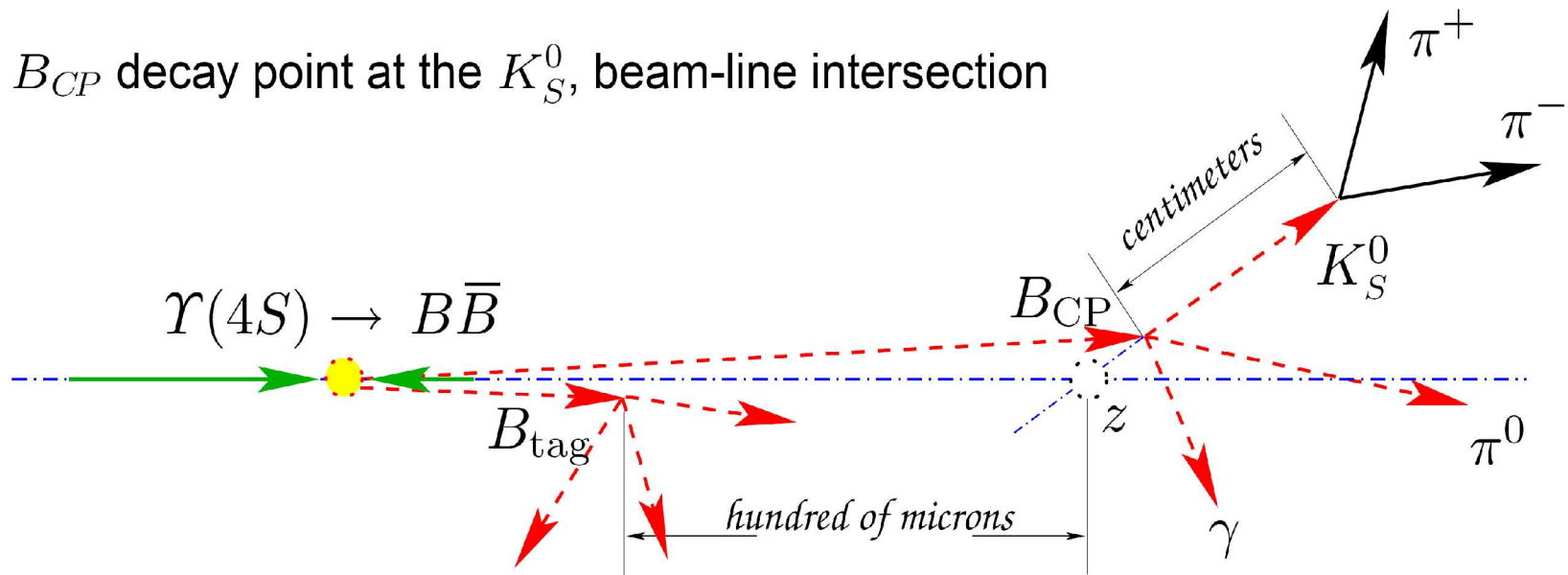
- *80% from π^0, η decays*
- *explicit π^0/η vetoes*
- *Remaining subtracted using MC simulation*
- $M_{ES}, \Delta E$



Time Dependent CPV in $B^0 \rightarrow K_S \pi^0 \gamma$

Measuring Δt of $K^(\rightarrow K_S \pi^0) \gamma$ events requires novel beam-constrained vertexing technique:*

- *Vertex signal B with intersection of K_S trajectory and beam-line*
- *Usable resolution for K_S decaying inside the silicon tracker*
- *Validated with $B^0 \rightarrow J/\psi K_S$ events*



Analysis strategy for $B \rightarrow K^{(*)} l^+ l^-$

Reconstructed modes

- $l = e, \mu$
- $K = K \text{ or } K_s (\rightarrow \pi^+ \pi^-)$
- $K^* \rightarrow K \pi^\pm$

Background rejection

- Combinatorial from continuum
 - event shape variables
- Combinatorial from B decays
 - mostly semileptonic
 - missing energy

Final selection

- ΔE and m_{ES} variables
 - 2-dim. fit for $B \rightarrow K l^+ l^-$
 - 3-dim. fit for $B \rightarrow K^* l^+ l^-$
 - Includes $K\pi$ invariant mass

• **Efficiency is mode-dependent:**

From 9% ($K^{*+} \mu^+ \mu^-$) to 26% ($K^+ e^+ e^-$)

- **Challenge: peaking background in $(\Delta E, m_{ES})$**
 - Same final state as signal
 - $B \rightarrow K^{(*)} J/\psi, B \rightarrow K^{(*)} \psi(2S), B \rightarrow D(\rightarrow K\pi) \pi$
 - J/ψ veto, hadronic D decay veto